

Annexures

Annexure-I
(Refer to para No. 5.4)

Projects/Proposals approved by the Screening Committee¹³

Sl. No.	Proposal	Date of approval	Proposal posed by	Funding agency	Amount
1.	Second Uttarakhand rural water supply and sanitation project II	27-02-15	Uttarakhand	WB	USD 120 million
2.	Assam agriculture competitiveness project	27-02-15	Assam	WB	USD 200 million
3.	Reconstruction and recovery from damages due to Hudhud	25-02-15	Andhra Pradesh	WB	USD 250 million
4.	National cyclone risk mitigation project II	25-02-15	National Disaster Management Authority	WB	USD 34.81 million
5.	Renewable energy in agriculture sector	25-02-15	Punjab	ADB	USD 55 million
6.	Solid waste management project in urban areas	25-02-15	Uttar Pradesh	WB	USD 85 million
7.	Mukhyamantri Gram Sampark Yojana	20-11-14	Bihar	WB	USD 235 million
8.	Six lane green field bridge over river Ganga	22-08-14	Bihar	ADB	USD 500 million
9.	MP integrated urban water resource management program	22-08-14	Madhya Pradesh	ADB	USD 266 million
10.	Special project on production and marketing with an emphasis on post harvest management practices of horticulture crops in HP	28-05-14	Himachal Pradesh	WB	USD 135 million
11.	Nagaland multi sectoral health initiative project	28-05-14	Nagaland	WB	USD 48 million

¹³ Where minutes did not indicate whether knowledge transfer, technology transfer and best practices transfer from international experience were considered.

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Sl. No.	Proposal	Date of approval	Proposal posed by	Funding agency	Amount
12.	Additional financing for Andhra Pradesh under NCRMP	28-02-14	Andhra Pradesh	WB	USD 49 million
13.	Second Punjab rural water supply and sanitation	28-02-14	Punjab	WB	USD 248 million
14.	Additional financing of Phase II of Gram Swaraj- Karnataka Panchayat Strengthening Project	28-02-14	Karnataka	WB	USD 220 million
15.	Odisha power sector emergency assistance	28-02-14	Odisha	ADB	USD 30 million
16.	Higher education reforms in Madhya Pradesh	24-02-14	Madhya Pradesh	WB	USD 300 million
17.	TN sustainable Urban Development Programme	24-02-14	Tamil Nadu	WB	USD 400 million
18.	Strengthening of Lok Sewa Kendra	24-02-14	Madhya Pradesh	WB	₹ 210 crore
19.	Supporting additional skill acquisition programme	03-02-14	Kerala	ADB	USD 100 million
20.	Urban Development Investment Programme Phase-III	17-01-14	Rajasthan	ADB	USD 250 million
21.	Reconstruction of damaged houses	31-12-13	Odisha	WB	USD 153 million
22.	National Cyclone Risk Mitigation Project	31-12-13	Odisha	WB	USD 55 million
23.	Integrated Coastal Zone management Project (ICZMP), Odisha	31-12-13	Odisha	WB	USD 3 million
24.	Rehabilitating damaged power sector infrastructure	31-12-13	Odisha	ADB	USD 70 million
25.	Odisha Intergrated Agriculture and Water Management Project for Saline and River Embankments	31-12-13	Odisha	ADB	USD 35 million
26.	Nagaland Multi Sectoral Health Initiative	29-11-13	Nagaland	WB	USD 48 million

Sl. No.	Proposal	Date of approval	Proposal posed by	Funding agency	Amount
27.	National Urban Health Mission	29-11-13	Ministry of Health and Family Welfare	ADB	USD 500 million
28.	Revised National Tuberculosis Control Programme	29-11-13	Ministry of Health and Family Welfare	WB	USD 100 million
29.	Uttar Pradesh Core Road Network Development Programme	29-11-13	Uttar Pradesh	ADB	USD 300 million
30.	SASEC road connectivity project	29-11-13	Manipur	ADB	USD 500 million
31.	Mizoram State Roads Project-II	24-09-13	Mizoram	WB	USD 237 million
32.	TN climate adaptation through sub-basin development programme	13-09-13	Tamil Nadu	ADB	USD 210 million
33.	Assam Power Sector Enhancement Project-II	13-09-13	Assam	ADB	USD 300 million
34.	Livelihood improvement of particularly vulnerable tribal groups	13-09-13	Odisha	WB	USD 50 million
35.	Improvement of water supply system in Wazirabad WTP command area of Delhi	13-09-13	Delhi Jal Board	ADB	USD 290 million
36.	Uttarakhand health systems development project	13-09-13	Uttarakhand	WB	USD 100 million
37.	Reconstruction and disaster response project	19-08-13	Uttarakhand	WB	USD 150 million
38.	Rural water supply project	19-08-13	Uttarakhand	WB	USD 24 million
39.	Reconstruction and disaster response project	19-08-13	Uttarakhand	ADB	USD 200 million
40.	Livelihoods project	19-08-13	Uttarakhand	IFAD	USD 28 million

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Sl. No.	Proposal	Date of approval	Proposal posed by	Funding agency	Amount
41.	City cluster development around Bangalore	19-07-13	Karnataka	ADB	USD 300 million
42.	National Cyclone Risk Mitigation Project II	19-07-13	National Disaster Management Authority	WB	USD 250 million
43.	Karnataka Integrated and Sustainable Water Resource Management Investment Programme- Urban Water Supply & Sanitation component	15-05-13	Karnataka	ADB	USD 150 million
44.	Jaipur Rail Metro Corporation project	15-05-13	Rajasthan	ADB	USD 176 million
45.	Indian Renewable Energy Development Agency	15-05-13	Ministry of New and Renewable Energy	ADB	USD 500 million
46.	Project proposal for power transmission	15-05-13	Madhya Pradesh	ADB	USD 350 million
47.	Livelihood improvement of vulnerable tribal group	15-05-13	Odisha	IFAD	USD 35 million
48.	Ravine Reclamation UP Sodic Land Reclamation III	15-05-13	Uttar Pradesh	WB	USD 84 million
49.	Tiranga Infrastructure Finance Facility	15-05-13	India Infrastructure Finance Company Limited	ADB	USD 700 million
50.	Punjab development finance programme	18-02-13	Punjab	ADB	USD 200 million
51.	Second Kolkata Environment improvement project	18-02-13	West Bengal	ADB	USD 400 million
52.	Meghalaya Integrated Rural Development Project	21-01-13	Meghalaya	IFAD	USD 45 Million
53.	Karnataka state road improvement project (KSHIP-III)	21-12-12	Karnataka	ADB	USD 350 million

Sl. No.	Proposal	Date of approval	Proposal posed by	Funding agency	Amount
54.	Rapid response to the food price crisis and malnutrition in Karnataka	21-12-12	Karnataka	WB	USD 5 million
55.	Chhattisgarh state road connectivity project	05-11-12	Chhattisgarh	ADB	USD 300 million
56.	TN Roads II	31-08-12	Tamil Nadu	WB	USD 300 million
57.	Watershed Management Project	27-07-12	Ministry of Rural Development	WB	USD 245 million
58.	Piped Water Supply	21-05-12	Ministry of Drinking Water and Sanitation	WB	USD 500 million
59.	AP Rural Inclusive Growth project	18-05-12	Andhra Pradesh	WB	USD 150 million
60.	Strengthening of teacher training institutes for training of untrained teachers of Bihar using distance mode	18-05-12	Bihar	WB	₹ 1280 crore

(Source: Screening Committee Minutes)

Annexure-II
(Refer to para No. 6.3.2)

Variation in Figures of Outstanding amount of Dated Securities as on 31 March 2014

(₹ in crore)

Sl. No.	Nomenclature	Outstanding amount as per Status Paper December 2014	Outstanding amount as per Finance Accounts	Difference
1.	7.56% GS 2014	40,845.08	15,845.08	25,000
2.	7.38% GS 2015 (Conv.)	57,386.74	54,386.73	3,000.01
3.	7.56% GS 2016 (Conv.)	-	25,000	-25,000
4.	7.49% GS 2017(Conv.)	58,000	53,000	5,000
5.	6.25% GS 2018 (Conv.)	16,886.80	10,886.80	6,000
6.	5.69% GS 2018 (Conv.)	16,130	10,000	6,130
7.	6.50% GS 2019	-	4,000	- 4,000
8.	6.35% GS 2020 (Conv.)	61,000	56,000	5,000
9.	7.83% GS 2021	-	68,000	-68,000
10.	6.17% GS 2023 (Conv.)	14,000	6,000	8,000
11.	1.44 Inflation Index GS 2023	-	6,582.58	-6,582.58
12.	8.28% GS 2032	90,687.11	85,687.11	5,000
13.	8.32% GS 2032	62,434.05	67,434.05	-5,000
14.	8.30% GS 2040	72,000	-	72,000

Sl. No.	Nomenclature	Outstanding amount as per Status Paper December 2014	Outstanding amount as per Finance Accounts	Difference
15.	6.30% GS 2040	-	72,000	-72,000
16.	Inflation Indexed National Saving Securities – 2013	-	92	-92
17.	7.80% GS 2021	68,000	-	68,000
18.	5.87% GS 2022 (Conv.)	11,000	-	11,000
19.	5.97% GS 2025 (Conv.)	16,687.95	-	16,687.95

(Source: Status Paper 2014 and Finance Accounts, GoI)

Annexure -III
(Refer to para No. 7.3)
Trade in Dated Government Securities

(₹ in crore)

Quarter	Number of dated Government securities outstanding	Total amount outstanding against dated Government securities	Total trade in dated Government securities	Total trade in Top 10 traded dated Government securities	Percentage of total trade in top 10 traded dated Government securities against total trade in dated Government securities	Total trade in top 3 traded dated Government securities	Percentage of total trade in top 3 against total in dated Government securities
Apr-Jun 2010*	96	19,41,595.40	8,95,231	8,22,630	91.89	6,58,018	73.50
Jul-Sep 2010	95	20,32,467.40	6,98,608	6,48,863	92.88	5,34,262	76.48
Oct-Dec 2010	94	21,29,843.00	5,14,299	4,77,444	92.83	3,32,976	64.74
Jan-Mar 2011	92	21,56,914.70	4,44,043	4,27,976	96.38	3,28,542	73.99
Apr-Jun 2011	92	22,63,441.70	5,09,795	4,92,163	96.54	4,24,671	83.30
Jul-Sep 2011	89	23,47,580.40	7,70,109	7,52,586	97.72	6,75,850	87.76
Oct-Dec 2011	91	24,62,333.50	8,19,819	7,94,562	96.92	6,16,644	75.22
Jan-Mar 2012	92	25,93,328.50	9,99,384	9,56,844	95.74	8,82,881	88.34
Apr-Jun 2012	90	27,05,754.30	11,50,531	11,20,254	97.37	9,83,930	85.52
Jul-Sep 2012	90	28,62,712.50	13,23,826	12,73,275	96.18	9,67,603	73.09
Oct-Dec 2012	91	30,12,712.50	11,65,239	11,21,349	96.23	7,90,520	67.84
Jan-Mar 2013	91	30,60,712.50	22,81,333	21,82,433	95.66	17,53,563	76.87

Quarter	Number of dated Government securities outstanding	Total amount outstanding against dated Government securities	Total trade in dated Government securities	Total trade in Top 10 traded dated Government securities	Percentage of total trade in top 10 traded dated Government securities against total trade in dated Government securities	Total trade in top 3 traded dated Government securities	Percentage of total trade in top 3 against total in dated Government securities
Apr-Jun 2013	92	31,98,961.20	36,32,422	34,67,288	95.45	26,91,049	74.08
Jul-Sep 2013	90	33,29,977.30	14,23,720	13,07,364	91.83	10,17,297	71.45
Oct-Dec 2013	92	34,95,277.00	13,12,755	12,41,440	94.57	8,96,836	68.32
Jan-Mar 2014	91	35,14,178.43	15,99,764	15,45,564	96.61	12,65,135	79.08
Apr-Jun 2014	89	36,37,065.40	23,67,773	22,84,644	96.49	18,03,718	76.18
Jul-Sep 2014	90	37,72,260.50	18,06,274	17,28,369	95.69	13,88,656	76.88
Oct-Dec 2014	89	38,69,869.61	26,90,532	24,93,679	92.68	20,32,631	75.55
Jan-Mar 2015	88	39,59,445.65	22,85,029	20,80,761	91.06	16,91,912	74.04

* Quarterly data is available in the quarterly reports from the year 2010-11.

(Source: Quarterly Report on Public Debt Management)

Annexure-IV
(Refer to para No. 7.4)
Retail Participation in the Auction of Dated Government Securities

(₹ in crore)

Year	Notified Amount	Amount Allocated for retail participation (i.e. 5 percent of notified amount)	Non-competitive bids received				Non-competitive bids accepted			
			Number	Amount	Percentage of the notified amount	Percentage against amount allocated for retail investors	Number	Amount	Percentage of Notified Amount	Percentage against amount allocated for retail investors
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
					$\frac{(5)}{(2)*100}$	$(5)/(3)*100$			$(9)/(2)*100$	$(9)/(3)*100$
2009-10	4,18,000.00	20,900.00	504.00	1,241.00	0.30	5.94	504.00	1,241.00	0.30	5.94
2010-11	4,37,000.00	21,850.00	620.00	2,045.00	0.47	9.36	620.00	2,045.00	0.47	9.36
2011-12	5,10,000.00	25,500.00	714.00	1,703.00	0.33	6.68	714.00	1,698.00	0.33	6.66
2012-13	5,58,000.00	27,900.00	813.00	1,881.00	0.34	6.74	813.00	1,881.00	0.34	6.74
2013-14	5,63,500.00	28,175.00	898.00	1,738.00	0.31	6.17	898.00	1,738.00	0.31	6.17
2014-15	5,92,000.00	29,600.00	965.00	2,111.00	0.36	7.13	965.00	2,111.00	0.36	7.13
Total	30,78,500.00	1,53,925.00	4,514.00	10,719.00	0.35	6.96	4,514.00	10,714.00	0.35	6.96

(Source: RBI's website)