

APPENDICES

1.1

State Profile

(Reference: Page 1)

A. General Data					
Sl. No.	Particulars			Figures	
1	Area			2,40,928 Sq. Km.	
2	Population				
	a.	As per 2001 Census.		16.62 crore	
	b.	As per 2011 Census		19.96 crore	
3	a.	Density of Population (as per 2001 Census) (All India Density = 325 persons per sq. Km)		690 persons per sq.km.	
	b.	Density of Population (as per 2011 Census) (All India Density = persons per sq. Km)		828 persons per sq.km	
4	Population below poverty line (BPL) (All India Average = 27.5 per cent)			32.8 per cent	
5	a.	Literacy (as per 2001 Census) (All India Average = 64.8 per cent)		56.27 per cent	
	b.	Literacy (as per 2011 Census) (All India Average = 74.0 per cent)		69.72 per cent	
6	Infant mortality (per 1000 live births) (All India Average = 50 per 1000 live births)			63 per 1000 live births	
7	Life Expectancy at birth (All India Average =63.5 years)			60 years	
8	Gini Coefficient ¹				
	a.	Rural. (All India = 0.30)		0.29	
	b.	Urban. (All India = 0.37)		0.37	
9	Gross State Domestic Product (GSDP) 2011-12 at current prices			₹ 6,87,836 crore	
10	Per capita GSDP CAGR (2002-03 to 2011-12)		Uttar Pradesh	11.00 per cent	
			General Category States	13.09 per cent	
11	GSDP CAGR (2002-03 to 2011-12)		Uttar Pradesh	12.77 per cent	
			General Category States	14.46 per cent	
12	Population Growth (2002 to 2012)		Uttar Pradesh	17.15 per cent	
			General Category States	13.90 per cent	
B. Financial Data					
Sl. No.	Particulars		Figures (in per cent)		
13	CAGR		2002-03 to 2010-11		2002-03 to 2011-12
			General Category States	Uttar Pradesh	Uttar Pradesh
	a.	Of Revenue Receipts.	16.86	18.91	18.77
	b.	Of Own Tax Revenue.	16.74	15.74	17.04
	c.	Of Non Tax Revenue.	12.84	24.69	20.37
	d.	Of Total Expenditure.	14.58	16.68	16.33
	e.	Of Capital Expenditure.	21.25	23.30	21.30
	f.	Of Revenue Expenditure on Education.	15.41	16.76	17.54
	g.	Of Revenue Expenditure on Health.	14.00	16.86	15.60
	h.	Of Salary and Wages ² .	13.43	15.46	15.77
i.	Of Pension.	16.89	20.97	19.94	

Source: Financial data is based on figures in Finance Accounts. BPL (Planning Commission & NSSO data, 61st Round), Gini Coefficient (Unofficial estimates of Planning Commission & NSSO data, 61 Round 2004-05 MRP), Life Expectancy of birth (Office of the Registrar General of India, Ministry of Home Affairs) Economic Review, 2011-12, Infant mortality rate (SRS Bulletin January 2012).

¹ Gini-coefficient is a measure of inequality of income among the population. Value rate is from zero to one, closer to zero inequality is less, closer to one inequality is higher..

² For the period 2002-03 to 2010-11 or 2011-12 as the case may be.

Structure and Form of Government Accounts and Layout of Finance Accounts

(Reference: Paragraph 1.1; Page 2)

Part A: Structure and Form of Government Accounts

Structure of Government Accounts: The accounts of the State Government are kept in three parts: (i) Consolidated Fund, (ii) Contingency Fund and (iii) Public Account.

Part I: Consolidated Fund : All revenues received by the State Government, all loans raised by issue of treasury bills, internal and external loans and all moneys received by the Government in repayment of loans form one consolidated fund titled 'The Consolidated Fund of State' established under Article 266 (1) of the Constitution of India.

Part II: Contingency Fund: Contingency Fund of the State, established under Article 267(2) of the Constitution, is in the nature of an imprest placed at the disposal of the Governor to enable him to make advances to meet urgent unforeseen expenditure, pending authorisation by the Legislature. Approval of the Legislature for such expenditure and for withdrawal of an equivalent amount from the Consolidated Fund is subsequently obtained, whereupon the advances from the Contingency Fund are recouped to the Fund.

Part III: Public Account: Receipts and disbursements in respect of certain transactions such as small savings, provident funds, reserve funds, deposits, suspense, remittances, etc., which do not form part of the Consolidated Fund, are kept in the Public Account, set up under Article 266 (2) of the Constitution and are not subject to vote by the State legislature.

Part B: Layout of Finance Accounts

Statement No.	Layout
<p>The Finance Accounts have been divided into two volumes. Volume I presents the Financial Statements of the Government in the form of commonly understood summarised form while the details are presented in Volume II.</p> <p>Volume I contains the certificates of the Comptroller and Auditor General of India, four summary statements as given below and notes to accounts including accounting policy.</p>	
Volume I	
1	Statement of financial position depicting cumulative figures of assets and liabilities at the end of the financial year.
2	Statement of receipts and disbursements depicting receipts and disbursements in all the three parts.
3	Statement of receipts in Consolidated Fund comprising revenue and capital receipts and receipts from borrowings.
4	Statement of expenditure in Consolidated Fund depicting expenditure by function and nature.
Appendix 1	Cash balances and investment of cash balances
Volume II	
Part I	
5	Statement of progressive capital expenditure detailing progressive capital expenditure the aggregate of which is depicted in Statement No. 1.
6	Statement of borrowings and other liabilities depicting market loans raised by it and Loans and Advances received from the Government of India.

7	Statement of loans and advances given by the Government of Uttar Pradesh together with recoveries.
8	Statement of Grants-in-aid given by the Government of Uttar Pradesh.
9	Statement of guarantees given by the Government for repayments of loans, etc., raised by Statutory Corporations, Government companies, Local Bodies and other Institutions during the financial year.
10	Statement of Voted and Charged expenditure presenting details of Voted and Charged expenditure.
Part II	
11	Detailed Statement of revenue and capital receipts of the Government by minor heads.
12	Detailed Statement of revenue expenditure of the Government by minor heads.
13	Detailed Statement of capital expenditure by minor heads including Plan and Non Plan figures.
14	Detailed Statement of investments of the Government depicting investments in the share capital, debentures of different concerns including types of shares held, face value, dividend received etc.
15	Detailed Statement of borrowings and other liabilities including maturity and repayment profile of all loans.
16	Detailed Statement on loans and advances given by the Government presenting changes in loan balances, loans written off, interests received on loans etc.
17	Detailed Statement on sources and application of funds for expenditure other than revenue account.
18	Detailed Statement of Contingency Fund and other Public Account transactions.
19	Detailed Statement on investments of earmarked funds.
Part III (Appendices)	
Appendix 2	Comparative expenditure on salary.
Appendix 3	Comparative expenditure on subsidy.
Appendix 4	Grants-in-aid given by the State Government (Institution and Scheme-wise).
Appendix 5	Details of Externally Aided Projects.
Appendix 6	Plan Scheme expenditure.
Appendix 7	Direct transfers of Central scheme funds to implementing agencies that is funds routed outside the Budget.
Appendix 8	Summary of Balances under Consolidated Fund, Contingency Fund and Public Account.
Appendix 9	Financial results of Irrigation Works.
Appendix 10	Statement of Commitments on incomplete Public Works Contracts.
Appendix 11	Statement of items for which allocation of balances due to reorganization of State has not been finalized.
Appendix 12	Statement on maintenance of expenditure of the State.
Appendix 13	Major policy decisions taken by the Government during the year and New Schemes proposed in the Budget for Future Cash Flows.

1.3

Abstract of Receipts and Disbursements for the year 2011-12

(Reference: Paragraph 1.2; Page 2)

(₹ in crore)

Receipts				Disbursements					
2010-11			2011-12	2010-11			Non-Plan	Plan	Total 2011-12
1,11,183.76	1	Revenue receipts	130,869.70	1,07,675.61	1	Revenue expenditure	1,01,269.25	22,615.92	1,23,885.17
41,109.85		Tax revenue	52,613.43	48,019.17		General services	52,345.19	601.73	52,946.92
				39,566.70		Social Services	29,781.35	17,609.59	47,390.94
11,176.21		-Non-tax revenue	10,145.30	20,954.24		-Education, Sports, Art and Culture	21,017.07	4957.97	25,975.04
				5,444.20		-Health and Family Welfare	4,342.17	1,427.91	5,770.08
43,464.05		-State's share of Union Taxes	50,350.95	1,715.63		-Water Supply, Sanitation, Housing and Urban Development	238.61	1,656.43	1,895.04
				79.47		-Information and Broadcasting	84.09	00.42	84.51
3,092.99		-Non-Plan grants	4,396.73	2,945.07		-Welfare of Scheduled Castes, Scheduled Tribes and Other Backward Classes	1,563.41	1,819.03	3,382.44
6,772.07		-Grants for State Plan Schemes	6,813.28	363.79		-Labour and labour Welfare	274.16	167.72	441.88
				8,003.89		-Social Welfare and Nutrition	2,199.16	7580.11	9779.27
5,568.59		-Grants for Central and Centrally sponsored Plan Schemes	6,549.89	60.41		-Others	62.68	-	62.68
Nil		External Grants Assistance	00.12	15,725.03		Economic Services-	13,887.61	4,404.60	18,292.21
				3,569.94		-Agriculture and Allied Activities	2,284.42	1,367.13	3,651.55
				4,003.08		-Rural Development	2,337.72	2,191.79	4,529.51
				80.83		-Special Areas Programme	-	60.96	60.96
				3,610.25		-Irrigation and Flood control	3,400.08	609.24	4,009.32
				2,174.18		-Energy	3,500.40	35.14	3,535.54
				299.04		-Industry and Minerals	206.11	113.61	319.72

				1,742.45		-Transport	1,936.91	6.96	1,943.87
				31.12		-Science, Technology and Environment	12.78	17.88	30.66
				214.14		-General Economic Services	209.20	1.88	211.08
				4,364.71		Grants-in-aid & Contributions	5,255.10	-	5,255.10
		Total	1,30,869.70	1,07,675.61		Total			1,23,885.17
-	II	Revenue deficit carried over to Section B	Nil	3,508.15	II	Revenue Surplus carried over to Section B	--	--	6,984.53
1,11,183.76		Total	1,30,869.70	1,11,183.76		Total			1,30,869.70
Part B									
3,405.36	III	Opening Cash balance including Permanent Advances & Cash Balance Investment	10,304.99	-	III	Opening Overdraft from Reserve Bank of India	-	-	-
--	IV	Miscellaneous Capital receipts	--	20,272.80	IV	Capital Outlay-	838.86	20,735.10	21,573.96
				1,002.05		General Services-	667.68	475.94	1,143.62
				4,795.47		Social Services-	38.93	5,148.21	5,187.14
				710.04		-Education, Sports, Art and Culture	3.07	328.09	331.16
				1,032.22		-Health and Family Welfare	9.65	932.74	942.39
				2,477.23		-Water Supply, Sanitation, Housing and Urban Development	24.03	3,527.38	3,551.41
				Nil		-Information and Broadcasting	Nil	Nil	Nil
				65.28		-Welfare of Scheduled Castes, Scheduled Tribes and Other Backward Classes	Nil	46.70	46.70
				394.83		-Social Welfare and Nutrition	00.22	239.87	240.09
				115.87		-Others	1.96	73.43	75.39
				14,475.28		Economic Services-	132.26	15,110.94	15,243.20
				(-)837.57		-Agriculture and Allied Activities	(-)525.84	398.46	(-)127.38
				2,732.28		-Rural Development	-	2,755.84	2,755.84
				1,192.80		-Special Areas Programmes	-	1,480.80	1,480.80
				2,549.88		-Irrigation and Flood Control	-	2,124.87	2,124.87

				4,099.52		-Energy	654.00	3,660.36	4,314.36
				7.79		-Industry and Minerals	5.06	(-) 1.49	3.57
				4,633.74		-Transport	(-) 00.96	4,652.16	4,651.20
				96.84		-General Economic Services	-	39.94	39.94
				Nil		Science Technology and Environment	Nil	Nil	Nil
485.17	V	Recoveries of Loans and Advances	133.17	968.22	V	Loans and Advances disbursed-	561.09	414.48	975.57
100.00		-From Power Projects	00.00	-		-For Power Projects	-	-	-
61.36		-From Govt. Servants	74.11	108.05		-To Government Servants	115.67	-	115.67
323.81		-From Others	59.06	860.17		-To Others	445.42	414.48	859.90
3,508.15	VI	Revenue Surplus brought down	6,984.53	-	VI	Revenue Deficit brought down	-	-	-
21,394.08	VII	Public debt receipts-	19,652.30	7,383.08	VII	Repayment of Public debt-	-	-	8,287.61
		-External debt		-		-External debt			
20,316.84		-Internal debt other than Ways and Means Advances and overdrafts	19,336.67	5,368.83		-Internal debt other than Ways and Means Advances and Overdrafts			6,973.02
713.88		- Net transactions under Ways and Means Advances	-	713.88		- Net transactions under Ways and Means Advances	-	-	-
-		- Net transactions under overdraft	-	-		- Net transactions under overdraft	-	-	-
363.36		-Loans and Advances from Central Government	315.63	1,300.37		-Repayment of Loans and Advances to Central Government	-	-	1,314.59
-	VIII	Appropriation to Contingency Fund	-	-	VIII	Appropriation to Contingency Fund	-	-	-
Nil	IX	Amount transferred to Contingency Fund	39.89	39.90	IX	Expenditure from Contingency Fund	-	-	309.64
1,27,649.22	X	Public Account receipts	1,38,449.36	1,17,472.99	X	Public Account disbursements-			1,30,970.76
9,857.02		-Small Savings & Provident Funds	9,539.26	4,986.05		-Small Savings & Provident Funds	-	-	5,908.99

8,576.84		-Reserve Funds	10,255.12	6,238.19		-Reserve Funds	-	-	4,767.87
75,906.97		-Suspense & Miscellaneous	89,398.38	75,149.69		-Suspense and Miscellaneous			88,790.15
17,747.96		-Remittance	18,705.74	17,381.23		-Remittances			1,8914.42
15,560.43		-Deposits & Advances	10,550.86	13,717.83		-Deposits and Advances			12,589.33
	XI	Closing Overdraft from RBI	-	10,304.99	XI	Cash Balance at end-			13,446.70
				#		-Cash in Treasuries and Local Remittances			#
				414.63		-Deposits with Reserve Bank	-	-	(-) 619.34
				12.55		-Departmental Cash Balance including permanent Advances			13.32
				9,877.81		-Cash Balance Investment Account			14,052.72
1,56,441.98		Total	3,06,433.94	1,56,441.98		Total			3,06,433.94

(#) – 'Amount is less than ₹1.00 lakh'

1.4

Actuals, vis-à-vis, Budget Estimates for 2011-12

(Reference: Paragraph 1.4; Page 6)

(₹ in crore)

Particulars	Budget Estimates	Revised Estimates	Actuals	Increase/ Decrease (-)	Increase/ Decrease(-) in per cent
1	2	3	4	5 (4-3)	6
Revenue Receipts of which	1,31,428.70	1,37,622.09	1,30,869.70	-6,752.39	-4.91
Own Tax Revenue	50,334.19	50,866.44	52,613.43	1,746.99	3.43
<i>Taxes on Sales, Trade etc.</i>	32,000.00	31,564.12	33,107.34	1,543.22	4.89
<i>State excise</i>	8,124.08	8,252.69	8,139.20	-113.49	-1.38
<i>Taxes on vehicles</i>	2,329.95	2,535.98	2,375.86	-160.12	-6.31
<i>Stamps and Registration fees</i>	6,993.99	7,629.50	7,694.41	64.91	0.85
<i>Taxes on Goods and Passenger</i>	-	-	4.81	4.81	100.00
<i>Land Revenue</i>	245.87	245.87	490.68	244.81	99.57
<i>Other taxes</i>	640.30	638.28	801.13	162.85	25.51
Non Tax Revenue	12,111.56	13,559.81	10,145.30	-3,414.51	-25.18
<i>Interest Receipts</i>	914.13	924.55	789.22	-135.33	-14.64
<i>Miscellaneous General Services</i>	4,216.01	4,522.75	4,035.23	-487.52	-10.78
<i>Non-ferrous Mining and Metallurgical Industries</i>	900.00	900.00	593.28	-306.72	-34.08
<i>Other Non-Tax Revenue</i>	6,081.42	7,212.51	4,727.57	-2,484.94	-34.45
Share of Union Taxes and Duties	48,275.18	51,919.54	50,350.95	-1,568.59	-3.02
Grants-in-aid from GOI	20,707.77	21,276.30	17,760.02	-3,516.28	-16.53
Revenue Expenditure of which	1,25,793.66	1,29,645.54	1,23,885.17	-5,760.37	-4.44
General Services	52,545.87	52,787.37	52,946.91	159.54	0.30
<i>Administrative services</i>	10,460.67	10,862.95	10,390.68	-472.27	-4.35
<i>Pension and Miscellaneous General Services</i>	13,787.84	13,782.87	14,157.32	374.45	2.72
<i>Interest Payments & Servicing of Debt</i>	23,768.56	23,513.77	24,107.57	593.80	2.53
<i>Fiscal Services</i>	2,832.77	2,866.72	2,815.72	-51	-1.78
<i>Organs of State</i>	1,696.03	1,761.06	1,475.62	-285.44	-16.21
Social Services	49,091.76	51,259.27	47,390.94	-3,868.33	-7.55
<i>Education, Sports, Art and Culture</i>	27,221.01	27,843.87	25,975.04	-1,868.83	-6.71
<i>Social Welfare and Nutrition</i>	9,497.51	10,885.86	9,779.27	-1,106.59	-10.17
<i>Welfare of Scheduled Castes, Scheduled Tribes and Other Backward Classes</i>	3,154.75	3,175.49	3,382.44	206.95	6.52
<i>Health and Family Welfare</i>	6,042.93	6,060.76	5,770.08	-290.68	-4.80
<i>Water Supply, Sanitation, Housing</i>	2,482.97	2,415.63	1,895.04	-520.59	-21.55

<i>and Urban Development</i>					
<i>Information and Broadcasting</i>	145.36	145.36	84.51	-60.85	-41.86
<i>Labour and Labour Welfare</i>	471.20	655.24	441.88	-213.36	-32.56
<i>Others</i>	76.03	77.06	62.68	-14.38	-18.66
Economic Services	18,847.78	20,290.65	18,292.21	-1,998.44	-9.85
<i>Agriculture and Allied Services</i>	4,003.05	4,501.15	3,651.55	-849.60	-18.87
<i>Rural Development</i>	4,063.27	4,814.57	4,529.51	-285.06	-5.92
<i>Special Area Programme</i>	316.46	113.38	60.96	-52.42	-46.23
<i>Irrigation & Flood Control</i>	4,801.14	4,801.14	4,009.32	-791.82	-16.49
<i>Energy</i>	3,019.42	3,521.22	3,535.54	14.32	0.41
<i>Industry & Minerals</i>	510.91	406.20	319.72	-86.48	-21.29
<i>Transport</i>	1,843.89	1,841.27	1,943.87	102.60	5.57
<i>Science, Technology and Environment</i>	34.24	34.74	30.66	-4.08	-11.74
<i>General Economic Services</i>	255.40	256.98	211.08	-45.9	-17.86
Grants-in-aid and Contributions	5,308.25	5,308.25	5,255.10	-53.15	-1.00
Capital expenditure of which	24,343.18	25,959.72	21,573.96	-4,385.76	-16.89
General Services	1,566.82	1,864.88	1,143.62	-721.26	-38.68
Social Services	6,078.94	6,835.25	5,187.14	-1,648.11	-24.11
<i>Education, Sports, Art and Culture</i>	600.74	662.82	331.16	-331.66	-50.04
<i>Health and Family Welfare</i>	1,205.87	1,262.87	942.39	-320.48	-25.38
<i>Water Supply, Sanitation, Housing and Urban Development</i>	3,450.97	4,144.47	3,551.41	-593.06	-14.31
<i>Welfare of Scheduled Caste, Scheduled Tribe and Other Backward Classes</i>	148.11	148.11	46.70	-101.41	-68.47
<i>Social Welfare & Nutrition</i>	586.88	527.61	240.09	-287.52	-54.49
<i>Other Social Services</i>	86.37	89.37	75.39	-13.98	-15.64
Economic Services	16,697.42	17,259.59	15,243.20	-2,016.39	-11.68
<i>Agriculture and Allied Services</i>	38.70	110.46	(-) 127.38	-237.84	215.32
<i>Rural Development</i>	2,069.30	2,948.53	2,755.84	-192.69	-6.54
<i>Special Area programme</i>	1,980.11	1,995.57	1,480.80	-514.77	-25.80
<i>Irrigation & Flood Control</i>	3,551.34	2,944.19	2,124.87	-819.32	-27.83
<i>Energy</i>	4,107.00	3,737.00	4,314.36	577.36	15.45
<i>Industries and Minerals</i>	8.00	8.00	3.57	-4.43	-55.38
<i>Transport</i>	4,876.87	5,449.74	4,651.20	-798.54	-14.65
<i>Science, Technology and Environment</i>	-	-	-	0	0.00
<i>General Economic Services</i>	66.10	66.10	39.94	-26.16	-39.58
Revenue surplus (+) / deficits (-)	(+) 5,635	(+) 7,977	+ 6,984	- 993	- 12.45
Fiscal Deficits (-)	(-) 18,960	(-) 18,686	- 15,433	3,253	17.41
Primary surplus (+) / deficits (-)	(-) 3,977	(-) 3,772	(+) 48	3,820	101.27

Time series data on the State Government Finances

(Reference: Paragraph 1.6.1; Page 12)

(₹ in crore)

	2007-08	2008-09	2009-10	2010-11	2011-12
Part A. Receipts					
1. Revenue Receipts	68,672	77,831	96,421	1,11,184	130,869
(i) Own Tax Revenue	24,959(36)	28,659 (37)	33,878(35)	41,110(37)	52,613 (40)
Taxes on Sales, Trade, etc.	15,023(60)	17,482 (61)	20,825 (62)	24,837(60)	33,107 (63)
State Excise	3,948(16)	4,720 (17)	5,666 (17)	6,723(16)	8,139 (15)
Taxes on Vehicles	1,146(05)	1,125 (4)	1,404 (4)	1,817(4)	2376 (4)
Stamps and Registration fees	3,977(16)	4,138 (14)	4,562 (13)	5,975(15)	7,694 (15)
Land Revenue	393(2)	549 (2)	663 (2)	1,134(3)	491 (1)
Taxes on Goods and Passengers	110	266(1)	271 (1)	242(1)	5 (0)
Other Taxes	362(1)	379 (1)	487 (1)	382(1)	801 (2)
(ii) Non Tax Revenue	5,816(08)	6,767 (8)	13,601(14)	11,176(10)	10,145 (8)
(iii) State's share of Union taxes and duties	29,288(43)	30,906 (40)	31,797 (33)	43,464(39)	50,351 (38)
(iv) Grants in aid from GoI	8,609(13)	11,499 (15)	17,146 (18)	15,434(14)	17,760 (14)
2. Miscellaneous Capital Receipts	-	-	-	-	-
3. Recoveries of Loans and Advances	449	778	293	485	133
4. Total Revenue and Non debt Capital Receipts (1+2+3)	69,121	78,609	96,714	1,11,669	1,31,002
5. Public Debt Receipts	9,079	16,760	22,489	21,394	19,652
Internal Debt (excluding Ways and Means Advances and Overdrafts)	8,710(96)	16,339 (97)	22,206 (99)	20,317 (95)	19,336 (98)
Net transactions under Ways and Means Advances and Overdrafts	-	-	-	714(3)	-
Loans and Advances from GoI	369(04)	421 (3)	283 (1)	363(2)	316 (2)
6. Total Receipts in the Consolidated Fund(4+5)	78,200	95,369	1,19,203	1,33,063	15,0654
7. Contingency Fund Receipts	604	171	83	Nil	40
8. Public Account Receipts	77,870	1,04,252	99,908	1,27,649	1,38,449
9. Total Receipts of the State (6+7+8)	1,56,674	1,99,792	2,19,194	2,60,712	2,89,143
Part B. Expenditure/Disbursement					
10. Revenue Expenditure	65,223(79)	75,969 (77)	89,374 (77)	1,07,676(83)	1,23,885 (84)
Plan	11,744(18)	17,291 (23)	15,701(18)	21,040(20)	22,616 (18)
Non Plan	53,479(82)	58,678 (77)	73,673 (82)	86,636(80)	1,01,269 (82)
General Services (including interest payments)	26,551(41)	29,769 (39)	40,641(45)	48,019(45)	52,947 (43)
Social Services	23,086(35)	28,546 (37)	32,064 (36)	39,567(37)	47,391 (38)
Economic Services	12,037(18)	14,150 (19)	13,308 (15)	15,725(15)	18,292 (15)
Grants-in-aid and contributions	3,549(6)	3,504 (5)	3,360 (4)	4,365(4)	5,255 (4)
11. Capital Expenditure	16,950 (20)	22,346 (22)	25,091 (22)	20,273(16)	21,574 (15)
Plan	13,720(81)	18,088 (81)	19,224 (77)	19,581(97)	20,735 (96)
Non Plan	3,230(19)	4,258 (19)	5,867 (23)	692(3)	839 (4)

	2007-08	2008-09	2009-10	2010-11	2011-12
General Services	575(3)	841 (4)	611 (2)	1,002(5)	1,144 (5)
Social Services	2,113(13)	2,946 (13)	4,702 (19)	4,796(24)	5,187 (24)
Economic Services	14,262(84)	18,559 (83)	19,778 (79)	14,475(71)	15,243 (71)
12. Disbursement of Loans and Advances	742(1)	807 (1)	942 (1)	968(1)	976(1)
13. Total (10+11+12)	82,915	99,122	1,15,407	1,28,917	14,6435
14. Repayments of Public Debt	5,369	6,776	7,669	7,383	8,288
Internal Debt (excluding Ways and Means Advances and Overdrafts)	4,179(78)	5,577(82)	6,469 (84)	5,369(73)	6,973 (84)
Net transactions under Ways and Means Advances and Overdraft	-	-	-	714(10)	-
Loans and Advances from Government of India	1,190(22)	1,199 (18)	1,200 (16)	1,300(17)	1,315 (16)
15. Appropriation to Contingency Fund	-	-	-	-	-
16. Total disbursement out of Consolidated Fund(Total Expenditure)(13+14+15)	88,284	1,05,898	1,23,076	1,36,300	1,54,723
17. Contingency Fund disbursements	117	-	-	40	310
18. Public Account disbursements	68,560	1,00,027	1,01,780	1,17,473	1,30,971
19. Total disbursement by the State (16+17+18)	1,56,961	2,05,925	2,24,856	2,53,813	2,86,004
PART C. DEFICITS					
20. Revenue Deficit(-)/Revenue Surplus(+)(1-10)	(+)3,449	(+)1,862	(+) 7,047	(+)3,508	(+)6,984
21. Fiscal Deficit (-)/Fiscal Surplus (+)(4-13)	(-)13,794	(-)20,513	(-)18,693	(-)17,248	(-) 15,433
22. Primary Deficit (21+23)	(-)2,974	(-)9,138	(-) 6,705	(-)3,032	(+) 48
PART D. OTHER DATA					
23. Interest Payments (included in revenue expenditure)	10,820	11,375	11,988	14,216	15,481
24. Financial Assistance to local bodies, etc.	15,360	15,822	18,120	30,683	39,214
25. Ways and Means Advances/Overdraft availed (days)					-
Ways and Means Advances availed (days)	-	-	-	4	-
Overdraft availed (days)	-	-	-	4	-
26. Interest on Ways and Means Advances/Overdraft	-	-	-	-	-
27 Gross State Domestic Product (GSDP)³	3,57,557³	4,12,151⁴	4,91,302⁵	5,88,467⁶	6,87,836⁷
28 Outstanding Fiscal liabilities(year end)	1,74,248	1,87,411	2,01,720	2,24,785	2,43,229
29. Outstanding guarantees (year end) (including interest)	12,736	16,084	20,038	20,162	21,752
30. Maximum amount guaranteed (year end)	18,144	27,892	29,311	29,778	29,629
31. Number of incomplete projects	53	98	126	119	183

³ Figure of GSDP for 2007-08 is provisional.

⁴ Figures of GSDP for 2008-09 is quick.

⁵ Figures of GSDP for 2009-10 is advance.

⁶ Figures of GSDP for 2010-11 is quick.

⁷ Figures of GSDP for 2011-12 is received Advance Estimates.

	2007-08	2008-09	2009-10	2010-11	2011-12
32. Capital blocked in incomplete projects	5,863	4,598	880.85	2,735	2453
Part E. Fiscal Health Indicators					
I Resource Mobilisation					
Own Tax revenue/GSDP	6.98	6.95	6.90	6.99	7.65
Own Non-Tax Revenue/GSDP	1.63	1.64	2.77	1.90	1.47
Central Transfers/GSDP	8.19	7.50	6.47	7.39	7.32
II Expenditure Management					
Total Expenditure/GSDP	23.19	24.05	23.49	21.91	21.29
Total Expenditure/Revenue Receipts	120.74	127.36	119.69	115.95	111.89
Revenue Expenditure/Total Expenditure	78.66	76.64	77.44	83.52	84.60
Expenditure on Social Services/Total Expenditure	30	32	32	34	36
Expenditure on Economic Services/Total Expenditure	32	33	29	23	23
Capital Expenditure/Total Expenditure	20	23	22	16	15
Capital Expenditure on Social and Economic Services/Total Expenditure.	20	22	21	15	14
III Management of Fiscal Imbalances					
Revenue deficit (surplus)/GSDP	-	-	-	-	
Fiscal deficit/GSDP	(-)3.86	(-)4.98	(-)3.80	(-)2.93	(-) 2.24
Primary Deficit (surplus) /GSDP	(-)0.83	(-)2.22	(-)1.36	(-)0.52	(+) 0.007
Revenue Deficit/Fiscal Deficit	--	--	--	--	--
Primary Revenue Balance/GSDP	(-) 2.06	(-) 2.31	(-) 1.01	(-)1.82	(-) 1.24
IV Management of Fiscal Liabilities					
Fiscal Liabilities/GSDP	49	45	41	38	35
Fiscal Liabilities/RR	254	241	209	202	186
Primary deficit vis-à-vis quantum spread	(+)4,664	(+)8,426	(+)17,733	(+)23,413	(+) 23134
Debt Redemption (Principal +Interest)/ Total Debt Receipts	97	96	96	84	94
V Other Fiscal Health Indicators					
Return on Investment (₹ in crore)	1.05	1.26	0.84	26.81	38.17
Balance from Current Revenue(₹ in crore)	12,536	13,960	14,422	19,530	24864
Financial Assets/Liabilities	0.63	0.66	0.72	0.77	0.81

Figures in brackets represent percentages (rounded) to total of each sub-heading.

@ GSDP figures communicated by the Government adopted.

1.6

Own Tax Revenue and Non Tax Revenue for the year 2007-12

(Reference: Paragraph 1.6.2; Page 14)

(₹ in crore)

(A) Own Tax Revenue for the year 2007-12						
Heads	2007-08	2008-09	2009-10	2010-11	2011-12	
					Revised Estimate	Actuals
Taxes on sales, Trade etc.	15,023	17,482	20,825	24,837	31,564	33,107
State Excise	3,948	4,720	5,666	6,723	8,253	8,139
Taxes on Vehicles	1,146	1,125	1,404	1,817	2,536	2,376
Stamp and Registration Fees	3,977	4,138	4,562	5,975	7,629	7,694
Land Revenue	393	549	663	1,134	246	491
Taxes on goods and passenger	110	266	271	242	-	5
Other taxes	362	379	487	382	638	801
Total	24,959	28,659	33,878	41,110	50,866	52,613

(Source: - Finance Accounts and budget document.)

(₹ in crore)

(B) Non Tax Revenue for the year 2007-12						
Heads	2007-08	2008-09	2009-10	2010-11	2011-12	
					Revised Estimate	Actuals
Interest Receipts, Dividend and Profits	1,258	1,013	631	716	924	827
General Services	1,511	2,120	8,482	5,807	4,523	4,907
Social Services	1,451	1,829	2,622	3,019	5,245	2,662
Economic Services	1,596	1,805	1,866	1,634	2,868	1,749
Total	5,816	6,767	13,601	11,176	13,560	10,145

(Source: - Finance Accounts and budget document.)

1.7

Details of PRI units remaining unaudited

(Reference: Paragraph 1.7.5; Page 29)

Name of the PRIs	Units to be audited		Audited		Arrear (<i>per cent</i>)	
	Proposed for current year	Arrear of previous years	Against current	Against arrear	Against current	Against arrear
2009-10						
ZPs	70	173	29	58	41(59)	115 (66)
KPs	810	6,113	73	291	737 (91)	5,822 (95)
GPs	51,977	2,27,641	23,988	28,670	27,989 (54)	1,98,971
2010-11						
ZPs	70	156	30	36	40 (57)	120 (77)
KPs	809	6,559	58	194	751 (93)	6,365 (97)
GPs	51,944	2,26,960	19,820	15,485	32,124 (62)	2,11,475 (93)
2011-12						
ZPs	71	160	30	33	41 (58)	127 (79)
KPs	819	7,116	115	402	704 (86)	6,714 (94)
GPs	51,257	2,43,599	24,929	19,578	26,328 (51)	2,24,021 (92)

1.8

Details of Outstanding Audit Paras*(Reference: Paragraph 1.7.5; Page 29)*

(₹ in lakh)

Year	Opening Balance of Paras		Para added during the year		Para settled during the year		Outstanding para	
	No.	Value	No.	Value	No.	Value	No.	Value
2005-06 to 2007-08	No. of para and value upto 2007-08				-	-	8146	28,128.92
2008-09	8146	28,128.92	1779	22,615.28	-	-	9925	50,744.20
2009-10	9925	50,744.20	2771	38,679.91	-	-	12696	89,424.11
2010-11	12696	89,424.11	1308	16,219.90	-	-	14004	1,05,644.01
2011-12	14004	1,05,644.01	2033	24,237.45	-	-	16037	1,29,881.46

(Source: Objection Book of Editing-I)

1.9

Summarised Financial Position of the Government
as on 31 March 2012

(Reference: Paragraph 1.10.1; Page 37)

(₹ in crore)

As on 31.03.2011	Liabilities		As on 31.03.2012
1,28,024.97	Internal Debt -		140388.62
65,003.77	Market Loans bearing interest	77,837.61	
2.86	Market Loans not bearing interest	3.21	
24.55	Loans from Life Insurance Corporation of India	18.91	
63,024.48	Loans from other Institutions	62,559.58	
(-)30.69	Ways and Means Advances	-30.69	
Nil	Overdrafts from Reserve Bank of India	-	
18509.83	Loans and Advances from Central Government -		17510.87
9.96	Pre 1984-85 Loans	9.96	
108.88	Non-Plan Loans	101.29	
18,170.44	Loans for State Plan Schemes	17,201.43	
0.84	Loans for Central Plan Schemes	0.80	
218.28	Loans for Centrally Sponsored Plan Schemes	195.96	
1.43	Ways and Means Advances	1.43	
600.00	Contingency Fund (Corpus)	-	600.00
34,099.98	Small Savings, Provident Funds, etc.	-	37,730.24
18,054.02	Deposits	-	16,015.72
26,096.44	Reserve Funds	-	31,583.69
642.25	Remittance Balances	-	433.57
2,26,027.49	Total		2,44,262.71
	Assets		
1,56,865.38	Gross Capital Outlay on Fixed Assets -	-	1,78,439.34
38,272.54	Investments in shares of Companies, Corporations, etc.	42,607.07	
1,18,592.84	Other Capital Outlay	1,35,832.27	
39.90	Contingency Fund (unrecouped)	-	309.64
10,145.58	Loans and Advances -	-	10,987.99
2,681.49	Loans for Power Projects	2,681.49	
7,333.30	Other Development Loans	8,134.14	
130.79	Loans to Government servants and Miscellaneous loans	172.36	
45.20	Reserve Fund Investments	-	45.20
8.94	Advances	-	9.11
(-)3,946.99	Suspense and Miscellaneous Balances	-	(-) 4,555.23
10,304.99	Cash -	-	13,446.70
-	Cash in Treasuries and Local Remittances		
414.63	Deposits with Reserve Bank	-619.34	
12.13	Departmental Cash Balance	12.90	
0.42	Permanent Advances	00.42	
9877.81	Cash Balance Investments	14,052.72	
52,564.49	Deficit on Government Account -	-	45,579.96
56,072.64	(i)Accumulated deficit at the beginning of the year	52,564.49	
3,508.15	(ii)Less: Revenue Surplus of the current year	6,984.53	
2,26,027.49	Total		2,44,262.71

Explanatory Notes for Appendices 1.3 and 1.9

The abridged accounts in the foregoing statements have to be read with comments and explanations in the Finance Accounts. Government accounts being mainly on cash basis, the deficit on Government account, as shown in *Appendix 1.9*, indicates the position on cash basis, as opposed to accrual basis in commercial accounting. Consequently, items payable or receivable or items like depreciation or variation in stock figures, etc., do not figure in the accounts. Suspense and Miscellaneous balances include cheques issued but not paid, payments made on behalf of the State and other pending settlements, etc. There was a difference of ₹ 220.51 crore (Net debit) between the figures reflected in the Accounts and that intimated by the Reserve Bank of India under “Deposits with Reserve Bank”.

2.1

Statement of Grants/Appropriations where savings was more than ₹ 10 crore in each Grant/Appropriation or more than 20 per cent of the total provision
(Reference: Paragraph 2.3.1; Page 50)

Sl. No.	Grant No.	Name Of The Grant/Department	Total (₹ in crore)	Savings (₹ in crore)	Percentage
Revenue-Voted					
1.	1	Excise Department	115.74	15.09	13
2.	2	Housing Department	159.54	56.16	35
3.	7	Industries Department (Heavy and Medium Industries)	233.00	201.77	87
4.	10	Agriculture and Other Allied Department (Horticulture and Sericulture Development)	152.77	11.75	8
5.	11	Agriculture and Other Allied Department (Agriculture)	2,787.08	766.36	28
6.	12	Agriculture and Other Allied Department (Land Development and Water Resources)	447.97	234.47	52
7.	13	Agriculture and Other Allied Department (Rural Development)	2,197.87	134.32	6
8.	14	Agriculture and Other Allied Department (Panchayati Raj)	2,732.44	211.62	8
9.	15	Agriculture and Other Allied Department (Animal Husbandry)	435.36	34.21	8
10.	17	Agriculture and Other Allied Department (Fisheries)	59.18	11.10	19
11.	18	Agriculture and Other Allied Department (Co-operative)	423.18	18.95	4
12.	23	Cane Development Department. (Cane)	147.88	36.76	25
13.	26	Home Department (Police)	7,330.43	54.74	1
14.	28	Home Department (Political Pension and Other Expenditure)	110.53	21.19	19
15.	31	Medical Department (Medical Education and Training)	958.61	10.91	1
16.	32	Medical Department (Allopath)	2,551.85	145.70	6
17.	33	Medical Department (Ayurved and Unani)	382.43	17.97	5
18.	35	Medical Department (Family Welfare)	1,433.47	34.80	2
19.	36	Medical Department (Public Health)	398.13	52.60	13
20.	37	Urban Development Department	1,116.01	625.51	56
21.	40	Planning Department	487.34	365.46	75
22.	42	Judicial Department	1,223.73	172.36	14
23.	44	Tourism Department	20.75	4.77	23
24.	45	Environment Department	6.47	3.08	48
25.	46	Administrative Reforms Department	8.27	1.68	20
26.	47	Technical Education Department	351.09	34.95	10

27.	48	Muslim Waqf Department	689.66	13.69	2
28.	49	Women and Child Welfare Department.	4,016.17	636.10	16
29.	50	Revenue Department (District Administration)	473.19	32.62	7
30.	51	Revenue Department (Relief on Account of Natural Calamities)	2,145.01	397.38	19
31.	52	Revenue Department (Board of Revenue and Other Expenditures)	1,710.52	69.90	4
32.	54	Public Works Department (Establishment)	1,219.35	238.54	20
33.	61	Finance Department (Debt Services and Other Expenditure)	5,817.10	59.73	1
34.	63	Finance Department (Treasury and Accounts Administration)	159.30	36.54	23
35.	68	Legislative Assembly Secretariat	76.44	10.49	14
36.	71	Education Department (Primary Education)	19,678.40	888.00	5
37.	72	Education Department (Secondary Education)	7,239.71	582.87	8
38.	73	Education Department (Higher Education)	2,115.20	745.76	35
39.	75	Education Department (State Council of Education Research & Training)	103.97	35.90	35
40.	76	Labour Department (Labour Welfare)	538.01	356.82	66
41.	78	Secretariat Administration Department	434.33	77.54	18
42.	80	Social Welfare Department (Social welfare and Welfare of Scheduled Castes)	3,455.81	130.06	4
43.	81	Social Welfare Department (Tribal Welfare)	69.94	33.64	48
44.	83	Social Welfare Department (Special Component Plan For Scheduled Castes)	6,740.80	792.46	12
45.	84	General Administration Department	196.59	46.26	24
46.	86	Information Department	144.81	61.14	42
47.	94	Irrigation Department (Works)	2,095.85	504.35	24
48.	95	Irrigation Department (Establishment)	2,014.26	18.03	1
49.	19	Personal Department (Training and Other Expenditure)	8.33	3.49	42
Total			87,413.87	9,049.59	—
Revenue-Charged					
1.	1	Excise Department	0.20	0.20	100
2.	3	Industries Department (Small and Export Promotion)	0.04	0.02	50
3.	11	Agriculture and Other Allied Department (Agriculture)	0.15	0.06	40
4.	15	Agriculture and Other Allied Department (Animal Husbandry)	0.14	0.03	21
5.	25	Home Department (Jails)	0.10	0.10	100
6.	26	Home Department (Police)	0.75	0.40	53
7.	32	Medical Department (Allopathy)	0.20	0.09	45
8.	35	Medical Department (Family Welfare)	0.15	0.04	27
9.	36	Medical Department (Public Health)	0.01	0.01	100

10.	42	Judicial Department	237.94	65.73	28
11.	54	Public Works Department (Establishment)	0.04	0.03	75
12.	58	Public Works Department (Communication-Roads)	0.05	0.05	100
13.	67	Legislative Council Secretariat	0.54	0.26	48
14.	68	Legislative Assembly Secretariat	0.72	0.27	38
15.	72	Education Department (Secondary Education)	0.03	0.02	67
16.	73	Education Department(Higher Education)	0.01	0.01	100
17.	95	Irrigation Department (Establishment)	0.50	0.47	94
Total			241.57	67.79	—
Capital-Voted					
1.	2	Housing Department	1,138.64	195.03	17
2.	3	Industries Department (Small and Export Promotion)	2.16	1.16	54
3.	4	Industries Department (Mines and Minerals)	7.00	1.94	28
4.	7	Industries Department (Heavy and Medium Industries)	156.12	50.91	33
5.	9	Power Department	4,149.00	114.64	3
6.	11	Agriculture and Other Allied Department (Agriculture)	749.81	100.86	13
7.	13	Agriculture and Other Allied Department (Rural Development)	1,463.99	191.83	13
8.	14	Agriculture and Other Allied Department (Panchayati Raj)	593.42	24.13	4
9.	15	Agriculture and Other Allied Deptt. (Animal Husbandry)	20.50	7.88	38
10.	19	Personal Department (Training and Other Expenditure)	5.84	5.84	100
11.	21	Food Department	8,774.34	1811.79	21
12.	25	Home Department (Jails)	392.60	188.10	48
13.	26	Home Department (Police)	874.56	488.36	56
14.	27	Home Department (Civil Defence)	15.12	11.12	74
15.	28	Home Department (Political Pension & Other Expenditure)	0.30	0.30	100
16.	31	Medical Department (Medical Education and Training)	541.91	29.21	5
17.	32	Medical Department (Allopathy)	491.57	147.14	30
18.	34	Medical Department (Homeopathy)	1.81	0.42	23
19.	37	Urban Development Department	2,292.00	261.76	11
20.	38	Civil Aviation Department	76.40	35.00	46
21.	40	Planning Department	1,407.42	659.52	47
22.	42	Judicial Department	260.78	78.43	30
23.	44	Tourism Department	66.69	24.65	37
24.	46	Administrative Reforms Department	7.50	7.50	100
25.	47	Technical Department	202.95	53.48	26
26.	48	Muslim Waqf Department	524.81	373.36	71

27.	49	Women and Child Welfare Department	3.00	2.25	75
28.	51	Revenue Department (Relief on Account of Natural Calamities)	10.00	4.21	42
29.	53	National Integration Department	0.01	0.01	100
30.	56	Public Works Department (Special Area Programme)	220.00	111.32	51
31.	57	Public Works Department (Communication- Bridges)	1,419.33	310.23	22
32.	61	Finance Department (Debt Services and Other Expenditure	519.00	401.78	77
33.	62	Finance Department (Superannuation Allowance & Pension)	150.00	74.83	50
34.	63	Finance Department (Treasury and Accounts Administration)	15.10	6.43	43
35.	65	Finance Department (Audit, Small Savings etc)	0.04	0.02	50
36.	67	Legislative Council Secretariat	0.14	0.14	100
37.	72	Education Department (Secondary Education)	161.82	127.86	79
38.	73	Education Department (Higher Education)	71.50	19.28	27
39.	75	Education Department (State Council of Education Research and Training	0.10	0.10	100
40.	79	Social Welfare Department (Welfare of the Handicapped and Backward Caste)	97.58	13.82	14
41.	81	Social Welfare Department (Tribal Welfare)	39.68	31.55	80
42.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	4,872.19	415.46	9
43.	87	Soldiers Welfare Department	1.03	0.27	26
44.	91	Institutional Finance Department (Stamp & Registration)	3.48	0.96	28
45.	92	Culture Department	33.35	30.28	91
46.	94	Irrigation Department (Works)	3,317.97	734.86	22
Total			35,152.56	7,150.02	—
Capital-Charged					
1.	9	Power Department	49.48	49.48	100
2.	21	Food Department	1,200.04	110.04	9
3.	42	Judicial Department	18.08	13.56	75
4.	52	Revenue Department (Board of Revenue & Other Expenditure)	0.11	0.11	100
5.	58	Public Work Department (Communication-Road)	5.50	2.09	38
6.	61	Finance Department (Debt Services and Other Expenditure	17,209.86	9,999.25	58
7.	94	Irrigation Department (Works)	30.00	24.72	82
Total			18,513.07	10,199.25	--
Grand Total			1,41,321.07	26,466.65	

2.2

Statement of Grants/Appropriations where expenditure was more than ₹ Ten crore in each Grant/Appropriation or more than 20 per cent of the total provision
(Reference: Paragraph 2.3.3; Page 52)

Sl. No.	Grant No.	Name of the Grant/Department	Total Grant (₹ in crore)	Total Expenditure (₹ in crore)	Percentage of Excess Expenditure
Revenue-Voted					
1	58	Public Works Department (Communication-Roads)	1,704.95	1,811.72	6
2	62	Finance Department (Superannuation Allowance and Pension)	10,634.41	11,089.87	4
Total			12,339.36	12,901.59	--
Revenue-Charged					
1	23	Cane Development Department (Cane)	0.02	0.05	150
2	61	Finance Department (Debt Services and Other Expenditure)	23,462.69	23,790.98	1
3	62	Finance Department (Superannuation Allowance and Pension)	16.52	28.15	70
Total			23,479.23	23,819.18	--
Capital-Voted					
1	55	Public Works Department (Buildings)	50.93	105.47	107
2	58	Public Work Department (Communication-Roads)	3,393.67	4,462.04	31
Total			3,444.6	4,567.51	--
Grand Total			39,263.19	41,288.28	--

2.3

Excess over provision of previous years requiring regularisation

(Reference: Paragraph 2.3.4; Page 53)

Year	Number of Grants/ Appropriations	Number of Grant / Appropriations	Amounts of excess (₹ in crore)
2005-06	25- Grants 4-Appropriations	Revenue- Voted 8, 12, 19, 53, 55, 57, 58, 72 Capital- Voted 15, 16, 18, 23, 24, 33, 34, 37, 38, 40, 55, 56, 57, 58, 73, 75, 96 Revenue- Charged 1, 52 Capital- Charged 52, 55	1,026.78
2006-07	18- Grants 6-Appropriations	Revenue- Voted 9, 13, 55, 58, 61, 62, 73, 91, 95 Capital- Voted 3, 16, 31, 37, 55, , 57, 58, 89, 96, Revenue- Charged 2, 3, 10, 52, 62, 89	2,484.47
2007-08	12- Grants 2-Appropriations	Revenue –voted 51,55,57,58,62 Capital voted 13,16,55,58,63,83,96 Revenue charged 51,66	3,610.65
2008-09	5-Grants 1-Appropriation	Revenue Voted 62,96 Capital voted- 55,58,96 Revenue Charged- 52	3,399.42
2009-10	6-Grants 4-Appropriations	Revenue Voted- 58 Capital voted- 1,16, 55, 58, 59 Revenue Charged- 3, 10, 16, 48, 52, 66	1,250.16
2010-11	6-Grants 4- Appropriations	Revenue Voted – 30, 51, 91 Capital voted – 10, 55, 58 Revenue Charged –10, 23, 61, 82	1,702.62
Total			13,474.10

2.4

**Cases where supplementary provision
(₹ 50 lakh or more in each case) proved unnecessary**
(Reference: Paragraph 2.3.6; Page 54)

(₹ in crore)

Sl. No.	Grant No.	Name of The Grant/Department	Original Provision	Actual Expenditure	Supplementary Provision	Savings out of Original Provision
Revenue-Voted						
1.	3	Industries Department (Small and Export Promotion)	110.37	103.52	0.95	6.85
2.	10	Agriculture and Other Allied Department (Horticulture and Sericulture Development)	147.34	141.02	5.43	6.32
3.	11	Agriculture and Other Allied Department (Agriculture)	2,486.58	2,020.72	300.50	465.86
4.	15	Agriculture and Other Allied Department (Animal Husbandry)	430.96	401.15	4.40	29.81
5.	22	Sports Department	42.74	42.18	2.97	0.56
6.	28	Home Department (Political Pension and Other Expenditure)	107.84	89.34	2.70	18.50
7.	33	Medical Deptt (Ayurved & Unani)	381.27	364.46	1.17	16.81
8.	37	Urban Development Department	1,112.10	490.50	3.91	621.60
9.	40	Planning Department	486.10	121.88	1.24	364.22
10.	42	Judicial Department	1,147.73	1,051.37	76.00	96.36
11.	45	Environment Department	5.97	3.39	0.50	2.58
12.	47	Technical Department	348.64	316.13	2.45	32.51
13.	49	Women and Child Welfare Department	3,520.40	3,380.07	495.77	140.33
14.	52	Revenue Department (Board of Revenue & Other Expenditures)	1,659.15	1,640.62	51.37	18.53
15.	60	Forest Department	355.13	353.37	3.11	1.76
16.	63	Finance Department (Treasury and Accounts Administration)	158.30	122.76	1.00	35.54
17.	65	Finance Department (Audit, Small Savings etc.)	147.37	142.69	1.00	4.68
18.	68	Legislative Assembly Secretariat	75.94	65.95	0.51	9.99
19.	71	Education Department (Primary Education)	18,881.00	18,790.40	797.40	90.60
20.	73	Education Department (Higher Education)	2,074.17	1,369.44	41.03	704.73
21.	75	Education Department (State Council of Education Research and Training)	96.07	68.07	7.90	28.00
22.	79	Social Welfare Deptt (Welfare of Handicapped & Backward Caste)	1,412.42	1,406.81	2.94	5.61

23.	80	Social Welfare Department (Social welfare and Welfare Scheduled Castes)	3,394.05	3,325.75	61.76	68.30
24.	81	Social Welfare Department (Tribal Welfare)	39.34	36.30	30.60	3.04
25.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	6,163.03	5,948.34	577.77	214.69
Total			44,784.01	41,796.23	2,474.38	2,987.78
Revenue-Charged						
26.	42	Judicial Department	187.94	172.21	50.00	15.73
Total			187.94	172.21	50.00	15.73
Capital-Voted						
27.	2	Housing Department	1,072.64	943.62	66.00	129.03
28.	7	Industries Department (Heavy and Medium Industries)	154.30	105.21	1.82	49.09
29.	11	Agriculture and Other Allied Department (Agriculture)	687.72	648.95	62.10	38.76
30.	13	Agriculture and Other Allied Department (Rural Development)	1,313.99	1,272.16	150.00	41.83
31.	14	Agriculture and Other Allied Department (Panchayati Raj)	582.19	569.29	11.23	12.90
32.	15	Agriculture and Other Allied Department (Animal Husbandry)	15.03	12.62	5.47	2.41
33.	21	Food Department	8,770.58	6,962.55	3.76	1,808.03
34.	22	Sport Department	38.50	38.17	0.80	0.34
35.	25	Home Department (Jails)	390.10	204.50	2.50	185.60
36.	26	Home Department (Police)	604.21	386.20	270.35	218.01
37.	27	Home Department (Civil Defence)	13.62	3.99	1.50	9.62
38.	33	Medical Department (Ayurved & Unani)	31.23	29.69	1.00	1.54
39.	40	Planning Department	1,050.56	747.90	356.86	302.66
40.	42	Judicial Department	210.78	182.35	50.00	28.43
41.	47	Technical Department	180.69	149.47	22.26	31.21
42.	57	Public Works Department (Communication- Bridges)	1,262.42	1,109.10	156.91	153.32
43.	60	Forest Department	122.37	121.53	4.20	0.85
44.	72	Education Department (Secondary Education)	119.80	33.96	42.03	85.84
45.	94	Irrigation Department (Works)	3,306.65	2,583.11	11.32	723.54
Total			19,927.38	16,104.37	1,220.11	3,823.01
Grand Total			64,899.33	58,072.81	3,744.49	6,826.50

Statement of Grants/Appropriations where supplementary provision proved insufficient by more than ₹ Two crore in each Grant/ Appropriation
(Reference: Paragraph 2.3.6; Page 54)

(₹ in crore)

Sl. No.	Grant No.	Name of The Grant/Appropriation	Original Provision	Supplementary Provision	Total Provision	Total Expenditure	Excess
Revenue-Voted							
1	91	Institutional Finance Department (Stamp and Registration)	138.48	1.94	140.42	149.66	9.24
Capital-Voted							
2	58	Public Works Department (Communication-Roads)	2,817.43	575.95	3,393.38	4,462.04	1,068.66
Grand Total			2,955.91	577.89	3,533.80	4,611.70	1,077.90

2.6

Excess/Unnecessary/Insufficient Re-appropriation of Funds

Reference: Paragraph 2.3.7; Page 54)

(₹ in lakh)

Sl. No.	Grant No.	Name of the Grant	Head of Account	Re-appropriation	Excess	Saving
1.	1	Excise Department	2039-001-03	381.06	1.19	0
2.			2039-001-04	34.00	0	2.37
3.	3	Industries Department (Small Industry & Export Promotion)	2851-800-13	100.00	25.73	0
4.	9	Power Department	2045-103-03	319.00	5.51	0
5.	11	Agriculture & Other Allied Department (Agriculture)	2401-001-03	200.00	0	7.52
6.			2401-001-05	360.00	0	27.72
7.			2401-103-03	154.75	0	6.89
8.			2401-109-03	347.67	0	34.61
9.			2402-101-03	319.32	2.72	0
10.			2415-120-12	140.00	0	18.75
11.	13	Agriculture & Other Allied Department (Rural Development)	2702-005-03	400.00	0	126.64
12.			2515-003-03	203.49	0	64.91
13.			2515-102-03	1,813.50	125.56	00
14.			2515-102-04	94.26	0	11.38
15.			2515-102-06	644.59	0	67.68
16.			2515-800-01	2,566.28	0	3.01
17.			5054-337-03	7.65	0	0.01
18.	14	Agriculture & Other Allied Department	2515-101-09	14.64	0	0.01
19.	15	Agriculture & Other Allied Department (Animal Husbandry)	2403-101-01	136.11	0	2,189.06
20.			2403-800-06	150.06	0	489.94
21.			4403-101-03	19.92	0	5.51
22.	16	Agriculture & Other Allied Department (Dairy Development)	2404-102-01	108.02	0	235.34
23.	21	Food and Civil Supply Department	4408-001-03	1,216.61	29.70	0
24.	25	Home Department (Jails)	2056-001-03	2.00	1.93	0
25.			2056-101-03	81.02	0	9.32
26.			4059-051-03	2,067.09	0	44.67
27.			4074-800-14	41.17	0	0.01
28.	26	Home Department (Police)	2055-003-04	107.80	0	1,481.88
29.			2055-101-04	39.20	0	251.34
30.			2055-109-03	2,716.87	0	1,950.61
31.			2055-800-07	0.85	0	27.41

32.			2070-108-03	1,287.99	0	266.03
33.			2070-800-04	29.31	0	30.80
34.			2055-104-03	9,306.52	0	761.61
35.			2055-108-03	134.30	0	50.31
36.			2055-109-04	2,810.17	296.56	0
37.			2055-109-05	1,763.98	0	585.05
38.			2055-109-07	879.00	0	317.18
39.			2055-111-03	629.10	3.26	0
40.			2055-113-04	148.81	0	43.38
41.			2055-115-03	202.05	0	179.32
42.			2055-116-03	5.40	46.77	0
43.			2235-200-03	252.80	275.97	0
44.			2235-200-10	100.00	79.04	0
45.			2235-800-04	10.00	0	1.73
46.	27	Home Department (Civil Defence)	2070-107-06	1,817.02	0	42.24
47.			2070-107-07	1,438.92	0	28.90
48.			2070-800-03	17.54	0	0.07
49.	28	Home Department (Political Pension & Other Expenditure)	2251-092-03	0.50	0	13.69
50.			2251-200-04	16.65	0	0.12
51.			3055-190-03	30.33	0	0.07
52.	29	Confidential Department (Governors Secretariat)	2012-090-03	15.54	0	4.20
53.			2012-800-03	6.74	6.27	0
54.	32	Medical Department (Allopathy)	2210-110-04	1,206.81	0	8,083.51
55.			2210-800-03	.58	0	455.44
56.			2211-200-04	1,750.02	0	965.33
57.	37	Urban Development Department	2053-094-04	38.50	0	18.41
58.			2215-800-01	1,061.67	0	0.01
59.			2215-800-01	343.00	0	239.01
60.			2030-101-01	6,121.65	0	2.58
61.			4215-106-01	1,639.71	1,926.85	0
62.			4215-191-03	3,000.00	1,577.15	0
63.	38	Civil Aviation Department	5053-800-13	133.35	0	133.35
64.	41	Election Department	2015-103-05	860.29	8.99	0
65.			2015-105-03	73.74	0	8.52
66.	42	Judicial Department	2014-114-03	44.13	.28	0
67.			2014-800-06	170.45	0	67.91
68.			2014-800-12	2,490.00	373.48	0
69.			2071-800-03	100.00	0	25.48
70.			2235-200-04	81.17	2.41	0
71.			2014-102-03	412.33	90.19	0
72.			4059-051-04	162.14	0	24.02
73.	45	Environment Department	3435-001-03	9.61	0	16.75

74.	46	Administration Department	2052-091-03	14.72	0.08	0
75.	47	Technical Department	2203-103-19	39.45	0	39.46
76.			2203-104-24	35.29	0	0.03
77.	48	Minorities Department	2202-800-01	2,052.92	0	303.22
78.			2225-800-03	2,500.00	0	36.11
79.	49	Women and Child Welfare Department	2235-102-08	2.50	19.39	0
80.	51	Revenue Department (Relief on Account of Natural Calamities)	2052-090-03	24.00	0	11.61
81.	52	Revenue Department (Board of Revenue & Other Expenditure)	2029-800-05	1,322.53	0	2,954.17
82.	54	Public Works Department (Establishment)	2059-001-04	2656	7,061.59	0
83.	55	Public Works Department (Building)	4059-051-23	152.81	0	51.82
84.	57	Public Works Department (Communication Bridges)	5054-101-97	232.31	0	232.31
85.			5054-101-36	1,678.00	1,102.04	0
86.	58	Public Works Department (Communication-Roads)	5054-337-64	4,000.00	0	3,122.36
87.			5054-337-77	1400.00	7.58	0
88.	59	Public Works Department (Estate Directorate)	2013-800-03	80.00	0	22.71
89.			2059-053-04	30.37	0	0.08
90.			2052-090-03	318.17	0	16.10
91.			2059-053-03	79.10	0	0.30
92.			4059-051-04	177.23	0	7.12
93.	61	Finance Department (Debt Service and other Expenditure)	2049-123-04	8,171.14	0	0.03
94.	63	Finance Department (Treasury and Accounts Administration)	2054-097-04	9.00	0	0.01
95.	65	Finance Department (Audit, Small Savings etc.)	2054-098-03	163.00	0	9.16
96.	71	Education Department (Primary Education)	2202-001-04	2,137.34	0	493.94
97.			2202-102-15	1,614.00	0	455.30
98.			2202-104-03	500.00	190.69	0
99.			2202-800-03	3.65	130.36	0
100.	72	Education Department (Secondary Education)	2202-001-03	4.58	342.62	0
101.			2202-001-04	379.97	9.33	0
102.			2202-800-20	1,524.68	30,982.55	0
103.	73	Education Department (Higher Education)	2202-103-03	400.00	0	4.59
104.	75	Education Department (State Council of Education	2202-003-08	12.00	0	0.26
105.			2202-003-15	49.99	0	0.60

106.		Research & Training)	2202-800-01	21.50	0	3.17
107.	76	Labour Department (Labour Welfare)	2210-102-05	21.91	0	8.33
108.			2230-800-04	29.11	5.36	0
109.	77	Labour Department (Employment)	2230-001-03	0.28	7.14	0
110.	78	Secretariat Administration Department	2251-090-03	25.00	0	1,241.34
111.			2013-104-03	87.00	0	11.73
112.			2052-090-04	8.00	0	3.48
113.			2220-800-03	30.00	0	0.06
114.	79	Social Welfare Department (Welfare of the Handicapped and Backward Caste)	2235-101-06	102.39	0	29.02
115.			2235-101-14	209.71	0	89.03
116.			4235-101-19	2,000.00	0	60.30
117.	80	Social Welfare Department. (Social Welfare and Welfare of Scheduled Castes)	2225-001-03	10.00	11.33	0
118.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	2217-789-01	1,061.72	0	5.00
119.			2225-789-01	640.00	32,584.65	0
120.			4210-789-04	99.90	0	78.47
121.			5054-789-05	77,477.18	0	288.40
122.	86	Information Department	2220-101-05	2.23	0	5,618.13
123.			2220-102-03	6.83	14.04	0
124.			2220-106-03	16.02	23.91	0
125.			2220-110-03	600.00	0	0.01
126.	89	Institutional Finance Department (Commercial Tax)	2040-800-06	14.61	0	10.41
127.	90	Institutional Finance Department (Entertainment and Betting Tax)	2045-101-03	112.65	5.92	0
128.	91	Institutional Finance Department (Stamp & Registration)	2030-001-04	289.50	0	274.50
129.			2030-001-03	16.10	302.99	0
130.	92	Culture Department	2205-104-01	10.00	0	12.15
131.			2205-103-03	21.00	0	10.71
132.	94	Irrigation Department (Works)	4700-051-10	296.11	0	7,869.96
133.			4700-09-050- 10	300.15	0	591.24
134.			4711-103-03	25.00	0	627.12
135.			4700-051-12	1,933.84	0	901.26
136.			4700-051-11	100.00	0	0.02
137.			4700-050-15- 10	299.53	0	116.36
138.			4700-051-11	460.92	0	308.87
139.			4700-051-10	637.13	0	152.90
140.			4701-051-12	156.93	4.47	0

141.			4701-050-10	90.53	0	0.45
142.			4701-051-10	897.25	0	0.99
143.			4701-051-10	2,365.33	0	0.08
144.			4701-051-10	183.00	0	0.04
145.			4701-050-10	705.47	0	411.69
146.			4711103-06	397.00	0	253.20
147.			4711103-09	574.74	0	380.35
148.	95	Irrigation Department (Establishment)	2701-001-05	575.87	503.35	0
Total				1,80,064.19	78,188.95	46,541.67

2.7

Substantial surrenders made during 2011-12

(Reference: Paragraph 2.3.8; Page 55)

(₹ in Lakh)

Sl. No.	Grant No.	Name of Department	Name of Scheme (Head of Account)	Provision	Amount surrendered	Surrender in per cent
1.	1	Excise Department	2039-001-04 District Executive Establishment	20.00	19.61	98
2.			4059-051-03 Lump sum provision for construction of office and godowns of Excise Department	140.50	81.9	58
3.	3	Industries Department (Mines and Minerals)	2853-004-06 Schemes for Mineral Development	100.00	85.73	86
4.	7	Industries Department (Heavy and Medium Industries)	2852-800-04 (other Expenditure) Express Way Scheme with Cooperation of Private Sector	1,500.00	1,500.00	100
5.			2852-800-04 (other Expenditure) Prosecution of court Cases	40.00	27.86	70
6.			2852-800-06 (other Expenditure) Dis-investment and privatisation of PPP Project etc.	700.00	350	50
7.			2852-800-10 Incentive to Industrial units under Heavy Industries Investment Policy etc.	18,000.00	18,000.00	100
8.			6860-190-07 Loans to ITR Company Barreilly for various misc. exp.	9.00	4.99	55
9.			6885-190-04 Loans to Provincial Industrial Investment etc.	100.00	85.53	86
10.	11	Agriculture and Other Allied Department (Agriculture)	2401-103-01 Seeds Central Plan	1,643.19	877.79	53
11.			2401-103-04 Grant for Certified Seeds	6,600.84	3,441.33	52
12.			2401-103-05 Scheme for Increase of Hybrid Seed Production	3,000.00	2,790.96	93
13.			2401-110-01 Crop Insurance Central Plan	582.16	401.48	69
14.			2401-110-01 Crop Insurance Payment of premium to Indian Agricultural Insurance Company Ltd.	5,012.83	3,102.51	62
15.			2401-111-05 Agricultural Economic and Statistics- Data bank of Crops Production etc.	518.06	289.97	56
16.			2401-113-01 Agricultural Engineering Central Plan	18.60	17.04	92
17.			2401-800-01 Other Expenditure- Central Plan	118.44	118.44	100
18.			2401-800-03 Other Expenditure- National Agriculture Development	47,900.93	2,6222.67	55
19.			2402-102-03 Schemes of irrigation and rain water reserve in Bundelkhand	6,173.66	5,415.27	88
20.			2402-102-05 Strengthening of Soil Health	6,744.00	5,669.17	84
21.			2402-103-06 Distribution of Gypsum to cure the deficiency of micro element in soil and for land improvement	1,748.00	1,217.09	70

22.			2415-120-23 Research Program in Agriculture and Technological Universities	300.00	300.00	100
23.			2415-120-24 Agriculture extension Scheme in Agriculture and Technological Universities	200.00	200.00	100
24.			2415-120-25 Schemes operated with the Co-operation of Indian Agriculture Research Council	800.00	621.00	78
25.			2415-120-26 Schemes operated with the Co-operation of Indian Agriculture Research Council	170.69	170.69	100
26.			2402-101-05 Strengthening of Bio-fertilizer production labs ect.	575.00	395.00	69
27.			4401-103-01 Capital Outlay on Crop Husbandry- Central Plan	1,025.00	1,025.00	100
28.			4401-105-04 Capital Outlay on Crop Husbandry- National Project on Management of Soil Health and Fertility	243.25	243.25	100
29.			4401-107-04 Capital Outlay on Crop Husbandry- Control of Insect etc.	500.00	490.92	98
30.			4401-190-03 Capital Outlay on Crop Husbandry-National Agriculture Development Scheme	1,000.00	894.34	89
31.			4415-277-16 Construction of VIP Guest House in Agriculture University, Faizabad	218.96	218.96	100
32.			4415-277-28 Agricultural and Technical University ,Faizabad	3,866.23	2,861.48	74
33.		Agriculture and Other Allied Department (Panchayati Raj)	2070-800-04 Pradeshik Rakshak Dal	95.00	58.90	62
34.	14		2204-104-03 Organisation of Rural Sport and game competition (Dist. Plan)	56.00	28.00	50
35.			2204-800-03 Contribution of youth in construction of Nation and Social Programmes	54.00	27.93	52
36.			2204-800-04 Public Awareness Programmes	16.00	8.00	50
37.			4070-800-03 Construction of Rural Stadiums	18.00	18.00	100
38.			2401-109-01 Central Plan	680.00	680.00	100
39.	23	Cane Development Department (Cane)	2401-001-03 Establishment of Cane Commissioner	1.50	0.76	51
40.	24	Cane Development Department (Sugar Industry)	2852-201-05 Payment to U.P. Sugar special fund etc.	360.00	188.98	52
41.	33	Medical Department (Ayurvedic and Unani)	4210-800-06 Unani Colleges and affiliated Hospitals	61.00	61.00	100
42.	38	Civil Aviation Department	5053-800-03 Purchase of Helicopter/Aeroplane	3,500.00	3,500.00	100
43.			2015-103-04 Legislative Council	5.50	5.38	98
44.	41	Election Department	2015-105-04 Bye Election	130.81	127.67	98

45.			2015-106-07 Accidental Insurance of the persons deputed for the work of Legislative Assembly Election Areas	50.00	28.50	57
46.			2015-106-09 Accidental Insurance of the persons deputed for the work of Bye Election of Lok Sabha/Legislative Assembly	10.00	5.00	50
47.			2014-105-01 Central Plan	500.02	500.02	100
48.			2014-105-10 Implementation of Recommendation of the 13th Finance Commission	8,638.00	7,568.32	88
49.			2235-200-10 Implementation of Recommendation of the 13th Finance Commission	844.74	697.98	83
50.			2014-102-04 Implementation of Recommendation of the 13th Finance Commission	31.00	26.54	86
51.			2014-800-12 Payment of Arrears	1,636.91	1,097.39	67
52.			4059-051-04 Construction in Hon'ble High Court	1,807.85	1,379.51	76
53.	46	Administrative Reforms Department	4059-051-01 Central Plan	750.00	750.00	100
54.	49	Women and Child Welfare Department	2053-093-03 Collectorate Establishment	15.00	10.78	72
55.	52	Revenue Department (Board Of Revenue and Other Expenditures)	2049-101-03 Interest on Compensation bond and Stock Certificates	0.10	0.10	100
56.			2235-200-03 Assistance to dependents of Deceased Government Employees	100.00	58.87	59
57.	61	Finance Department (Debt Services and Other Expenditure)	4070-800-03 Expenditure on Survey, Feasibility Report, DPR etc.	5,000.00	5,000.00	100
58.			6003-110-03 Re payment of Ways and Means Advance	10,00,000.00	9,99,841.21	100
59.			2054-800-04 Mission Mode Plan	100.00	100.00	100
60.	63	Finance Department (Treasury and Accounts Administration)	4059-051-03 Misc. Construction/ Renovation Work in different Treasuries of the State	1,146.43	591.76	52
61.			2202-107-11 National Scholarship to Genius Students of Rural Areas of Secondary (Class 9 to 10) level	24.00	18.90	79
62.			2202-107-13 Increase in the rate of Scholarship of High School and Inter	40.00	30.28	76
63.	72	Education Department (Secondary Education)	2202-107-18 Qualification Scholarship for three years @ 15/-p.m. for class 6 to 8 in the plain Area of the State	45.00	45.00	100
64.			2202-110-05 Educational tour of Teacher of aided Higher Secondary School	5.00	5.00	100
65.			2202-110-08 Agreement for payment of Honorarium to subject Specialist in Non-government Higher Secondary School	50.00	50.00	100

66.			2204-102-05 Extension of Scout Scheme in Secondary School(District plan)	11.00	6.22	57
67.			4202-202-16 Present District State Library	10.50	10.50	100
68.			2202-102-42 Grant to Sampurnanand Sanskrit University for Publication of available Handwritten Script	25.00	25.00	100
69.			2202-104-06 Seminar and Symposium in aided Degree Colleges of State	16.50	9.50	58
70.			2202-800-05 Payment of Arrears	80,066.20	74,319.41	93
71.			2202-800-08 Grant to Teachers for participation in Foreign Meeting and Seminars	5.00	5.00	100
72.			2204-102-03 Grant for programmes Financed from Student Welfare Fund	20.00	20.00	100
73.			2202-102-01 Central Plan	0.02	0.02	100
74.			2202-102-03 Allahabad University	0.01	0.01	100
75.			4202-203-04 Establishment of new Govt. Degree College	500.00	370.00	74
76.			4202-203-06 Purchase of Land/Construction of building for office of Regional Higher Education Officer	100.00	100.00	100
77.			4202-203-16 Grant to State University for Current Construction work and other Development	500.00	500.00	100
78.			4202-203-19 Extension of Basic Facilities in State University	800.00	443.91	55
79.			4202-203-23 Operation of on line Education in Government Graduate/Post Graduate Degree College	500.00	500.00	100
80.			4202-203-24 Panchsheel Degree College	100.00	100.00	100
81.	73	Education Department (Higher Education)				
82.	75	Education Department (State Council Of Education Research and Training)	4202-201-01 Central Plan	10.03	10.03	100
83.	76	Labour Department (Labour Welfare)	2230-111-03 Expenditure from U.P.Building and other related construction artisan welfare fund	17,700.00	17,700.00	100
84.	78	Secretariat Administration Department	2075-104-03 Special Awards	5.00	5.00	100
85.	81	Social Welfare Department (Tribal Welfare)	2215-796-03 Water Supply Programe for Scheduled Tribe	275.00	206.25	75
86.			2225-796-01 Central Plan	921.90	817.81	89
87.			2225-796-07 Subsidiary Grant to Tribes residing in the State and presently included in Scheduled Castes	20.00	14.35	72
88.			2225-796-08 Hostel for students of Scheduled Tribes	22.95	12.12	53

89.			2225-796-10 Grant to girl students of Scheduled Tribes under Book Bank Scheme for free text books	10.00	7.24	72
90.			2225-796-12 Uniform and bicycle grant for girl students of Scheduled Tribes	50.00	29.03	58
91.			2230-796-03 Establishment of Govt. Industrial Training Institutes in Scheduled Tribes Populated areas	41.13	41.13	100
92.			2235-796-04 Nutrition Given By The State Government On Integrated Child Development Projects Under Nutrient Programme (Central 50%, State 50%)	10.00	7.54	75
93.			2235-796-03 Chief Minister " Mahamaya Garib Arthik Madad" Scheme, Uttar Pradesh	2,410.01	1,325.91	55
94.			2215-796-01 Central Plan	22.50	12.55	56
95.			4225-796-01 Central Plan	3,745.06	3,145.06	84
96.			2070-789-03 Strengthening of Prantiya Rakshak Dal	200.00	126.20	63
97.			2210-02-789-03 Govt. Ayurvedic/Unani Hospital	97.48	97.48	100
98.			2210-04-789-03 Govt. Ayurvedic/Unani Hospital	294.20	294.20	100
99.			2225-01-789-07 Grant to Voluntary Institution for Construction of hostels for S.C	30.00	30.00	100
100.			2225-01-789-09 Maintenance of Govt. Hostels/ Ashram type Govt. School	500.00	334.28	67
101.			2225-01-789-11 Scholarship of non-recurring assistance to students of S.C.studing in class 9&10	400.00	400.00	100
102.			2225-01-789-13 Monitoring and computerisation of scho;oship schemes for difference classes	250.00	128.38	51
103.			2225-80-789-03 Special Upliftment Programe for Kol Caste	679.50	679.5	100
104.			2230-02-789-03 Educational and Guidance Center for applicants for S.C.	146.25	108.26	74
105.			2230-03-789-03 Provincial Staff Training and Research Centre at ITI, Aliganj, Lucknow	96.55	93.76	97
106.			2230-03-789-05 Short-period Professional Training in Govt. Industrial Training Institutes	86.87	69.24	80
107.			2401-789-07 Horticultural Development Programme	300.00	150.43	50
108.			2506-789-01 Central Plan	10.00	8.79	88
109.			2515-789-01 Central Plan	4,977.50	3,289.02	66
110.			4202-01-789-03 Strengthening of primary schools situated in Ambedkar Villages	8,788.00	5,276.19	60
111.			4202-02-789-05 Establishment of Govt. Polytechnics in SC populated districts	254.26	254.26	100
112.			4202-03-789-03 Construction of Rural Stadium for S.C. Youth	200.00	200.00	100

83

Social Welfare
Department
(Special
Component Plan
for SCs)

113.			4210-03-789-04 Govt. Allopathy Medical College, Kannauj	245.01	245.01	100
114.			4210-03-789-05 Govt. Allopathy Medical College, Orai, Jalaun	245.01	245.01	100
115.			4210-03-789-06 Para Medical College Jhansi	6,500.01	6,500.01	100
116.			4210-03-789-07 Govt. Allopathy Medical College, Saharanpur	5,000.01	5,000.01	100
117.			4225-01-789-03 Capital Investment in UP Scheduled Caste Finance and Development Corporation Ltd.	3,181.38	3,116.38	98
118.			4225-01-789-05 Upgradation of Government Ashram type schools up to class 12th	400.00	400.00	100
119.			4225-01-789-08 Hostel for Student/Girl students of scheduled castes in premises of voluntary institutions/University/College	100.00	100.00	100
120.			4225-01-789-09 Construction of Building of coaching center	200.00	200.00	100
121.			4225-01-789-10 Integrated Development scheme for most backward SC group-	750.00	499.74	67
122.			4225-80-789-03 Special Upliftment Programme for Kol Castes	355.00	355.00	100
123.			4702-789-03 Chaudhri Charan Singh Tubewell Project(Financed by NABARD)	0.01	0.01	100
124.	84	General Administration Department	2070-800-04 Uttar Pradesh Civil Council	11.53	6.88	60
125.			3475-800-04 Public Enterprises Department(Audit Cell)	14.18	7.64	54
126.	86	Information Department	2220-60-103-03 Press Information Services Programme	53.04	33.20	63
127.			2220-60-800-07 Establishment of Film Development Fund	50.00	50.00	100
128.			2220-60-800-08 Honorable Kanshi Ram remembrance function	400.00	283.25	71
129.	89	Institutional Finance Department (Commercial Tax)	2040-800-05 Establishment of Vyasthapan Ayog	55.85	45.29	81
130.			2040-800-03 Establishment of Commercial Tax Commissioner	10.00	6.84	68
131.	91	Institutional Finance Department (Stamp and Registration)	2030-02-001-03 Establishment	78.17	47.30	61
132.	92	Culture Department	2205-800-11 Economic assistance scheme for Art and Culture education, video recording of Lok parampara, eligible student and aged Artists	20.00	20.00	100
Total				12,95,918.82	12,45,794.91	

2.8

Surrenders in excess of actual savings (₹ 50 lakh or more)

(Reference: Paragraph 2.3.9; Page 55)

₹ in crore

Sl. No.	Grant No.	Name of The Grant/Department	Total Grant	Savings/ Excess(-)	Amount Surrendered	Surrender in Excess
Revenue-Voted						
1	41	Election Department	104.65	2.57	8.16	5.59
2	73	Education Department (Higher Education)	2,115.20	745.76	751.18	5.42
3	91	Institutional Finance Department* (Stamp and Registration)	140.42	(-) 9.24	3.96	13.19
Net Total			2,360.27	739.09	763.30	24.20
Revenue-Charged						
4	42	Judicial Department	237.94	65.73	66.54	0.81
5	61	Finance Department (Debt Services and Other Expenditure)*	23,462.69	(-) 328.29	106.43	434.72
Net Total			23,700.63	(-)262.56	172.97	435.53
Capital-Voted						
6	1	Excise Department*	1.41	(-) 0.47	0.82	1.29
7	11	Agriculture and Other Allied Department(Agriculture)	749.81	100.86	103.94	3.08
Net Total			751.22	100.39	104.76	4.37
Grand Total (Net)			26,812.12	576.92	1,041.03	464.10

* The amounts were surrendered despite excess expenditure in these grants/appropriations.

2.9

Statement of Grants/Appropriations in which savings occurred but no part of which was surrendered
(Reference: Paragraph 2.3.10; Page 55)

I – Grants				
Sl. No.	Grant No.	Name of Grant/Appropriation	Savings (₹ in crore)	
			Revenue	Capital
1.	2	Housing Department	56.16	195.03
2.	10	Agriculture and Other Allied Department (Horticulture and sericulture development)	11.75	0.00
3.	13	Agriculture and Other Allied Department (Rural Development)	0.00	191.83
4.	15	Agriculture and Other Allied Department (Animal Husbandry)	34.21	7.88
5.	16	Agriculture and Other Allied Department (Dairy Development)	1.76	0.00
6.	17	Agriculture and Other Allied Department (Fisheries)	11.10	0.00
7.	19	Personal Department (Training and Other Expenditure)	3.49	5.84
8.	22	Sports Department	3.54	1.14
9.	25	Home Department (Jails)	0.11	188.10
10.	26	Home Department (Police)	54.74	488.36
11.	27	Home Department (Civil Defence)	6.73	11.12
12.	28	Home Department (Political Pension and Other Expenditure)	21.19	0.30
13.	31	Medical Department (Medical Education and Training)	10.91	29.21
14.	32	Medical Department (Allopathy)	145.70	147.14
15.	34	Medical Department (Homeopathy)	3.32	0.42
16.	36	Medical Department (Public Health)	52.59	0.00
17.	37	Urban Development Department	625.51	0.00
18.	39	Language Department	0.62	0.00
19.	44	Tourism Department	4.77	24.65
20.	45	Environment Department	3.08	0.00
21.	47	Technical Department	34.95	53.48
22.	48	Muslim Waqf Department	13.69	373.36
23.	49	Women and Child Welfare Department	0.00	2.25
24.	50	Revenue Department (District Administration)	0.00	4.21
25.	51	Revenue Department (Relief on Account of Natural Calamities)	397.38	9.33
26.	52	Revenue Department (Board of Revenue & Other Expenditure)	0.00	1.72
27.	54	Public Works Department (Establishment)	238.54	0.00
28.	56	Public Work Department (Special Area Programme)	0.00	111.32
29.	57	Public Works Department (Communication- Bridges)	0.00	310.24
30.	59	Public Work Department (Estate Directorate)	0.00	2.98
31.	60	Forest Department	4.87	5.05
32.	67	Legislative Council Secretariat	3.68	0.00

33.	68	Legislative Assembly Secretariat	10.49	0.12
34.	70	Science and Technology Department	1.77	
35.	78	Secretariat Administration Department	0.00	0.52
36.	79	Social Welfare Department (Welfare of the Handicapped and Backward Castes)	8.55	13.82
37.	80	Social Welfare Department (Social Welfare and Welfare Scheduled Caste)	130.06	0.23
38.	88	Institutional Finance Department (Directorate)	0.30	0.00
39.	92	Culture Department	4.01	30.28
40.	94	Irrigation Department (Works)	504.35	734.86
41.	95	Irrigation Department (Establishment)	18.03	0.00
Total			2,421.95	3,679.65
II - Appropriations				
42.	9	Power Department	1.19	0.00
43.	10	Agriculture and Other Allied Department (Horticulture and sericulture Development)	0.01	0.00
44.	15	Agriculture and Other Allied Department (Animal Husbandry)	0.03	0.00
45.	20	Personal Department (Public Services Commission)	0.02	0.00
46.	21	Food Department	0.00	110.04
47.	25	Home Department (Jails)	0.10	0.00
48.	26	Home Department (Police)	0.40	0.00
49.	29	Confidential Department (Governor's Secretariat)	0.09	0.00
50.	32	Medical Department (Allopathy)	0.09	0.00
51.	36	Medical Department (Public Health)	0.01	0.00
52.	47	Technical Department	0.01	0.00
53.	52	Revenue Department (Board of Revenue and Other Expenditures)	0.07	0.11
54.	54	Public Works Department (Establishment)	0.03	0.00
55.	55	Public Works Department (Buildings)	0.21	0.14
56.	58	Public Works Department (Communication-Roads)	0.05	2.09
57.	60	Forest Department	0.10	0.00
58.	67	Legislative Council Secretariat	0.26	0.00
59.	68	Legislative Assembly Secretariat	0.27	0.00
60.	94	Irrigation Department (Works)	0.00	24.72
61.	95	Irrigation Department (Establishment)	0.47	0
Total			3.41	137.10
Grand Total			2,425.36	3,816.75
Total of Revenue and Capital			6,242.11	

Details of Savings of ₹ one crore and above not surrendered (Reference: Paragraph 2.3.10; Page 55)

(₹ in crore)

Sl. No.	Grant No.	Name of Grant/Appropriation	Savings	Surrenders	Not Surrendered
Revenue - Voted					
1.	2	Housing Department	56.16	0.00	56.16
2.	10	Agriculture and Other Allied Department (Horticulture and sericulture development)	11.75	0.00	11.75
3.	11	Agriculture and Other Allied Department (Agriculture)	766.37	759.93	6.44
4.	12	Agriculture and Other Allied Department (Land Development and Water Resources)	234.47	191.00	43.47
5.	13	Agriculture and Other Allied Department (Rural Development)	134.31	24.26	110.06
6.	14	Agriculture and Other Allied Department (Panchayati Raj)	211.63	27.04	184.59
7.	15	Agriculture and Other Allied Department (Animal Husbandry)	34.21	0.00	34.21
8.	16	Agriculture and Other Allied Department (Dairy Development)	1.76	0.00	1.76
9.	17	Agriculture and Other Allied Department (Fisheries)	11.10	0.00	11.10
10.	19	Personal Department (Training and Other Expenditure)	3.49	0.00	3.49
11.	22	Sport Department	3.54	0.00	3.54
12.	23	Cane Development Department (Sugar Cane)	36.76	34.97	1.79
13.	26	Home Department (Police)	54.74	0.00	54.74
14.	27	Home Department (Civil Defence)	6.73	0.00	6.73
15.	28	Home Department (Political Pension and Other Expenditure)	21.19	0.00	21.19
16.	31	Medical Department (Medical Education and Training)	10.91	0.00	10.91
17.	32	Medical Department (Allopathy)	145.70	0.00	145.70
18.	34	Medical Department (Homeopathy)	3.32	0.00	3.32
19.	35	Medical Department (Family Welfare)	34.80	22.89	11.91
20.	36	Medical Department (Public Health)	52.59	0.00	52.59
21.	37	Urban Development Department	625.51	0.00	625.51
22.	40	Planning Department	365.46	3.40	362.06
23.	42	Judicial Department	172.36	165.10	7.27
24.	44	Tourism Department	4.77	0.00	4.77
25.	45	Environment Department	3.08	0.00	3.08
26.	47	Technical Department	34.95	0.00	34.95
27.	48	Muslim Waqf Department	13.69	0.00	13.69
28.	49	Women and Child Welfare Department	636.11	547.18	88.93
29.	50	Revenue Department (District Administration)	32.62	29.45	3.17

30.	51	Revenue Department (Relief on account of Natural Calamities)	397.38	0.00	397.38
31.	52	Revenue Department (Board of Revenue and Other Expenditures)	69.90	0.17	69.73
32.	54	Public Works Department (Establishment)	238.54	0.00	238.54
33.	59	Public Works Department (Estate Directorate)	1.10	0.01	1.09
34.	60	Forest Department	4.87	0.00	4.87
35.	61	Finance Department (Debt Services and Other Expenditure)	59.73	38.71	21.02
36.	65	Finance Department (Audit, Small Savings etc.)	5.69	4.28	1.40
37.	67	Legislative Council Secretariat	3.68	0.00	3.68
38.	68	Legislative Assembly Secretariat	10.49	0.00	10.49
39.	70	Science and Technology Department	1.77	0.00	1.77
40.	71	Education Department (Primary Education)	888.00	717.85	170.15
41.	72	Education Department (Secondary Education)	582.87	439.68	143.19
42.	78	Secretariat Administration Department	77.54	14.88	62.66
43.	79	Social Welfare Department (Welfare of Handicapped and Backward Castes)	8.55	0.00	8.55
44.	80	Social Welfare Department (Social welfare and Welfare Scheduled Castes)	130.06	0.00	130.06
45.	81	Social Welfare Department (Tribal Welfare)	33.64	25.77	7.87
46.	83	Social Welfare Department (Special Component Plan For Scheduled Castes)	792.46	776.28	16.18
47.	84	General Administration Department	46.26	44.46	1.80
48.	86	Information Department	61.14	5.32	55.82
49.	92	Culture Department	4.11	0.00	4.11
50.	94	Irrigation Department (Works)	504.35	0.00	504.35
51.	95	Irrigation Department (Establishment)	18.03	0.00	18.03
Total			7,664.24	3,872.63	3,791.62
Capital - Voted					
1.	2	Housing Department	195.03	0.00	195.03
2.	13	Agriculture and Other Allied Department (Rural Development)	191.83	0.00	191.83
3.	14	Agriculture and Other Allied Department (Panchayati Raj)	24.13	0.18	23.95
4.	15	Agriculture and Other Allied Department (Animal Husbandry)	7.88	0.00	7.88
5.	19	Personal Department (Training and Other Expenditure)	5.84	0.00	5.84
6.	21	Food Department	1811.78	8.38	1803.40
7.	22	Sport Department	1.14	0.00	1.14
8.	25	Home Department (Jails)	188.10	0.00	188.10
9.	26	Home Department (Police)	488.36	0.00	488.36
10.	27	Home Department (Civil Defence)	11.12	0.00	11.12
11.	31	Medical Department (Medical Education and Training)	29.21	0.00	29.21

12.	32	Medical Department (Allopathy)	147.14	0.00	147.14
13.	37	Urban Development Department	261.77	2.79	258.97
14.	40	Planning Department	659.52	10.00	649.52
15.	44	Tourism Department	24.65	0.00	24.65
16.	47	Technical Department	53.48	0.00	53.48
17.	48	Muslim Waqf Department	373.36	0.00	373.36
18.	49	Women and Child Welfare Department	2.25	0.00	2.25
19.	50	Revenue Department (District Administration)	4.21	0.00	4.21
20.	51	Revenue Department (Relief on Account of Natural Calamities)	9.33	0.00	9.33
21.	52	Revenue Department (Board of Revenue & Other Expenditure)	1.72	0.00	1.72
22.	56	Public Works Department (Special Area Programme)	111.32	0.00	111.32
23.	57	Public Works Department (Communication- Bridges)	310.24	0.00	310.24
24.	59	Public Work Department (Estate Directorate)	2.98	0.00	2.98
25.	60	Forest Department	5.05	0.00	5.05
26.	61	Finance Department (Debt Services and Other Expenditure)	401.78	57.75	344.03
27.	72	Education Department (Secondary Education)	127.86	0.14	127.72
28.	79	Social Welfare Department (Welfare of Handicapped & Backward Caste)	13.82	0.00	13.82
29.	83	Social Welfare Department (Special Component Plan for SCs)	415.46	363.35	52.10
30.	92	Culture Department	30.28	0.00	30.28
31.	94	Irrigation Department (Works)	734.86	0.00	734.86
Total			6,645.5	442.59	6,202.89
Revenue - Charged					
32.	9	Power Department	1.19	0.00	1.19
Total			1.19	0.00	1.19
Capital - Charged					
33.	21	Food Department	110.04	0.00	110.04
34.	58	Public Works Department (Communication-Roads)	2.09	0.00	2.09
35.	94	Irrigation Department (Works)	24.72	0.00	24.72
Total			136.85	0.0	136.85
Grand Total			14,447.78	4,315.22	10,132.55

2.11

Inaccurate budget estimates for pay and allowances

(Reference: Paragraph 2.5.3; page 60)

(₹ in crore)

Year	Budget provision during the year	Expenditure during the year	Savings surrendered during the year (per centage in bracket)
Department of Secondary Education			
2007-08	2,671.85	2,628.91	42.94 (2)
2008-09	3,208.43	3,114.10	94.33 (3)
2009-10	4,037.08	3,842.96	194.12 (5)
2010-11	5,924.19	5,129.24	794.96 (13)
2011-12	5,842.33	5,376.87	465.47 (8)
Total	21,683.88	20,092.08	1,591.82 (7)
Department of Urban Development			
2007-08	1.66	1.50	0.16 (10)
2008-09	1.72	1.52	0.20 (12)
2009-10	2.19	1.84	0.36 (16)
2010-11	2.17	2.05	0.12 (6)
2011-12	2.47	2.25	0.22 (9)
Total	10.21	9.16	1.06 (10)
Department of Tribal Welfare			
2007-08	4.27	1.61	2.88 (68)
2008-09	4.82	1.66	3.12 (65)
2009-10	5.64	2.36	3.16 (56)
2010-11	6.59	3.20	1.95 (30)
2011-12	4.12	2.95	0.57 (14)
Total	25.44	11.78	11.89 (47)
Department of Home (Police)			
2007-08	2,927.56	2,923.16	4.40 (1)
2008-09	3,683.74	3,602.25	80.38 (2)
2009-10	5,258.80	5,226.41	32.38 (1)
2010-11	6,021.37	5,980.86	40.51 (1)
2011-12	6,605.27	6,563.39	29.95 (1)
Total	24,496.74	24,296.07	187.62 (0.76)
Department of Irrigation			
2007-08	1,044.14	1,001.16	42.49 (4)
2008-09	1,196.56	1,187.09	47.46 (4)
2009-10	1,779.27	1,455.53	73.81 (4)
2010-11	1,636.88	1,599.97	0.81 (-)
2011-12	1,896.96	1,896.96	-
Total	7,553.81	7,140.71	164.57 (0.01)
Grand Total	53,770.08	51,549.80	1,956.96 (3.64)

Source: Departmental figures

2.12

Inaccurate budget estimation other than salary*(Reference: Paragraph 2.5.4; page 61)*

(₹ in crore)

Year	Budget provision during the year	Expenditure during the year	Savings surrendered during the year (per centage in bracket)
Department of Secondary Education			
2007-08	207.06	173.90	33.16 (12)
2008-09	495.85	275.81	220.05 (44)
2009-10	859.93	779.38	80.54 (9)
2010-11	741.44	735.76	18.06 (2)
2011-12	1,352.09	1,201.79	150.30 (11)
Total	3,656.37	3,166.64	502.11 (14)
Department of Urban Development			
2007-08	257.22	243.18	14.04 (5)
2008-09	200.00	188.80	11.20 (6)
2009-10	1,103.00	706.45	396.55 (36)
2010-11	1,456.84	895.45	519.43 (36)
2011-12	1,668.69	1,557.35	111.34 (7)
Total	4,685.75	3,591.23	1,052.56 (22)
Department of Tribal Welfare			
2007-08	26.41	13.91	12.28 (46)
2008-09	26.77	16.20	10.62 (40)
2009-10	27.60	15.35	12.37 (45)
2010-11	23.90	13.26	12.08 (51)
2011-12	24.18	14.02	10.77 (43)
Total	128.86	72.74	58.12 (45)
Department of Home (Police)			
2007-08	631.59	583.01	48.58 (8)
2008-09	719.52	625.65	90.97 (13)
2009-10	998.35	804.77	193.57 (19)
2010-11	1,422.60	1,015.66	406.94 (29)
2011-12	1,851.66	1,333.81	529.78 (29)
Total	5,623.72	4,362.90	1,269.84 (23)
Department of Irrigation			
2007-08	2,107.24	2,051.17	56.07 (3)
2008-09	2,423.17	2,388.21	34.96 (2)
2009-10	2,657.79	2,005.09	652.70 (25)
2010-11	4,202.57	2,465.95	1736.62 (41)
2011-12	3,339.05	2,050.52	1288.53 (39)
Total	14,729.82	10,960.94	3,768.87 (26)
Grand Total	28,824.52	22,154.45	6,651.50 (23)

2.13

Inaccurate estimation of revenue receipts

(Reference: Paragraph 2.5.5; page 61)

(₹ in crore)

Year	Revenue receipts estimated in the budget	Actual receipts	Excesses (+) Shortfalls <i>viz-a-viz</i> estimates (<i>per cent</i> excess / shortfall in bracket)
Department of Secondary Education			
2007-08	52.34	54.99	(+) 2.65 (5)
2008-09	55.54	58.44	(+) 2.90 (5)
2009-10	64.12	61.97	(-) 2.15 (3)
2010-11	63.11	68.51	(+) 5.40 (9)
2011-12	64.57	81.84	(+) 17.27 (27)
Total	299.68	325.75	Net (+) 26.07 (9)
Department of Home (Police)			
2007-08	367.15	147.17	(-) 219.98 (60)
2008-09	261.07	160.59	(-) 100.48 (39)
2009-10	246.11	118.43	(-) 127.68 (52)
2010-11	246.84	177.53	(-) 69.31 (28)
2011-12	308.22	196.41	(-) 111.01 (36)
Total	1,429.39	800.13	Net (-) 628.46 (44)
Department of Irrigation			
2007-08	216.14	351.59	(+) 135.45 (62)
2008-09	229.10	285.33	(+) 56.23 (25)
2009-10	166.81	261.75	(+) 94.94 (57)
2010-11	580.18	186.44	(-) 393.74 (68)
2011-12	616.25	197.92	(-) 418.33 (68)
Total	1,808.48	1,283.03	Net (-) 525.45 (29)
Grand Total	3,537.55	2,408.91	Net (-) 1,127.84 (32)

Source: Departmental figures

2.14

Outcome of the expenditure

(Reference: Paragraph 2.6.3; page 62)

Sl. No.	Description of work	Unit	UIG		UIDSSMT	
			Target	Achievement (per cent)	Target	Achievement (per cent)
Water Supply						
1	Water Works	In Number	7	Nil	-	-
2	Tube Wells(New)	In Number	245	182 (74 per cent)	401	295 (74)
3	Tube Wells(Rebore)	In Number	102	98 (96 per cent)	29	20 (69)
4	Rising/Feeder Main	In Kilometer	413.98	73.66 (18 per cent)	224.71	132.49 (59)
5	CWR	In Number	175	19 (11 per cent)	46	20 (43)
6	OHT	In Number	190	50 (26 per ent)	158	74 (47)
7	Distribution system	In Kilometer	5,121.22	972.97 (19 per ent)	3,266.01	2,149.02 (66)
8	Intake Well	In Number			1	1 (100)
9	Water Treatment Plant	In Number			1	1 (100)
10	Pumping Plant	In Number			466	240 (51)
Sewerage						
1	Sewerage Treatment Plant (STP)	In Number.	10	One (10 per cent)	6	1 (17)
2	Sewage Pumping Station	In Number	26	1 (4)	9	1 (11)
3	Trunk Sewer/Branch Sewer	In Kilometer	2269.25	164.10 (7)	449.85	- (0)
4	Rising Main (Sew)	In Kilometer	-	-	17.85	- (0)
Drainage						
1	Drain New	In Kilometer	-	-	13.95	- (0)
2	Drain Remodelling	In Kilometer	-	-	7.41	- (0)
3	Drain Rep.	In Kilometer	-	-	4.41	- (0)
Strom Water Drainage						
1	Drain(New & Rehabilitation)	In Kilometer	401.80	165.97 (41)	-	-
2	Drains (Repair)	In Kilometer	30.08	-	-	-
Solid Waste Management						
1	Solid Waste Management (Project)	Towns	7	- (0)	19	- (0)

2.15

Position of key indicators under Eleventh Five Year Plan
in Secondary Education Department

(Reference: Paragraph 2.6.4; page 63)

Enrolment					
Item	Level at the end of 10 th plan	Progress during 10 th plan	Level at the end of 11 th plan	Progress during Eleventh Plan	Comments
Class 9-10	57.96 lakh	24.46 lakh	68.97 lakh	11.01 lakh	The increase in enrolment in schools during 11 th plan was 50% less than 10 th plan.
Class 11-12	31.96 lakh	12.46 lakh	39.47 lakh	7.51 lakh	
No. of Schools					
Govt. and Govt. aided schools	5834	851	6115	281	The increase of new schools during 11 th plan was 33 <i>per cent</i> less than 10 th plan.
Gross Enrolment Ratio of Class IX to XII (14-18 years)					
Boys	62 <i>per cent</i>			The gross enrolment ratio was less than the 11 th Plan target of 65 <i>per cent</i> .	
Girls	56 <i>per cent</i>				
Total	59 <i>per cent</i>				
Gender gap					
Year	Boys Enrollment	Girls Enrollment	Difference		
	(In percent)				
2007-08	60.61	39.39	21.22		
2008-09	62.94	37.06	25.88		
2009-10	59.31	40.69	18.62		
2010-11	55.49	44.51	10.98		
2011-12	57.34	42.66	14.68		
Outcome of schemes					
Period	Financial outlays and expenditure (Rs. in crore)			Physical achievement/outcome of the programme	
	Outlay budgeted/ allocated		Expenditure	Physical targets	Achievement/out come
Upgradation of upper primary school to higher secondary school					
2007-12	699.41		312.04	3753 schools	1021 (27)
Model schools					
2007-12	446.96		184.80	200 schools	148 (74)
Girls hostel					
2007-12	8.70		-	344 hostels	- (0)
One time assistance for opening of new schools by Private Management in rural area					
2007-12	10.00		-	5000 schools	- (0)
Opening of new Govt. High School and upgrading of Government JHS to HS standard					
2007-12	3.43.		1.79	15 schools	6 (40)
Upgradation of High Schools to Intermediate level					
2007-12	149.27		101.12	257 schools	257 (100)
Opening of additional sections in Government Schools					
2007-12	1.26		1.00	25 schools	05 (20)
Up gradation of Kasturba Gandhi Girls School to High School Standard					
2007-12	13.02		-	Not implemented	
Opening of residential Govt. High School on the pattern of NavodayaVidyalaya					
2007-12	-		-	Not implemented	

Figures in bracket indicate percentage of achievement

Source: departmental figures

3.1

Utilisation Certificates Outstanding as on 31 March 2012

(Reference: Paragraph 3.1.2; Page 67)

(₹ in lakh)

Sl. No.	Name of the Department	Year of Payment of Grant	Total Grant paid		Utilisation Certificate				Reasons for Utilisation Certificates remaining outstanding
			No.	Amount	Received		Outstanding		
					No.	Amount	No.	Amount	
1	Department of Dairy Development	2007-08	881	11,752.28	476	6,484.28	405	5,268.00	Reasons not furnished
		2008-09	812	8,385.30	415	4,597.77	397	3,787.53	
		2009-10	552	1,082.07	321	595.46	231	486.61	
		2010-11	317	1,960.64	159	1,214.43	158	746.21	
2	Registrar, Co-operative Societies, U.P.	2009-10	09	8,494.97	8	8,376.10	1	118.87	Reasons not furnished
3	Department of Tourism	2005-06	NA	30.00	NA	23.24	NA	6.76	Reasons not furnished
		2006-07	NA	45.00	NA	36.63	NA	8.37	
		2007-08	NA	45.00	NA	33.61	NA	11.39	
		2008-09	NA	22.50	NA	21.28	NA	1.22	
4	Department of Industries (Handicrafts)	2007-08	NA	264.45	NA	155.70	NA	108.75	Reasons not furnished
		2010-11	273	198.23	263	191.64	10	6.59	
5	Department of Environment	2009-10	03	84.34	02	4.34	1	80.00	Reasons not furnished
		2010-11	01	2.48	Nil	0.00	01	2.48	
6	Department of Industries (Heavy and Medium Industries) Grant on 07	2010-11	27	27,056.56	25	26,996.56	02	60.00	Reasons not furnished
7	Department of Social Welfare	2009-10	NA	2,23,486.98	NA	2,18,822.11 ¹	NA	4,664.87	Reasons not furnished
		2010-11	NA	2,14,557.11	NA	2,11,224.48 ²	NA	3,332.63	
8	Social Welfare Department (Sheduled Tribes) Development)	2006-07	50	122.69	33	99.91	17	22.78	Reasons not furnished
		2007-08	60	871.30	15	470.70	45	400.60	
		2008-09	50	859.85	13	352.87	37	506.98	
		2009-10	40	575.29	9	373.45	31	201.84	
		2010-11	44	207.08	9	26.43	35	180.65	
9	Agriculture & Other Allied Department (Panchayati Raj)	2007-08	NA	17,380.00	Nil	0.00	NA	17,380.00	Public Works Department and Rural Engineering Services had not given the UC.
		2008-09	NA	1,82,290.94	Nil	0.00	NA	1,82,290.94	
		2009-10	142	93,322.90	Nil	0.00	NA	93,322.90	
		2010-11	NA	1,24,739.36	Nil	0.00	NA	1,24,739.36	

¹ UC received includes ₹ 23,129.81 lakh as surrendered amount.² UC received includes ₹ 24,342.09 lakh as surrendered amount.

10	Director Information & Public Relation Department	2005-06	6	46.00	3	26.00	3	20.00	Reasons not furnished
		2006-07	18	194.86	12	118.86	6	76.00	
11	Department of Ayurvedic Services, UP	2010-11	01	6.87	01	6.78	Nil	0.09	Reasons not furnished
12	Women Welfare Department, U.P.	2010-11	27	2,422.50	18	224.77	09	2,197.73	Reasons not furnished
13	Director of Technical Education	2010-11	NA	287.51	NA	144.89	NA	142.62	Reasons not furnished
14	Urban Development & Poverty Alleviation Programme Department (State Urban Development Agency)	2010-11	NA	52,081.97	NA	33,171.55	NA	18,910.42	Reasons not furnished
15	Department of Minority (UP State Haj Committee Lucknow)	2006-07	3	186.35	2	176.35	1	10.00	2 cases of ₹ 5.00 lakh each for distribution to deceased pilgrims are under process at DM office.
		2009-10	3	559.27	2	549.27	1	10.00	Reasons not furnished
16	Department of justice (U.P. State Law Commission Lucknow)	2005-06	NA	10.40	NA	7.93	NA	2.47	Due to good governance and management
		2006-07	NA	14.43	NA	11.39	NA	3.04	
		2007-08	NA	3.47	NA	1.04	NA	2.43	
		2008-09	NA	69.68	NA	63.98	NA	5.70	
		2009-10	NA	96.70	NA	50.71	NA	45.99	
		2010-11	NA	62.70	NA	62.09	NA	0.61	
17	Department of Language	2008-09	NA	399.67	NA	393.53 ³	NA	6.14	Reasons not furnished
		2009-10	NA	552.88	NA	543.37	NA	9.51	
18	Department of Karmik (Training and other Expenditure)	2008-09	72	8.00	33	3.57	39	4.43	Reasons not furnished
		2009-10	72	8.00	25	3.44	47	4.56	
		2010-11	72	8.00	24	2.07	48	5.93	
Total							1,525	4,59,194	

³ UC received includes ₹ 14.15 lakh as surrendered amount.

3.2

Statement showing performance of
the Autonomous Bodies

(Reference: Paragraph 3.3; Page 69)

Sl. No.	Name of Body	Period of entrustment	Year upto which accounts were rendered	Period upto which SAR is issued	Placement of SAR in the Legislature	Delay in submission of accounts	Period of delay
1	2	3	4	5	6	7	8
1	Jal Sansthan, Kanpur	2001-10	2009-10	2009-10	These are not placed before the Legislature.	-	9 months
2	Jal Sansthan, Chitrakoot Dham Banda	2001-10	2009-10	2009-10		-	8 months
3	Jal Sansthan, Allahabad	2001-10	2009-10	2009-10		-	19 months
4	Jal Sansthan, Lucknow	2001-10	2009-10	2009-10		-	8 months
5	Jal Sansthan, Varanasi	2001-10	2009-10	2009-10		-	21 months
6	Jal Sansthan, Jhansi	2001-10	2009-10	2009-10		-	12 months
7	Jal Sansthan, Agra	2001-10	2009-10	2009-10		-	11 months
8	UP Khadi Gramodyog Board, Lucknow	2004-14	2008-09	2008-09	SAR for 2004-05 to 2008-09 placed in Legislature	2009-10, 2010-11 & 2011-12	2-26 months
9	UP State Legal Services Authority, Lucknow	As per provision of the Act	2006-07, 2007-08 & 2008-09	2006-07, 2007-08 & 2008-09	Placement of SAR ending 2006-07 to 2008-09 is in process	2009-10, 2010-11 & 2011-12	2-26 months

3.3

Statement of finalisation of accounts and investment in departmentally managed commercial and quasi-commercial undertakings.

(Reference: Paragraph 3.4; Page 69)

Sl. No.	Name of the Undertaking	Accounts finalised up to	Investment as per the last accounts finalised (₹ in crore)
Irrigation Department			
1	Irrigation Works Division, Meerut	2011-12	0.53
2	Irrigation Works Division, Jhansi	2010-11	0.52
3	Irrigation Works Division, Bareilly	2011-12	2.36
4	Irrigation Works Division, Kanpur	2011-12	0.26
5	Irrigation Works Division, Allahabad	2010-11	4.53
6	Irrigation Works Division, Gorakhpur	2011-12	1.59
Animal Husbandry Department			
7	State Live Stock Cum Agriculture Farm	2009-10	17.03
Food and Civil Supplies Department			
8	Scheme for Public Distribution System of Food grain	2008-09	2,131.07
Health Department			
9	State Pharmacy of Ayurvedic and Unani Medicines	1987-88	0.09
Social welfare Department			
10	Criminal Tribes Settlement Tailoring Factory (Kanpur)	1979-80	0.04
Total			2,158.02

3.4

Department-wise/duration-wise break-up of the cases of misappropriation, defalcation etc. (cases where final action was pending at the end of March 2012)
(Reference: Paragraph 3.5; Page 69)

(Figures in bracket indicate ₹ in lakh)

Sl. No.	Name of the Department	Up to 5 years	5 to 10 years	10 to 15 years	15 to 20 years	20 to 25 years	Above 25 years	Total no. of cases
1	Agriculture Department	-	4 (9.09)	-	-		-	4(9.09)
2	Animal Husbandry Department	-	1(0.26)	2 (0.39)	7(4.06)	5(1.82)	1(0.02)	16 (6.55)
3	Co-operative Department	-	-	1(1.28)	1(0.17)		-	2(1.45)
4	Education Department	5(112.94)	-	1(5.00)	-	-	-	6(117.94)
5	Fisheries Department	-	-		3(2.07)	-	-	3(2.07)
6	Food and Supplies Department	-	1(3.06)	-	1(0.3 0)	5(23.39)	2(2.03)	9(28.78)
7	Irrigation Department	20(111.62)	10(0.52)	23(4.58)	3(5.33)	-	-	56(122.05)
8	Judiciary Department	-	1(4.44)	-	-		-	1(4.44)
9	Land Acquisition Department	-	-	-	-	-	3(331.78)	3(331.78)
10	Medical, Health and Family Welfare Department	-	-	-	4(5.79)	9(10.77)	-	13(16.56)
11	Police Department	2(4.00)	-	-	1(1.21)	-	3(2.89)	6(8.10)
12	PAC	-	-	-	1(47.48)	1(0.51)	-	2(47.99)
13	Public Works Department	6(118.12)	6(36.41)	-	-	-	-	12(154.53)
14	Revenue	2(9.29)	-	1 (1.72)	-	1(0.33)	3(6.09)	7(17. 43)
15	Rural Development Department	-	-	-	3(1.65)	4(0.73)	5(1.46)	12 (3.84)
16	Social Welfare Department	-	-		1(0.25)	-	2(0.70)	3(0.95)
17	Technical Education Department	-	-	1(11.59)	-	-	-	1(11.59)
18	Weights and Measurements Department	-	-	-	-	1(1.01)	-	1(1.01)
19	Horticulture Department	1(3.59)	-	-	-	-	-	1 (3.59)
20	Finance Department	-	-	-	-	1(0.67)	-	1 (0.67)
Total		36 (359.56)	23 (53.78)	29 (24.56)	25 (68.31)	27 (39.23)	19 (344.97)	159 (890.41)

3.5

Department-wise/category-wise details in respect of cases of loss to the Government due to theft, misappropriation/loss of the Government material

(Reference: Paragraph 3.5; Page 69)

(₹ in lakh)

Name of the Department	Theft cases		Misappropriation/ Loss of Government Material		Total	
	Number of Cases	Amount	Number of Cases	Amount	Number of Cases	Amount
Agriculture Department	1	1.47	3	7.62	4	9.09
Animal Husbandry Department	11	1.78	5	4.77	16	6.55
Co-operative Department	1	1.28	1	0.17	2	1.45
Education Department	2	6.60	4	111.34	6	117.94
Fisheries Department	0	0	3	2.07	3	2.07
Food and Supplies Department	0	0	9	28.78	9	28.78
Irrigation Department	47	15.54	9	106.51	56	122.05
Judiciary Department	0	0	1	4.44	1	4.44
Land Acquisition Department	0	0	3	331.78	3	331.78
Medical Health & Family Welfare Department	10	12.58	3	3.98	13	16.56
Police Department	0	0	6	8.10	6	8.10
PAC Department	0	0	2	47.99	2	47.99
PWD	3	1.63	9	152.90	12	154.53
Revenue Department	0	0	7	17.43	7	17.43
Rural Development Department	5	1.38	7	2.46	12	3.84
Social Welfare Department	0	0	3	0.95	3	0.95
Technical Education Department	0	0	1	11.59	1	11.59
Weights and Measurement Department	1	1.01	0	0	1	1.01
Horticulture Department	0	0	1	3.59	1	3.59
Finance Department	0	0	1	0.67	1	0.67
Total					159	890.41

3.6

Department-wise details of cases settled/written off during 2011-12

(Reference: Paragraph 3.5; Page 70)

(₹ in lakh)

Sl. No.	Name of Department	Authority	Brief Particulars	No. of cases	Amount
1	Home (Police) Department	Government of Uttar Pradesh	The Court abated the case of misappropriation of Government money	One	0.65
2	Medical and Health Department	Government of Uttar Pradesh	Theft of cash chest PHC Rehana Bazar, Gonda in September 1990	One	0.32
3	Medical and Health Department	Government of Uttar Pradesh	Theft case - Dr. Ram Manohar Lohia Joint Hospital Farrukhabad in January 1998	One	1.53
4	Agriculture Department	Government of Uttar Pradesh	Theft of motor parts in the office of the Assistant Director, Soil testing/Culture, District Varanasi	One	0.16
5	Judicial Department	Government of Uttar Pradesh	Cases of forged tickets in District Court, Azamgarh	One	0.66
				Total	3.32