

Annex-I
(Refers to Paragraph 5.2)
Sampling Plan

Industrial Disputes Act, 1947

Sampling methodology

A simple random sampling design without replacement was adopted for an in-depth analysis of dispute cases.

Sample size

25 *per cent* of total number of industrial disputes registered during 2001-06 were collected and allocated in five years as 10 *per cent* for 2005-06, 15 *per cent* for 2004-05 and 25 *per cent* for each of the calendar year 2003-04, 2002-03 and 2001-02.

Contract Labour (Regulation and Abolition) Act, 1970

Sampling methodology

A simple random sampling design without replacement was adopted for inspection reports.

Sample size

25 *per cent* of total number of inspection reports of the establishments inspected during 2001-06 were collected and allocated equally across the five years.

Annex-II
(Refers to Paragraph 5.2)
Selection of sample
Industrial Disputes Act, 1947

Detail of industrial dispute cases selected as sample and audited

Metro	Total number of dispute cases		Number of dispute cases selected as sample		Number of selected sample that were not produced for audit		Number of selected sample actually audited	
	State	Central	State	Central	State	Central	State	Central
Chennai^a	6556	1074	1354	229	-	-	1354	229
Delhi	36268	1792 ^b	1995 ^γ	448	349	34	1646	414
Kolkata	9211	1050	2303	263	-	46	2303	217
Mumbai	6126	1093 ^δ	1324	276	584	47	740	241
Total	58161	5009	6976	1216	933	127	6043	1101

^a In both **Chennai state** and **central sphere**, samples are selected as 10 *per cent* for current year (2005-06), 15 *per cent* for the previous year (2004-05) and 25 *per cent* for each of the earlier years (2001-02 to 2003-04).

^b In **Delhi central sphere**, there was a difference of 114 cases in the total number of disputes received between the figures made available by the department and the figures compiled by the audit party.

^γ In **Delhi state sphere**, 5.5 *per cent* disputes were selected as sample.

^δ In **Mumbai central sphere**, there was a difference of 36 cases in the total number of disputes received between the figures made available by the department and the figures compiled by the audit party. In addition to sample selected, 12 cases supplied by RLC, Mumbai are also included.

Selection of sample
Contract Labour (Regulation and Abolition) Act, 1970

Detail of inspection reports selected as sample and audited

Metro	Total number of inspections conducted		Number of inspection reports selected as sample		Number of selected sample that were not produced for audit		Number of selected sample actually audited	
	State	Central	State	Central	State	Central	State	Central
Chennai	NA ^α	2155	NA	540 ^β	NA	256	NA	284
Delhi	152	934	50	234	12	-	38	234
Kolkata	13493	1826	3374	126 ^ζ	-	-	3374	126
Mumbai	3420	587	135 ^δ	111 ^ε	44	15	91	96
Total	17065	5502	3559	1011	56	271	3503	740

^α In **Chennai state sphere**, inspections were conducted under various labour acts. Hence IRs prepared under CL(R&A) Act were not available for audit.

^β In **Chennai central sphere**, records relating to 2001-02 and 2002-03 were not made available. Hence 25 per cent of 1129 IRs i.e. for the period 2003-04 to 2005-06 was selected as sample.

^ζ In **Kolkata central sphere**, out of 1826 inspections conducted, 489 were of principal employers. Hence, 25 per cent of 489 IRs were selected as sample.

^δ In **Mumbai state sphere**, inspection reports for the years 2001-02 to 2004-05 were destroyed. Hence, 25 per cent of 541 IRs for the year 2005-06 were selected as sample.

^ε In **Mumbai central sphere**, out of 587 inspections carried out by the department, registers in respect of 143 inspections were either weeded out or were not traceable. Hence, 25 per cent of 444 (587-143) IRs were selected as sample.

Annex-III
(Refers to Paragraph 7.3.2)

Year-wise details of receipt and disposal of disputes in CIRM

Metro	Opening Balance	2001-02		2002-03		2003-04		2004-05		2005-06		Total		Pending
		Receipt	Disposal	Receipt	Disposal	Receipt	Disposal	Receipt	Disposal	Receipt	Disposal	Receipt	Disposal	
Chennai	-*	262	202	225	198	245	261	201	160	141	94	1074	915	159
Delhi	168	411	320	382	414	464	462	313	326	336	298	2074	1820	254
Kolkata	63	171	162	195	179	260	259	223	211	201	141	1113	952	161
Mumbai	260	251	393	207	225	228	240	225	225	146	157	1317	1240	77
Total	491	1095	1077	1009	1016	1197	1222	962	922	824	690	5578	4927	651

* In the **Chennai Central sphere**, opening balance for the year 2001 was not available.

Annex-IV
(Refers to Paragraph 7.3.2)

Year-wise break-up of cases disposed in CIRM

Metro	2001-02			2002-03			2003-04			2004-05			2005-06			Total		
	FOC	OD	MOS	FOC	OD	MOS	FOC	OD	MOS	FOC	OD	MOS	FOC	OD	MOS	FOC	OD	MOS
Chennai	130	60	12	129	56	13	162	85	14	100	50	10	39	50	5	560	301	54
Delhi	134	171	15	212	157	45	155	163	144	155	113	58	154	86	58	810	690	320
Kolkata	46	82	34	74	55	50	112	33	114	44	57	110	47	42	52	323	269	360
Mumbai	100	270	23	138	66	21	150	66	24	137	58	30	90	47	20	615	507	118
Total	410	583	84	553	334	129	579	347	296	436	278	208	330	225	135	2308	1767	852

FOC refers to failure of conciliation, OD refers to otherwise disposed/closed and MOS refers to memorandum of settlement.

Annex-V
(Refers to Paragraph 7.4.1)

Year-wise details of receipt and disposal of disputes in SIRM

Metro	Opening Balance	2001-02		2002-03		2003-04		2004-05		2005-06		Total		Pending
		Receipt	Disposal	Receipt	Disposal	Receipt	Disposal	Receipt	Disposal	Receipt	Disposal	Receipt	Disposal	
Chennai	557	1657	1687	1336	1345	1276	1292	1133	1186	1154	1130	7113	6640	473
Delhi	2352	8292	7417	7744	8580	7680	8190	6521	6459	6031	6587	38620	37233	1387
Kolkata	2469	2404	2570	2053	1650	1852	1769	1589	1379	1313	1465	11680	8833	2847
Mumbai	620	1099	1215	1409	1376	1096	1243	1348	1212	1174	1186	6746	6232	514
Total	5998	13452	12889	12542	12951	11904	12494	10591	10236	9672	10368	64159	58938	5221

**Annex-VI
(Refers to Paragraph 7.4.1)**

Year-wise break-up of cases disposed in SIRM

Metro	2001-02			2002-03			2003-04			2004-05			2005-06			Total		
	FOC	OD	MOS	FOC	OD	MOS	FOC	OD	MOS	FOC	OD	MOS	FOC	OD	MOS	FOC	OD	MOS
Chennai	765	498	424	685	357	303	682	373	237	492	369	325	613	287	230	3237	1884	1519
Delhi	4718	1716	983	5579	2032	969	5254	2071	865	3920	1729	810	3987	1735	865	23458	9283	4492
Kolkata	264	1032	1274	252	757	641	245	865	659	142	776	461	212	697	556	1115	4127	3591
Mumbai	532	486	197	621	534	221	530	522	191	470	576	166	593	414	179	2746	2532	954
Total	6279	3732	2878	7137	3680	2134	6711	3831	1952	5024	3450	1762	5405	3133	1830	30556	17826	10556

FOC refers to failure of conciliation, OD refers to otherwise disposed/closed and MOS refers to memorandum of settlement.