

Appendices

Appendix-1.1
(Reference: Paragraph-1.7.1, Page-9)
Year-wise breakup of Inspection Reports and
Paragraphs outstanding in respect of Revenue Department
(up to 31 March 2015)

Year	Technical Education Department		Higher (Collegiate Education Department)		Total	
	Number of IRs	Number of paras	Number of IRs	Number of paras	Number of IRs	Number of paras
Upto 2005-06	11	40	106	370	117	410
2006-07	10	19	19	48	29	67
2007-08	01	03	19	67	20	70
2008-09	05	09	14	38	19	47
2009-10	13	46	31	93	44	139
2010-11	02	06	09	22	11	28
2011-12	09	46	13	70	22	116
2012-13	05	24	19	182	24	206
2013-14	15	105	30	314	45	419
2014-15	41	281	22	211	63	492
Total	112	579	282	1,415	394	1,994

Appendix-1.2

(Reference: Paragraph-1.7.3, Page-10)

Details of Departmental Notes pending as of 30 November 2015 (Excluding General and Statistical Paragraphs)

Sl. No.	Department	95-96	96-97	97-98	98-99	99-00	00-01	01-02	02-03	03-04	04-05	05-06	06-07	07-08	08-09	09-10	10-11	11-12	12-13	13-14	Total
1	Agriculture	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	01	-	01
2	Education	-	-	-	-	-	-	-	-	-	-	-	-	-	03	-	-	-	01	04	08
3	e-governance																		01	-	01
4	Finance	-	-	-	-	-	01	-	-	-	-	-	-	-	-	-	-	-	-	-	01
5	Horticulture																				01
6	Housing	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	01	-	01+01 KHB	02	05
7	Information, Tourism, Kannada & Culture	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	01	-	-	01	02
8	Labour	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	01	01		02
9	Revenue	-	-	01	-	-	-	-	-	-	-	-	-	-	01	-	-	04	04	01	11
10	Social Welfare	-	-	01	01	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	02
11	Urban Development	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	09	09	18
12	Youth Services and Sports	-	-	-	-	01	-	-	-	-	-	-	-	-	-	-	-	-	-	-	01
13	Agriculture, Forest, Home & Transport	-	01	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	01
14	Department of Minority Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	01	01
15	Health and Family Welfare Department (Medical Education)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		01	01
16	Department of Revenue and UDD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	01	01
	Total	-	01	02	01	01	01	-	-	-	-	-	-	-	04	-	02	05	19	21	57

Appendix-1.3
(Reference: Paragraph-1.7.4, Page-10)

Paragraphs (excluding General and Statistical) yet to be discussed by PAC as of 30 November 2015

Sl. No	Department	92-93	93-94	94-95	95-96	96-97	97-98	98-99	99-00	00-01	01-02	02-03	03-04	04-05	05-06	06-07	07-08	08-09	09-10	10-11	11-12	12-13	13-14	Total
1.	Agriculture	-	-	-	-	2	-	-	-	-	-	-	-	-	-	-	1	-	-	-	-	1	-	04
2.	Animal Husbandry and Veterinary Services	-	-	-	-	-	3	1	1	2	-	-	-	1	-	-	-	-	1	-	1	-	-	10
3.	Education	2	1	4	5	1	-	1	2	2	1	1	1	-	2	2	1	3	2	-	-	1	4	36
4.	e-governance	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	01
5.	Finance	-	-	-	-	-	-	-	-	1	-	-	-	-	1	-	1	-	-	-	-	-	-	03
6.	Health and Family Welfare	3	-	1	4	4	1	2	2	1	-	-	-	-	-	-	-	1	-	-	1 #	-	-	20
7.	Home	-	2	2	-	2	-	-	2	-	2	-	-	-	-	-	-	-	-	2	-	2	3	17
8.	Horticulture	-	-	-	-	1	1	-	-	-	-	-	-	-	1	-	-	-	-	-	-	-	1	04
9.	Housing	-	-	-	2	-	-	-	-	-	-	-	-	1	1	1	-	-	-	1	-	3 ^	2	11
10.	Information, Tourism, Kannada and Culture	-	-	-	-	-	-	3	1	-	-	-	-	1	-	-	-	-	-	1	-	-	1	07
11.	Labour	-	-	-	-	-	-	-	-	-	1	-	1	-	-	1	-	1	-	-	1	1	-	06
12.	Legislature Secretariat	-	-	-	-	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	01
13.	Revenue	-	-	-	1	1	1	-	1	-	-	-	-	1	1	-	-	1	-	-	4	4	1	16
14.	Social Welfare	-	-	-	2	-	3	3	1	1	-	-	-	-	-	1	-	-	-	-	-	-	-	11
15.	Urban Development	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	-	1	4	06+1*	9	10	32
16.	Women & Child Development	-	-	-	-	1	-	-	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	02
17.	Youth Services and Sports	-	-	-	-	-	2	-	2	-	-	-	-	-	-	-	-	-	-	-	-	-	-	04
18.	Agriculture, Forest, Home & Transport	-	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	01
19.	H&FW, PWD, & RDPR	-	-	-	-	-	-	-	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	01
20.	Forest, Ecology & Environment, Urban Development and H&FW	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	-	-	-	-	-	01
21.	Department of Minority Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	01	01
22.	Department of Medical Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	02	01	03
Total		5	3	7	14	13	11	10	13	7	4	3	2	4	6	6	4	6	4	8	14	24	24	192

* Stand alone report on “Denotification and allotment of land by Bangalore Development Authority” is partially discussed by PAC

^ includes Stand alone report – Performance Audit of Acquisition and Development of land allotment of sites /houses/flats by Karnataka Housing Board for the year ended 31 March 2013.

Para 2.1 of Report No 2 of the year 2013- “Procurement and Distribution of drugs and chemicals” is under discussion by PAC.

Appendix-2.1
(Reference: Paragraph-2.1.6, Page-16)
List of hospitals selected for test-check

District Hospitals	
1.	Chitradurga
2.	Dakshina Kannada (Wenlock Hospital, Mangaluru)
	Dakshina Kannada (Lady Goschen Hospital, Mangaluru)
3.	Kalaburagi
4.	Karwar
5.	Kodagu
6.	Vijayapura
Teaching Hospitals	
7.	Belgaum Institute of Medical Sciences (BIMS)
8.	Bidar Institute of Medical Sciences (BRIMS)
9.	Karnataka Institute of Medical Sciences (KIMS)
10.	KR Hospital, Mysuru
	Cheluvamba Hospital, Mysuru
11.	Shivamogga Institute of Medical Sciences (SIMS)
12.	Victoria Hospital, Bengaluru
General/Taluk Hospitals	
13.	Aland
14.	Anekal
15.	Bailahongal
16.	Chincholi
17.	Gokak
18.	Hiriyur
19.	Humnabad
20.	K.R.Nagar
21.	Nanjanagudu
22.	Puttur
23.	Shikaripura
24.	Sirsi
25.	Virajpet*
26.	Sir CV Raman General Hospital, Indiranagar, Bengaluru *
27.	KC General Hospital, Bengaluru (Pilot Study) *
Super Speciality Hospitals	
28.	Institute of Nephro-Urology (INU), Bengaluru
29.	Pradhan Mantri Swastha Suraksha Yojana Super Speciality Hospital (PMSSY SSH), Bengaluru

Note (1)* Three General Hospitals have been included under the category of Taluk Hospitals for all other purposes except in health services. Since these general hospitals render services as district level hospitals, while analysing for services, these three hospitals have been included under District Hospitals.

Note (2) KR Hospital and Cheluvamba Hospital in Mysuru and Lady Goschen Hospital and Wenlock Hospital in Mangaluru are to be treated as single hospital in each district. For the purpose of adequacy with respect to infrastructure, however, the hospitals are considered as separate hospitals.

Appendix-2.2
(Reference: Paragraph-2.1.9.1, Page-21)
Availability of Specialisation in Taluk and District Hospitals

Name of the Specialisation	No. of Hospitals where post not sanctioned		No. of hospitals where post sanctioned but no men in position		Total no. of hospitals where specialisation not available		Percentage of hospitals where specialisation not available
	TH	DH	TH	DH	TH	DH	
General Medicine	4	0	85	3	89	3	55
General Surgery	8	0	49	1	57	1	35
Obstetrics & Gynaecology	0	0	33	2	33	2	21
Anaesthesia	4	0	41	2	45	2	28
Paediatrics	3	0	62	3	65	3	41
Ophthalmology	6	0	73	5	79	5	51
ENT	11	2	65	2	76	4	48
Orthopaedics	4	0	49	2	53	2	33
Dermatology	54	5	61	5	115	10	75
Dental	4	0	22	1	26	1	16

Appendix-2.3
(Reference: Paragraph-2.1.9.2(e), Page-27)
Percentage of expired blood

Name of the Hospital	Total units of discarded blood	Number of units of expired blood	Percentage of expired blood (Range of expired blood during the period 2010-11 to 2014-15)
District Hospital, Karwar	192	158	82 (76-88)
District Hospital, Madikeri	239	157	66 (46-76)
KC General Hospital, Bengaluru	750	460	61 (14-85)
Karnataka Institute of Medical Sciences, Hubballi	3,043	1306	43 (15-56)
Victoria Hospital, Bengaluru	3,293	1141	35 (15-65)
Belagavi Institute of Medical Sciences	723	206	28 (22-39)
Shivamogga Institute of Medical Sciences	1,041	237	23 (1-39)
District Hospital, Kalaburagi	431	83	19 (14-25)
Pandit General Hospital, Sirsi	177	25	14 (3-27)
Wenlock Hospital, Mangaluru	679	79	12 (1-30)
Bidar Institute of Medical Sciences	1,354	140	10 (4-22)

Appendix-2.4

(Reference: Paragraph-2.1.11.1, Page-38)

Statement showing the details of number of beds in the burns wards, exclusive manpower available and number of patients admitted, discharged and died during the period 2010-15

Name of the Hospital	No. of beds in burns ward	Details of exclusive/dedicated staff for burns ward	Total number of patients admitted during the period 2010-11 to 2014-15	Number of patients discharged after treatment	No. of death cases	Remarks, if any	Percentage of death cases
District Hospital, Kalaburagi	16	01 Staff Nurse	1,663	1,102	561		34
District Hospital, Vijayapura	12	02 Doctors, 03 Staff Nurse, 03 Group D	597	373	224		38
District Hospital, Tumakuru	10	NIL	1,271	736	183		14
District Hospital, Bagalakote	7	03 Staff Nurse, 02 Group D	260	163	98		38
Wenlock Hospital, Mangaluru	7	03 Staff Nurse	655	369	286		44
District Hospital, Dharwad	8	01 Plastic/General Surgeon, 03 Staff Nurse	298	219	11		4
District Hospital, Davanagere	12	No exclusive staff	1,620	899	721		45
District Hospital, Karwar	5	01 SN, 01 Group D	45	27	1		2
District Hospital, Chamarajanagar	10	02 Plastic/General Surgeon, 03 Staff Nurse	188	188	0		0
SIMS, Shivamogga	10	Plastic Surgeon	1,140	864	276		24
HIMS, Hassan			Information not furnished				
BRIMS, Bidar			Information not furnished				
Victoria Hospital, Bengaluru	50	No exclusive staff.	8889	4,797	4,092		46
KIMS, Hubballi		No exclusive staff.	1257	714	543	No separate burns ward	43
District Hospital, Chitradurga	6		161	136	25	Separate burns ward not sanctioned	16
KR Hospital, Mysuru	32	Plastic Surgeon-2 Staff nurse-9 Technician-1 Group 'D'-3	3,384	2,080	1,252	ICU present but no ventilator, No central oxygen, No physiotherapy facility	37

Appendix-2.5
(Reference: Paragraph-2.1.11.3, Page-41)
Details of staff sanctioned in Trauma care centre

Sl. No.	Name of the hospital	TCC level	Sanctioned strength GOI	Sanctioned strength GOK	Doctors		Nursing Staff		Paramedics		Working strength		Total Working strength
					Regular	Outsourced	Regular	Outsourced	Regular	Outsourced	Regular	Outsourced	
1.	District Hospital, Chikkaballapur	III	75	16	1	2	0	6	0	0	1	8	9
2.	General Hospital, Sira	III	75	16	1	0	2	3	0	7	3	10	13
3.	District Hospital, Tumakuru	III	75	16	1	0	0	0	0	0	1	0	1
4.	Chigateri District Hospital, Davanagere	III	75	0	0	0	0	25	0	0	0	25	25
5.	BIMS, Belagavi	III	75	0	0	0	0	0	0	0	0	0	0
6.	District Hospital, Haveri	III	75	16	2	0	0	0	1	0	3	0	3
7.	District Hospital, Chitradurga	II	84	16	2	0	5	0	1	3	8	3	11
8.	KIMS, Hubballi	II	84	0	0	17	11	45	2	24	13	86	99
Total			618	80	7	19	18	79	4	34	29	132	161

Appendix-2.6
(Reference: Paragraph-2.2.1, Page-44)
Role of organisations on Engineering Education in Karnataka

Name of the organisation	Role of the Organisation
University Grants Commission (UGC)	Promotion and co-ordination of University education and for the determination and maintenance of standards of teaching, examination and research in Universities.
All India Council for Technical Education (AICTE)	Planning and co-ordinated development of the technical education system throughout the country, Promotion of qualitative improvements and regulation and proper maintenance of norms and standards in the technical education system.
National Assessment and Accreditation Council (NAAC)	Assessment and accreditation of higher education institutions in the country through a combination of self and external quality evaluation, promotion and sustenance initiatives.
National Board of Accreditation (NBA)	Assessment and accreditation of professional programmes offered at various levels by the technical institutions on the basis of specified norms.
Karnataka State Higher Education Council (KSHEC)	Establishment of a synergic relationship among Government, Universities and regulatory bodies by promoting academic excellence and social justice by the Government in policy formulation and perspective planning, (ii) ensuring the autonomy, accountability and co-ordination among all institutions of higher education in the State, and (iii) guiding the harmonious growth of higher education in accordance with the socio-economic requirements of the State
Directorate of Technical Education	Ensuring planned development of technical education in the State consistent with the policies of the State and the Nation
State Project Facilitation Unit (SPFU)	Implementation of the Technical Education Quality Improvement Program (TEQIP). The prime focus of the project will be broadly on Improving Quality and Relevance of Engineering Education by incorporating Faculty Development and Capacity Building, Monitoring & Evaluation and Project Management, by emphasising on training as well as monitoring and evaluation techniques.
Visvesvaraya Technological University	Established as a University exclusively for promoting engineering education in the State with an objective of supplying the required manpower of appropriate kind and quality to meet the needs of society and national development plans, to advance frontiers of knowledge by research, innovation, invention and product improvement etc.
Affiliated Colleges/Autonomous Colleges/Extension Centres etc.	Delivery of curriculum to the students in various engineering branches, maintenance of teaching and learning infrastructure as per standards, promotion of faculty research etc.

Appendix-2.7
(Reference: Paragraph-2.2.5, Page-45)
List of test-checked engineering colleges

Government colleges

1. Government Engineering College, Ramanagara
2. Government Engineering College, Raichur

Aided colleges

3. PDA College of Engineering, Kalaburagi
4. BMS Evening College of Engineering, Bengaluru

Colleges on the basis of highest admission percentage

5. New Horizon College of Engineering, Bengaluru
6. Government Engineering College, Hassan
7. BMS Institute of Technology, Bengaluru
8. GSSS Institute of Engineering & Technology for women, Mysuru
9. MS Ramaiah Institute of Technology, Bengaluru

Colleges on the basis of lowest admission percentage

10. Adarsha Institute of Technology, Bengaluru
11. Achutha Institute of Technology, Bengaluru
12. Bengaluru College of Engineering & Technology, Bengaluru
13. Sri Vidya Vinayaka Institute of Technology, Bengaluru
14. East Point College of Engineering and Technology for Women
Bengaluru

Unaided colleges

15. Acharya Institute of Technology
16. Akshaya Institute of Technology, Tumakuru
17. Amrutha Institute, (AIMS), Bengaluru
18. Bangalore Technical Institute, Bengaluru
19. Bapuji IET, Davangere
20. Brindavan Engineering College, Bengaluru
21. BTL Institute of Technology, Bengaluru
22. C Byregowda Institute of Technology, Bengaluru
23. Dr.ShivakumaraSwamy College of Engineering, Bengaluru
24. Dr.Thimmaiah Institute of Technology, KGF
25. GTTC Bengaluru
26. Impact College, Bengaluru
27. Islamiyah Institute of Technology, Bengaluru
28. Jain Institute of Technology, Davangere
29. JnanaVikas Institute of Technology, Bengaluru
30. JNNCE, Shivamoga
31. KNS Institute of Technology, Bengaluru
32. MS Engineering College, Bengaluru
33. MVJCE, Bengaluru
34. PNS Institute of Technology, Bengaluru
35. Shirdi Sai Engineering College, Bengaluru
36. SJC Institute of Technology, Chikkaballapura
37. Sri Channabasaveshwara Institute of Technology, Gubbi
38. YDIT Bengaluru
39. Bheemanna Khandre Institute of Technology, Bhalki
40. KCT Gulbarga
41. Proudadevaraya Institute of Technology, Hospet
42. Rao Bahadur Engineering College, Ballari
43. Cambridge Institute, Bengaluru

Appendix-2.8

(Reference: Paragraph-2.2.6.1(c), Page-48)

Statement of division-wise analysis for CET intake and admission data

Name of the division	Name of the District	CET Engineering intake capacity	CET Exam 2014 applied	Total No. of Rank Holders in Engg	Total candidates who selected Engg seats
Belagavi	Belagavi	2,159	6,866	4,523	2,095
	Karwar	777	2,339	1,912	1,010
	Bagalakot	780	4,133	2,836	1,450
	Vijayapura	556	3,574	2,159	1,020
	Dharwad	1,287	6,714	4,964	2,543
	Haveri	552	1,378	919	453
Kalaburagi	Gadag	556	1,662	927	410
	Kalaburagi	1,505	5,532	4,224	2,203
	Bidar	1,018	4,744	3,066	1,585
	Ballari	1,282	4,826	3,219	1,723
	Raichur	626	1,657	926	482
	Koppal	0	776	439	204
Mysuru	Yadagir	150	1,082	792	361
	Chikkamagalur	300	1,649	2,406	1,316
	Mangaluru	5,110	10,712	9,579	4,922
	Hassan	1,252	3,266	1,971	1,060
	Chitradurga	194	2,587	1,451	806
	Davanagere	1,329	5,763	3,986	2,314
	Mandya	1,307	3,109	1,801	1,041
	Madikeri	462	861	702	355
	Chamarajanagara	402	925	569	282
	Mysuru	2,696	7,968	5,660	3,000
Bengaluru	Shivamogga	630	4,571	3,481	1,817
	Udupi	390	3,893	3,475	1,827
	Tumakuru	1,665	5,398	3,543	1,891
	Chikkaballapura	720	3,382	1,277	642
	Ramanagara	702	1,339	868	516
	Bengaluru	24,914	36,434	27,034	14,858
Bengaluru	Kolar	488	3,356	2,296	1,394

Appendix-2.9
(Reference: Paragraph-2.2.6.3(b), Page-55)
Status of NBA Accreditation of VTU Autonomous Colleges

Sl. No.	Name of the College	Period of Autonomous Status granted by VTU	Due time to get accreditation from NBA in	Eligible No of courses for NBA Accreditation Status (Number of Courses – UG & PG and period)	NBA Tier I & Tier II Accreditation Status (Number of Courses – UG & PG and period)	NBA Tier II Accreditation Status (Number of Courses-which could not be renewed)	Autonomous Colleges could not get the NBA Accreditation till date
1	BMS College of Engineering, Bengaluru	Since 2008-09 (UG Courses)	2010-11 (UG courses)	13 UG courses	Tier I - (6 courses (UG) 2014-15, 5 courses (UG) 2014-18) Tier II - (5 courses (UG) -2014-19, 6 courses (UG) – 2014-16 4 courses (PG) -2013-16)* Some courses are common in Tier I and Tier II (Total 11 UG Courses	UG Courses-1 PG Courses-1*	---
2	NITTE Meenakshi Institute of Technology, Bengaluru	Since 2007-08 (UG Courses)	2009-10 (UG Courses)	6 UG courses	Tier I - 4 courses (UG) 2014-15 Tier II - 4 courses (UG) 2014-16 Courses are common in Tier I & Tier II	UG Courses-1 PG Courses-0	---
3	MS Ramaiah Institute of Technology, Bengaluru	Since 2007-08 (UG & PG)	2009-10 (UG & PG)	13 UG Courses 9 PG Courses	Tier II – 2 courses (UG) – 2010-15, 1 course (UG) - 2013-15, 1 course (PG) – 2013-16	UG Courses-9 PG Courses-3	---
4	NMAM Institute of Technology, Nitte	Since 2007-08 (UG & PG)	2009-10 (UG & PG)	7 UG Courses 5 PG Courses	Tier II - 4 course (UG) – 2012-15, 1 course (UG) – 2012-17	UG Courses-2 PG Courses-1	---
5	Malnad College of Engineering, Hassan	Since 2007-08 (UG Courses)	2009-10 (UG Courses)	9 UG Courses	Tier II - 1 course (PG) – 2013-16*	UG Courses-8 PG Courses-1*	---
6	Dr.Ambedkar Institute of Technology, Bengaluru	Since 2008-09 (UG Courses)	2010-11 (UG Courses)	10 UG Courses	Tier II - 1 courses (UG) –2012-17, 4 courses (UG) – 2013-15	UG Courses-5 PG Courses-0	---
7	PES Institute of Technology, Bengaluru	Since 2007-08 (UG Courses)	Since 2009-10 (UG Courses)	7 UG Courses	Nil	UG Courses-5 PG Courses-0	---
8	SDM College of Engineering & Technology, Dharwad	Since 2007-08 (UG Courses)	2009-10 (UG Courses)	7 UG Courses	Nil	UG Courses-6 PG Courses-0	---
9	Basaveshwara Engineering College, Bagalkote	Since 2007-08 (UG & PG)	2009-10 (UG & PG)	10 UG Courses 8 PG Courses	Nil	UG Courses-7 PG Courses-0	---
10	Siddaganga Institute of Technology, Tumakuru	Since 2007-08 (UG Courses) Since 2008-09 (PG Courses)	2009-10 (UG Courses) 2010-11 (PG Courses)	12 UG Courses 8 PG Courses	Nil	UG Courses-6 PG Courses-0	---
11	RV College of Engineering, Bengaluru	Since 2007-08 (UG Courses)	2009-10 (UG Courses)	12 UG Courses	Nil	UG Courses-6 PG Courses-0	---
12	PDA College of Engineering, Gulbarga	Since 2007-08 (UG & PG)	2009-10 (UG & PG)	11 UG Courses 8 PG Courses	Nil	UG Courses-8 PG Courses-0	---
13	Sri Jayachamarajendra College of Engineering, Mysuru	Since 2007-08 (UG Courses) Since 2008-09 (PG Courses)	2009-10 (UG Courses) 2010-11 (PG Courses)	12 UG Courses 13 PG Courses	Nil	UG Courses 8 PG Courses 0	---

Sl. No.	Name of the College	Period of Autonomous Status granted by VTU	Due time to get accreditation from NBA in	Eligible No of courses for NBA Accreditation Status (Number of Courses – UG & PG and period)	NBA Tier I & Tier II Accreditation Status (Number of Courses – UG & PG and period)	NBA Tier II Accreditation Status (Number of Courses-which could not be renewed)	Autonomous Colleges could not get the NBA Accreditation till date
14	National Institute of Engineering, Mysuru	Since 2007-08 (UG & PG)	2009-10 (UG & PG)	7 UG Courses 6 PG Courses	Nil		Yes
15	PES College of Engineering, Mandya	Since 2008-09 (UG & PG)	2010-11 (UG & PG)	8 UG Courses 3 PG Courses	Nil	8 UG Courses	Yes
16	KLS Gogte Institute of Technology, Belagavi	Since 2015-16 (UG & PG)	2017-18	NA as of March - 2015	NOT Applicable		
17	Dayanand Sagar College of Engineering, Bengaluru	Since 2015-16 (UG & PG)	2017-18	NA as of March - 2015			
18	New Horizon College of Engineering, Bengaluru	Since 2015-16 (UG & PG)	2017-18	NA as of March - 2015			
19	Nagarjuna College of Engineering and Technology, Bengaluru	Since 2015-16 (UG & PG)	2017-18	NA as of March - 2015			
Total				144 UG Courses 60 PG Courses	28 UG Courses 1 PG Courses	80 UG Courses 4 PG Courses	

* These were not considered as autonomy was not granted

Appendix-2.10
(Reference: Paragraph-2.2.6.3(b), Page-55)
Quality parameters of autonomous colleges

Item	Sub Item	Number of Autonomous Colleges	No of Colleges for which Information not applicable	No of Colleges for which Information not available	Information Available/ Applicable	Colleges with Deficiency	% of colleges with deficiency
1)UG Curriculum design based on VTU guidelines? If Yes:	a) Electives in New/Emerging topics, OK?	16	0	0	16	8	50.00
	b) Frequency of revisions, OK?	16	0	0	16	9	56.25
2) PG Curriculum Guidelines based on VTU Guidelines	a) Electives in New/Emerging topics, OK?	16	7	0	9	4	44.44
	b) Design Problems /Lab work /IT applications/Project, OK?	16	7	0	9	5	55.56
3) Teaching and course delivery as per modern practices	a) Coursework beyond the prescribed syllabi, OK?	16	0	0	16	7	43.75
	b) Getting students' feedback and using it, OK?	16	0	0	16	6	37.50
4) Learner Centric initiatives taken? If yes	a) Broadband Intra/Internet/Wifi access on campus, OK?	16	0	0	16	8	50.00
5) UG Examinations by following VTU Guidelines? If Yes	a) CIE question papers quality/standard, OK?	16	0	1	15	7	46.67
	b) SEE question papers quality/standard, OK?	16	0	1	15	8	53.33
6) PG Examination by following VTU Guidelines? If Yes	a) SEE question papers quality/standard, OK?	16	7	1	8	3	37.50

Appendix-2.11

(Reference: Paragraph-2.2.9.1, Page-67)

Availability of teaching faculty in test-checked colleges

Name of Course	Designation of Faculty	Number of Colleges having Deficiency in %						Sampled Colleges for which data available and applicable
		0%	1% to 25%	26% to 50%	51% to 75%	76% to 99%	100%	
Computer Science	Overall Faculty	20	7	8	3	1	0	39
	Professor	15	0	6	0	0	18	39
	Associate Professor	2	2	2	3	3	27	39
	Assistant Professor	28	5	3	2	1	0	39
Civil Engineering	Overall Faculty	13	5	5	6	0	1	30
	Professor	18	0	3	0	0	9	30
	Associate Professor	2	1	3	2	3	19	30
	Assistant Professor	17	6	5	1	0	1	30
Electronics & Communication Engineering	Overall Faculty	20	9	7	3	0	0	39
	Professor	18	0	4	0	0	17	39
	Associate Professor	2	1	3	7	3	23	39
	Assistant Professor	29	5	3	2	0	0	39
Mechanical Engineering	Overall Faculty	23	7	5	2	0	0	37
	Professor	25	0	2	0	0	10	37
	Associate Professor	4	1	7	3	2	20	37
	Assistant Professor	28	5	3	1	0	0	37
Common Subjects for all courses	Physics Overall Faculty	24	3	7	2	0	4	40
	Chemistry Overall Faculty	23	5	5	3	0	4	40
	Mathematics Overall Faculty	23	7	2	6	0	2	40

Appendix-2.12

(Reference: Paragraph-2.2.9.2, Page-68)

Availability of laboratory facilities in test-checked colleges

Mechanical Engineering								
Name of laboratories	Required No of Equipment as per syllabi	Number of Colleges having Deficiency in %						No of Sampled Colleges
		0%	1% to 25%	26% to 50%	51% to 75%	76% to 99%	100%	
Machine Shop	6	16	1	1	0	1	4	23
Equipment Hydraulic and Hydraulic Machinery Laboratory	12	8	10	1	1	0	3	23
Others	11	3	5	10	1	1	3	23
Computer Laboratory Software	3	14	0	4	1	0	4	23
Mechanical Measurement and Metrology Lab	15	10	9	3	0	0	1	23
Foundry and Forging Lab	6	12	3	4	0	1	3	23
Energy Conversion Lab	9	8	7	3	2	1	2	23
Design Lab	6	13	6	1	0	0	3	23
OVERALL	68	0	16	3	2	2	0	23

Electronics and Communication Engineering								
Name of laboratories	Required No of Equipment as per syllabi	Number of Colleges having Deficiency in %						No of Sampled Colleges
		0%	1% to 25%	26% to 50%	51% to 75%	76% to 99%	100%	
Analog Electronics Laboratory	17	1	11	9	2	0	0	23
Logic Design Laboratory	7	3	7	9	4	0	0	23
HDL Laboratory	3	12	0	6	2	0	3	23
Microcontroller Laboratory	8	2	8	7	6	0	0	23
Analog Communications Laboratory	9	3	15	3	2	0	0	23
Digital Signal Processing Laboratory	7	5	8	7	3	0	0	23
Micro Processor Laboratory	5	12	4	3	1	1	2	23
Power Electronics Laboratory	22	1	12	5	2	1	2	23
VLSI Laboratory	1	12	0	0	0	0	11	23
Advanced Communication Laboratory	14	0	13	8	2	0	0	23
Others	6	5	4	5	2	3	4	23
Computer Laboratory Softwares	12	1	7	6	1	4	4	23
Overall	111	0	8	12	3	0	0	23

Civil Engineering								
Name of laboratories	Required No of Equipment as per syllabi	Number of Colleges having Deficiency in %						No of Sampled Colleges
		0%	1% to 25%	26% to 50%	51% to 75%	76% to 99%	100%	
Highway Testing Materials Laboratory	39	0	9	6	3	4	1	23
Geo-Technical Laboratory	31	0	8	6	3	5	1	23
Basic Materials Testing Laboratory	9	6	9	2	0	3	3	23
Surveying Laboratory	13	3	12	5	3	0	0	23
Computer Aided Design and Drawing Laboratory	5	2	2	5	3	5	6	23
Environmental Engineering Laboratory	10	7	8	2	2	2	2	23
OVERALL	107	0	7	9	4	3	0	23

Appendix-2.13
(Reference: Paragraph-2.2.9.3, Page-68)
Availability of Library facilities in sampled Colleges

Civil Engineering								
Semester	Required No of Titles as per syllabi	Number of Colleges having Deficiency in %						No of Sampled Colleges
		0%	1% to 25%	26% to 50%	51% to 75%	76% to 99%	100%	
Semester 3	55	1	0	14	11	1	0	27
Semester 4	32	1	6	14	5	1	0	27
Semester 5	56	1	4	11	7	4	0	27
Semester 6	83	1	1	5	13	6	1	27
Semester 7	115	1	1	4	9	10	2	27
Semester 8	115	1	0	3	8	13	2	27
Overall	456	1	0	5	14	7	0	27

Computer Science Engineering								
Semester	Required No of Titles as per syllabi	Number of Colleges having Deficiency in %						No of Sampled Colleges
		0%	1% to 25%	26% to 50%	51% to 75%	76% to 99%	100%	
Semester 3	23	5	10	7	4	1	0	27
Semester 4	24	4	11	8	4	0	0	27
Semester 5	23	4	12	7	3	1	0	27
Semester 6	41	4	9	5	9	0	0	27
Semester 7	61	2	7	13	4	1	0	27
Semester 8	53	2	4	5	11	5	0	27
Overall	225	1	8	10	8	0	0	27

Appendix-3.1
(Reference: Paragraph-3.1.5.1(c), Page-76)
Illustrative cases of ambiguous disclosure in goods tender supplier selection

Tender No. & Department	Tender Title	Total number of items	Disclosure regarding selection in the portal
BMTC/2013/012 Bangalore Metropolitan Transport Corporation (BMTC)	Procurement of Electrical Items.	11	Three different bidders are shown as selected for the same items at different rates. It is not clear which items were awarded to which tenderer. Further it conveys the meaning that the quantities purchased were double or thrice the quantities tendered.
KSRTC/2013-14/A-28-13 Karnataka State Road Transport Corporation (KSRTC)	Fuel Filter Elements	19	Three Items out of the 19 items are shown to have been selected to two contractors at different rates. This leads the viewer to conclude that the department procured twice the required items floated in the tender at different rates from different tenderers. Several items are shown as awarded to bidders at zero rates.
DMA/2014-15/IND2258 Directorate of Municipal Administration	Supplying of submersible Motor Pump, GI Pipe and Cable from 2013-14 SFC Special Grant	8	Two bidders are shown as selected against all the 8 items at different rates. This leads the viewer to conclude that the department procured two times the required items floated in the tender at different rates from the two tenders.
KPTCL//2013-14/IND226 Karnataka Power Transmission Corporation Ltd. (KPTCL)	Supplying of T&P Materials to Tr (W&M)Circle, KPTCL, Belgaum on Total Turnkey Basis.	26	Different bidders are shown as selected for the same items at different rates.

Appendix-4.1
(Reference: Paragraph-4.1.2.1(a), Page-95)
Status of working of equipment

Sl. No	Name of the equipment	Number	Working	Not working (District)								Whether AMC entered Yes/No
				Belagavi	Mysuru	Tumakuru	Bengaluru	Shivamogga	Ballari	Dharwad	Ramanagara	
1	CCTV	309	304	-	01	03	-	-	-	-	-	No
2	Door Frame Metal detector	59	18	04	02	03	20	05	05	-	02	No
3	Hand Metal detector	105	45	10	05	01	24	08	10	02	-	No
4	Deep Search Metal detector	5	5	-	-	-	-	-	-	-	-	No
5	X-ray baggage scanner	6	5	-	-	-	-	-	-	-	01	No
6	Walki - Talky	274	240	-	10	10	-	04	10	-	-	No

Appendix-4.2
(Reference: Paragraph-4.1.2.1(b), Page-96)
Details of the source where articles were seized

Year	Searches by prison staff	Number of cases booked	Number of cases (source from which articles seized mentioned in the book)	Details of source from which articles seized						
				Interview	Toilet	Inside TV	Wall	Gate	Factory	Waste box
2010-11	858	798	193	71	44	11	48	14	5	0
2011-12	842	940	232	87	57	22	47	18	1	0
2012-13	739	892	185	56	63	07	32	24	1	2
2013-14	213	137	91	07	51	18	10	05	0	0
2014-15	108	26	22	0	01	0	20	01	0	0
Total	2,760	2,793	723	221	216	58	157	62	7	2

Appendix-4.3
(Reference: Paragraph-4.1.2.1(c), Page-97)
Entry of prohibited articles into prison

Year	Search by Prison Staff	Number of cases booked	Number of cases where articles are seized	Seizure of prohibited articles			
				Mobiles	SIMs	Chargers	Batteries
2010-11	858	798	193	940	850	375	880
2011-12	842	940	232	542	439	205	514
2012-13	739	892	185	1,280	1,104	214	1,007
2013-14	213	137	91	258	172	33	225
2014-15	108	26	22	25	13	0	24
Total	2,760	2,793	723	3,045	2,578	827	2,650

Appendix-4.4
(Reference: Paragraph-4.1.2.2(b), Page-99)
Production of undertrials before Courts through VC system vis-à-vis
through escort

Name of the Prison	Total Number of prisoners to be produced to court	Escort sought	Number of prisoners produced before courts through escort	Number of prisoners produced before courts through video conferencing
Dharwad*	26,410	26,410	19,561	93
Belagavi	47,064	47,064	22,704	281
Mysuru	81,092	81,092	58,542	6,448
Ballari	38,093	38,093	18,477	984
Kolar*	18,782	18,782	16,309	03
Chikkaballapura	13,776	13,776	12,445	-
Gokak	3,741	3,741	1,869	-
Bengaluru	4,61,704	2,61,553	1,06,013	2,00,151
Shivamogga*	32,721	32,721	23,925	893
Arasikere	4,819	4,819	4,819	-
Tipaturu	1,797	1,797	1,797	-
Ramanagara	19,965	19,965	12,340	265
Tumakuru^	45,592	45,592	37,148	783
Total	7,95,556	5,95,405	3,35,949	2,09,901

* VC system was under repair since 2012-13

^ VC was under repair up to 2013-14

Appendix-4.5
(Reference: Paragraph-4.2.1, Page-105)
Statement showing the list of test-checked institutions

Sl.No.	Name of the Institutions	Type of Organisation
1	Children Home for Boys, Marigowda Road, Bengaluru	Government
2	Govt. Shishu Mandir, Marigowda Road, Bengaluru	Government
3	Children Home for Boys, Mysuru	Government
4	Children Home for Girls, Mysuru	Government
5	Juvenile Home for Boys, Cantonment, Ballari	Government
6	Children Home for Mentally Retarded Children, Cantonment, Ballari	Government
7	Children Home for Girls, Ballari	Government
8	Government Certified School (Senior) Boys, Vijayapura	Government
9	Children Home for Girls, Sangoli Rayana Colony, Vijayapura	Government
10	Children Home for Boys, 100 Feet Road, Halakola, Shivamogga	Government
11	Observation Home for Boys, Madivala, Bengaluru.	Government
12	Observation Home for Boys, Mysuru.	Government
13	Observation Home for Boys, Ballari	Government
14	Observation Home for Boys, Aphjalpor Takke Road, Vijayapura	Government
15	Observation Home for Boys, 100 Feet Road, Halakola, Shivamogga	Government
16	Govt. Shishu Gruha (SAA),Ballari	Government
17	Special Home, Sumanahalli, Bengaluru.	NGO
18	Basco Yuvodaya Open Shelter, Magdi Road, Bengaluru	NGO
19	YMCA Open Shelter, KG Halli, Bengaluru	NGO
20	Bijayalaxmi Education and Social Welfare Society(Open Shelter),Vivekanagar, Vijayapura	NGO
21	Surabhi Mahila Mandali (Open Shelter),Shivamogga	NGO
22	Anatha Shishu Nivasa (Fit Institution), Bull Temple Road, Basavangudi, Bengaluru.	NGO
23	Canara Bank Relief and Welfare Society(Fit Institution), Banashankari 2nd Stage, Bengaluru	NGO
24	Bapuji Children Home(Fit Institution), Gokulam, Mysuru	NGO
25	Vijayapura Orphanages(Fit Institutions),Bagalkote Road, Vijayapura	NGO
26	Auxilum Navajeevana Society(Fit Institution) Chamrajpet, Bengaluru	NGO
27	Nirmala ShishuBhavana (SAA), Lingarajapuram, Bengaluru	NGO
28	Sri Sidheswara Vatsalya Adoption Centre, Ganesh Nagar, Vijayapura	NGO

Appendix-4.6
(Reference: Paragraph-4.2.2.2, Page-106)
Statement Showing Juvenile Delinquency

Year	Total cognizable crimes	Juvenile crimes under IPC	Percentage of Juvenile crimes total crimes	Juvenile Apprehended under IPC & Specialised and Local laws			No. of Major crimes						
				7-12	13-16	17-18	Theft	Burglary	Hurt	Murder	Attempt to murder	Robbery	Rape
2010	1,42,322	161	0.11	34	78	68	65	27	9	10	5	1	6
2011	1,37,600	281	0.2	36	105	226	43	51	14	26	8	6	18
2012	1,34,021	323	0.24	8	111	337	86	35	36	32	28	9	12
2013	1,36,689	403	0.29	12	159	359	108	53	49	22	18	37	17
2014		Information yet to be compiled by the State Crime Record Bureau											
Total	5,50,632	1,168		90	453	990	302	166	108	90	59	53	53

Appendix-4.7
(Reference: Paragraph-4.2.4.1, Page-109)
Statement showing the release of grants to Institutions not registered
under the JJ Act

(₹in lakh)

Sl.No.	Name of the Institution	Grants Released
1	Sri Danamma Devi Women Education & Service Organisation	12.88
2	Thirumala Education And Social Welfare Society	13.54
3	Vijayapura Orphanage	12.07
4	Bidyarayana Sikshana Abhibrudhi Samsthe, Yelahanka	14.46
5	Snehabharathi Sikshana Abhibrudhi Samsthe	14.46
6	Bidyarayana Sikshana Abhibrudhi Samsthe, Krishnarajapuram	7.20
	Total	74.61

Appendix-4.8
(Reference: Paragraph-4.2.8, Page-120)
List of Child Care Institutions where no Management Committee was formed

Sl. No.	Name
1	Children Home for Boys, Bengaluru
2	Govt. Shishu Mandira, Bengaluru
3	Children Home for Boys, Mysuru
4	Observation Home for Boys, Mysuru
5	Observation Home for Boys, Ballari
6	Govt. Shishu Gruha, Ballari
7	Govt. Certified School (Senior) for Boys, Vijayapura
8	Children Home for Girls, Vijayapura
9	Observation Home for Girls, Vijayapura

Appendix 4.9
(Reference: Paragraph 4.3.2, Page No.123)
Incumbency in the post of Commissioner and Finance Member of BDA during the period 1999-2014

Sl.No.	Name (Sri/Smt)	From	To
	Commissioner		
1	Lakshmi Venkatachalam	19-06-1995	25-10-1999
2	Jayakar Jerome	25-10-1999	02-07-2004
3	A K Agarwal	05-07-2004	12-07-2004
4	M N Vidyashankar	12-07-2004	05-05-2006
5	M K Shankaralinge Gowda	05-05-2006	17-03-2008
6	Siddaiah	17-03-2008	01-07-2010
7	Bharat Lal Meena	01-07-2010	04-06-2012
8	Pradeep Singh Kharola	04-06-2012	29-08-2012
9	T. Sham Bhat	29-08-2012	till date
	Finance Member		
1	Sandeep Dash	12-05-1997	10-05-2005
2	M N Seshappa	12-05-2005	30-06-2008 (date of superannuation)
3	L Narasimha Murthy, CAO (Incharge)	01-07-2008	10-11-2008
4	R Maheshwarappa	10-11-2008	19-07-2011
5	L Narasimha Murthy, CAO (Incharge)	20-07-2011	07-12-2011
6	Ganganna	08-12-2011	30-06-2014 (date of superannuation)

After his tenure was over as FM in BDA, Sri Sandeep Dash was appointed as Executive Director (Finance) BMRLCL on deputation with effect from 11 May 2005.

Bank accounts operated by the FMs

(Reference: Paragraph 4.3.5.2, Page No.133)

Name of the FM	Current Accounts operated	Remarks
Sri. Sandeep Dash	CA No 239,1518,1549 and 1562 at Indian Overseas Bank, Kumara Park West, Bengaluru	Though these current accounts (except CA 1549) had been in operation for other purposes, they were nevertheless operated for diverting funds to Mutual Funds.
	CA No 2001 and 2108 at Canara Bank, BDA Complex, Bengaluru	
Sri. M.N.Seshappa	CA No 239, 1680 and 1787 at Indian Overseas Bank, Kumara Park West, Bengaluru	CA 1680, 1787 and 42 had been unauthorisedly opened by Sri M.N.Seshappa at the respective banks on 17.02.2006, 07.05.2008 and 08.05.2006 respectively.
	CA No 42 at Corporation Bank, Kumara Park West, Bengaluru	
Sri. B.Ganganna	CA No 239, and 1787 at Indian Overseas Bank, Kumara Park West, Bengaluru.	Sri B.Ganganna continued to operate CA 1787 which had not been closed by Sri M.N.Seshappa

(Source: Information collected from respective banks and BDA)

Appendix-4.10(a)
(Reference: Paragraph 4.3.5.1(a), Page No.128)
Statement showing details of Collection Accounts of IOB and Canara Bank not disclosed in Annual Accounts of BDA

Sl. No.	Indian Overseas Bank		Sl. No.	Indian Overseas Bank	
	Branch	Account No		Branch	Account No
1	BSK II Stage	422	48	HSR Layout	111
2	BSK II Stage	420	49	HSR Layout	555
3	BSK II Stage	465	50	Bannerghatta Road	1100
4	Cantonment	5022	51	Bannerghatta Road	1101
5	Cantonment	6839	52	Bannerghatta Road	1102
6	Malleswaram	1382	53	Bannerghatta Road	340
7	Malleswaram	11452	54	Mahalakshnipuram	10001
8	Residency Road	1899	55	Mahalakshnipuram	10002
9	Bangalore City	20088	56	Mahalakshnipuram	10003
10	Bangalore City	22221	57	Vidyaranyaapuram	100
11	Bangalore City	22220	58	Vidyaranyaapuram	101
12	Bangalore City	20087	59	Vidyaranyaapuram	102
13	Bangalore City	22223	60	Nagashettihally	10000
14	Bangalore City	20089	61	Nagashettihally	10001
15	Shanthi Nagar	4444	62	J P Nagar	2001
16	Shanthi Nagar	6666	63	J P Nagar	2002
17	Indira Nagar	4002	64	J P Nagar	2003
18	Indira Nagar	4003	65	Shanthinagar	2280
19	Indira Nagar	4001	66	Shanthinagar	2281
20	Bilekahally	444	67	Shanthinagar	2282
21	Bilekahally	445	68	Cantonment	7219
22	Koramangala	1112	69	Cantonment	7220
23	Koramangala	1113	70	Cantonment	7221
24	Koramangala	1114	71	Bommanahalli	875
25	Koramangala	2002	72	Bommanahalli	876
26	Koramangala	2003	73	Koramangala	3333
27	Koramangala	2001	74	Bangalore City	22222
28	HRBR Layout	200	75	Chikkabidarakallu	352
29	HRBR Layout	300	76	HSR Layout	222
30	HRBR Layout	400			
31	Jayanagar	5010			
32	Jayanagar	5020			
33	Kanakapura Road	5002			
34	Kanakapura Road	5001			
35	Kanakapura Road	5004			
36	Kanakapura Road	5005			
37	Koramangala	1111			
38	Koramangala	2222			
39	Malleswaram	11453			
40	Malleswaram	10001			
41	Malleswaram	10002			
42	Malleswaram	10003			
43	Yelahanka	2000			
44	Yelahanka	2001			
45	Yelahanka	2002			
46	Chikkabidarakallu	350			
47	Chikkabidarakallu	351			

Sl. No.	Canara Bank						
1.	0403201001630	52.	0448201001647	103.	0681201002821	154.	1812201000753
2.	0403201002105	53.	0471201200386	104.	0681201002822	155.	1812201000754
3.	0403201002106	54.	0471201200387	105.	0690201001143	156.	1821201000821
4.	0403201002112	55.	0471201200388	106.	0768201011856	157.	1821201000822
5.	0403201003101	56.	0472201001184	107.	0768201011857	158.	1821201000823
6.	0403201003102	57.	0473201001784	108.	0768201011858	159.	2433201000967
7.	0403201003103	58.	0473201001785	109.	0791201006790	160.	2433201000968
8.	0404201012057	59.	0473201001786	110.	0791201006791	161.	2433201000969
9.	0404201012058	60.	0479201001857	111.	0791201006792	162.	2456201001015
10.	0404201012059	61.	0479201001858	112.	0797201000853	163.	2456201001016
11.	0405201002130	62.	0479201001859	113.	0797201000854	164.	2456201001017
12.	0405201002131	63.	0487201001236	114.	0883201001463	165.	2513106006359
13.	0405201002134	64.	0487201001237	115.	0883201001464	166.	2513106006360
14.	0406101000053	65.	0487201001238	116.	0883201001465	167.	2513106006361
15.	0406101000054	66.	0502201001963	117.	0884201001589	168.	2513201001258
16.	0407201000158	67.	0502201001964	118.	0884201001590	169.	2615201000298
17.	0407201001190	68.	0502201001965	119.	0884201001591	170.	2615201000299
18.	0407201001191	69.	0507201000981	120.	0885201000293	171.	2615201000300
19.	0407201001192	70.	0507201000982	121.	0885201000856	172.	2673201003603
20.	0408201001909	71.	0507201000983	122.	0885201000857	173.	2673201003715
21.	0408201002768	72.	0513201002667	123.	0885201000858	174.	2673201003716
22.	0408201003070	73.	0513201002668	124.	0885201001915	175.	2673201003717
23.	0408201003825	74.	0513201002669	125.	0885201001916	176.	2753201050525
24.	0409201004415	75.	0515201006180	126.	0885201001917	177.	2753201050526
25.	0409201004537	76.	0515201006181	127.	0886201101955	178.	2753201050527
26.	0409201004538	77.	0515201006182	128.	1146201007252	179.	2760201000109
27.	0409201004539	78.	0516201002190	129.	1146201007862	180.	2760201000110
28.	0411201004455	79.	0516201002191	130.	1146201007863	181.	2760201000111
29.	0411201004456	80.	0516201002192	131.	1146201007864	182.	2812201000256
30.	0411201004457	81.	0522201001639	132.	1147201000958	183.	2827201001164
31.	0417201007247	82.	0522201001640	133.	1147201001284	184.	2850201000329
32.	0417201007248	83.	0522201001641	134.	1147201001428	185.	2850201000330
33.	0417201007249	84.	0523201001958	135.	1147201001429	186.	2850201000332
34.	0425201001318	85.	0523201001959	136.	1147201001430	187.	2907201000220
35.	0425201024708	86.	0523201001960	137.	1174201001034	188.	2907201000221
36.	0425201024709	87.	0531201006233	138.	1333201006400	189.	2907201000222
37.	0425201024710	88.	0531201006234	139.	1333201006401	190.	3006201000154
38.	0430201011605	89.	0531201006235	140.	1333201006402	191.	3006201000155
39.	0430201011610	90.	0538201001152	141.	1370201006575	192.	3006201000156
40.	0430201011694	91.	0538201001153	142.	1370201006576	193.	3219201000317
41.	0430201011937	92.	0538201001154	143.	1370201006577	194.	3219201000318
42.	0430201012089	93.	0539201001346	144.	1371201002041	195.	3219201000319
43.	0430201012299	94.	0539201001347	145.	1371201002042	196.	3246201000155
44.	0430201012300	95.	0539201001348	146.	1371201002043	197.	3246201000156
45.	0430201012301	96.	0546201002110	147.	1420201100247	198.	3246201000157
46.	0432201005618	97.	0546201002111	148.	1420201100248	199.	8509201005204
47.	0445201000904	98.	0546201002112	149.	1420201100249	200.	8509201005205
48.	0445201000905	99.	0593201001193	150.	1425201000700	201.	8509201005206
49.	0445201000906	100.	0593201001194	151.	1425201000701		
50.	0448201001645	101.	0593201001195	152.	1425201000702		
51.	0448201001646	102.	0681201002820	153.	1812201000752		

Appendix-4.10(b)

(Reference: Paragraph 4.3.5.1 (a), Page No.128)

Statement showing year-wise details of Bank Accounts not disclosed in annual accounts during 1999-2014

Year	Account Number		
	Indian Overseas Bank	Canara Bank	Corporation Bank
1999-2000	CA 1489	CA 2006	Account not opened / operated during this period
2000-2001	CA 1489	CA 958	
	CA 1504		
	CA 1518		
2001-2002	CA 1518	CA 958	
	CA 1549		
	CA 1550		
2002-2003	CA 1559	CA 958	
	CA 1560		
2003-2004	CA 1518	CA 5001	
	CA 1549	CA 5002	
	CA 1550	CA 5003	
	CA 1554	CA 5004	
	CA 1559	CA 50004	
	CA 1560	CA 50005	
	CA 1583	CA 958	
	CA 1584		
CA 1587			
2004-2005	CA 1518	CA 50004	
	CA 1559	CA 50005	
	CA 1560	CA 958	
	CA 1583	CA 5001	
	CA 1584	CA 5002	
	CA 1587	CA 5003	
		CA 5004	
	CA 5007		
2005-2006	CA 1518	CA 2001	
	CA 1549	CA 5001	
	CA 1559	CA 5002	
	CA 1560	CA 5003	
	CA 1583	CA 5004	
	CA 1584	CA 50004	
	CA 1587	CA 50005	
		CA 958	
2006-2007	Nil	CA 5001	CA 42
		CA 5002	
		CA 5003	
		CA 5004	
		CA 5014	
		CA 5015	
		CA 50004	
		CA 50005	
		CA 958	
2007-2008	CA 1559	CA 5001	CA 42
	CA 1560	CA 5002	
	CA 1583	CA 5003	
	CA 1587	CA 5004	
		CA 50004	
2008-2009	CA 1559	CA 5001	CA 42
	CA 1560	CA 5002	
	CA 1583	CA 5003	
	CA 1587	CA 5004	
	CA 1787	CA 50004	
		CA 50005	
		CA 958	

Year	Account Number		
	Indian Overseas Bank	Canara Bank	Corporation Bank
2009-2010	CA 1560 CA 1583 CA 1587 CA 1787	CA 5001 CA 5002 CA 5003 CA 5004 CA 50004 CA 50005 CA 958	No account operated during this period
2010-2011	CA 1559 CA 1560 CA 1583 CA 1587 CA 1787	CA 5001 CA 5002 CA 5003 CA 5004 CA 50004 CA 50005 CA 958	
2011-2012	CA 1559 CA 1560 CA 1583 CA 1587 CA 1787	CA 5001 CA 5002 CA 5003 CA 5004 CA 50004 CA 50005 CA 958	
2012-2013	CA 1559 CA 1560 CA 1583 CA 1587 CA 1787	CA 5001 CA 5002 CA 5003 CA 5004 CA 5069 CA 5070 CA 5071 CA 5072 CA 50004 CA 50005 CA 958	
2013-2014	CA 1559 CA 1560 CA 1583 CA 1587 CA 1787 CA1988	CA 50004 CA 50005 CA 958	

Appendix-4.11
(Reference: Paragraph 4.3.5.1(a), Page No.129)
Statement showing details of investment and redemption of Term Deposit made
by debiting CA 1787

(Amount in ₹)

Date of investment	Original TDR Nos	Period	Amount	Remarks
9.7.08	500800344	12 months & 1 day	17,35,24,669	
	500800345		28,79,03,286	
	500800346		25,97,16,297	
	500800347		26,05,16,136	
	500800348		26,11,71,605	
	500800349		26,16,75,066	
Total			150,45,07,059	
The maturity proceeds of the above TDs amounting to ₹ 166,52,05,530 were invested in 188 TDs and reinvested for one year and one day from 11.7.09 as detailed below				
11.7.09	500900506 to 526	12 months & 1 day	18,90,00,000	90 lakh each (21 nos)
	500900527		30,60,355	
	500900528 to 562		31,50,00,000	90 lakh each (35 nos)
	500900563		36,56,750	
	500900564 to 594		27,90,00,000	90 lakh each (31 nos)
	500900595		84,58,863	
	500900596 to 626		27,90,00,000	90 lakh each (31 nos)
	500900627		93,33,072	
	500900628 to 659		28,80,00,000	90 lakh each (32 nos)
	500900660		10,69,625	
	500900661 to 692		28,80,00,000	90 lakh each (32 nos)
	500900693		16,26,865	
On maturity, the proceeds of the above 188 TDs together with amount invested under TDR 500900475 to 505 on 10.7.09 were merged and made into a single deposit for ₹ 208,55,36,236 as shown below				
20.7.10	501000297		208,55,36,236	
On maturity, the proceeds of ₹ 208,55,36,236 were bifurcated into seven deposits as shown below				
11.7.11	50100300		31,12,64,470	
	50100301		31,06,65,600	
	50100302		20,64,08,907	Closed pre-maturely on 21.8.10 & credited to CA 239
	50100303		30,98,74,019	
	50100304		30,89,34,499	Closed pre-maturely on 22.11.10 & credited to CA 239
	50100305		34,24,63,136	
	50100306		29,59,25,605	Closed pre-maturely on 21.8.10 & credited to CA 239
After pre-maturely closing three TDs, the remaining four TDs were renewed as follows				
18.7.11	501100959	6 months	33,24,85,486	
	501100960		33,18,45,787	
	501100961		33,10,00,239	
	501100962		36,58,11,177	
Upon maturity, the proceeds of ₹ 142,73,31,250 was reinvested under four TDs on 20.1.12 as detailed below				
20.1.12	501200026	6 months	34,86,53,325	Premature part withdrawal of ₹ 10,07,58,618/- on 9.2.12. The balance amount of ₹ 25 crore was invested in five deposits bearing TDR numbers 501200083 to 87 each for ₹ five crore for one year.
	501200027		34,79,82,519	Closed on 20.3.12 & ₹ 35,29,82,027 credited to CA 239

Date of investment	Original TDR Nos	Period	Amount	Remarks
	501200028		34,70,95,855	Closed on 18.2.12 & ₹ 34,98,42,192 credited to CA 239
	501200025		38,35,99,551	Closed on 28.4.12 & ₹ 39,12,99,119 credited to CA 239
9.2.12	501200083	Prematurely closed on 24.2.12 and credited to CA 1787		
	501200084	Prematurely closed on 24.2.12 and credited to CA 1787		
	501200085	Prematurely closed on 03.3.12 and credited to CA 1787		
	501200086	Prematurely closed on 03.3.12 and credited to CA 1787		
	501200087	Prematurely closed on 13.2.12 and credited to CA 1787		

Appendix-4.12(a)
(Reference: Paragraph 4.3.5.2(a) (i), Page 134)
Outflow of funds from main CA directly to Mutual Fund

(Amount in ₹)

Sl. No.	Main CA debited	Amount debited	Date of debit	Name of the Mutual fund invested	Date of trading
1	239	20,00,00,000	12/08/2000	HDFC	11/09/2000
2	239	5,00,00,000	01/08/2001	HDFC	01/08/2001
3	239	10,00,00,000	07/12/2001	HDFC	07/12/2001
4	239	1,50,00,000	01/06/2000	UTI	01/06/2000
5	239	10,00,00,000	10/12/2003	DEUTSCHE	10/12/2003

Appendix-4.12(b)
(Reference: Paragraph 4.3.5.2(a) (i), Page 134)
Outflow of funds from main CA to Mutual Funds through unauthorised accounts

(Amount in ₹)

Sl. No.	Main CA debited	Amount debited	Date of debit	Unauthorised account to which amount credited	Date of credit	Name of the Mututal fund invested	Value of purchase	Date of trading
1	239	3,00,00,000	14/06/2007	1680 of IOB	14/06/2007	PMF	3,00,00,000	14/06/2007
2	2001	10,00,00,000	18/05/2006	42 of Corporation Bank	18/05/2006	BSL	10,00,00,000	23/05/2006
3	2001	25,00,00,000	20/06/2006	42 of Corporation Bank	20/06/2006	BSL HSBC	10,00,00,000 14,00,00,000	26/06/2006
4	239	25,00,00,000	09/10/2007	42 of Corporation Bank	09/10/2007	L &T BSL	10,00,00,000 15,00,00,000	11/10/2007
5	239	20,00,00,000	23/11/2004	1518 of IOB	23/11/2004	PMF	20,00,00,000	14/12/2004

Appendix-4.12(c)
(Reference: Paragraph 4.3.5.2(a) (i), Page 134)
Outflow of funds from main CA to Mutual funds by crediting Term Deposit proceeds to unauthorised accounts

(Amount in ₹)

Sl. No.	Main CA debited	Amount invested	TDR No.	Date of debit	Unauthorised account to which TD proceeds were credited	Date of credit	Name of the Mutual fund invested	Value of purchase	Date of trading
1	239	20,00,00,000	90-94	05/07/2003	1518	31/03/2004	HDFC	20,00,00,000	13/04/2004
2	239	25,00,00,000	381-82 430-31	06/10/2004 30/10/2004	1518	30/11/2004	PMF	20,00,00,000	14/12/2004
3	239	70,00,00,000	383-88 455-56	08/10/2004 13/11/2004	1518	31/12/2004	PMF	30,00,00,000	05/01/2005

Appendix-4.13(a)
(Reference: Paragraph 4.3.5.2(a)(ii), Page 134)
Mutual Fund redemptions credited directly to main CA

(Amount in ₹)

Sl. No.	Main CA no	Amount credited	Date of credit	Name of the Mutual fund invested	Date of redemption
1	239	2,04,85,200	10/11/2005	HDFC	08/05/2005
2	239	9,50,00,000	11/12/2002	HDFC	09/12/2002
3	239	5,00,81,667	12/02/2001	BSL	08/02/2001

Appendix-4.13(b)
(Reference: Paragraph 4.3.5.2(a)(ii), Page 134)

Mutual Fund redemption amount credited to unauthorised account and subsequently transferred to main CA 239

(Amount in ₹)

Sl. No.	Name of Mutual Fund	Redemption Amount	Date of Redemption	Unauthorised account to which redemption was credited	Amount transferred to main current account	Date of transfer
1	PMF	20,00,48,541	18/05/2004 27/08/2004 03/09/2004 14/09/2004	1518	15,09,53,425	14/09/2004
2	L&T	19,90,00,000	25/09/2007 21/06/2007 07/06/2007 23/05/2007	42	16,73,20,592	22/10/2007
3	HSBC	3,00,00,000	07/08/2012	1787	2,05,66,524	28/09/2012

Appendix-4.13(c)**(Reference: Paragraph 4.3.5.2(a)(ii), Page 134)****Mutual Fund redemptions credited to unauthorised accounts and again re-invested in Mutual Funds**

(Amount in ₹)

Sl. No.	Name of Mutual Fund	Redemption Amount	Date of Redemption	Unauthorised account to which redemption was credited	Name of the Mutual Fund in which reinvested	Value of purchase	Date of trading
1	L&T	10,64,09,046	30/06/2008	1787	HSBC	10,00,00,000	08/08/2012
2	HSBC	9,80,14,671	24/04/2006	1680	HSBC	4,50,00,000	04/05/2006
3	BSL	24,91,16,177	18/09/2006	42	PMF	5,00,00,000	22/09/2006

Appendix-4.13(d)**(Reference: Paragraph 4.3.5.2(a)(ii), Page 134)****Term Deposits made out of Mutual Fund redemption credited to unauthorised account and subsequently transferred to main CA**

(Amount in ₹)

Sl. No.	Name of Mutual Fund	Redemption Amount	Date of Redemption	Unauthorised account to which redemption were credited	Amount invested in Term Deposits	TDR No	Date of investment	Date of credit to main CA
1	HSBC	5,00,00,000 3,00,00,000	30/06/2008	1787	1,50,45,07,059	344-349 (part)	09/07/2008	These Term Deposits had been renewed from time to time and the proceeds were finally credited at different intervals between August 2010 and March 2015
2	BSL	5,11,23,384	30/06/2008	1787	Redemptions formed part of this investment			
3	L&T	1,17,30,663	03/07/2008	1787				
4	L&T	1,11,59,129	03/07/2008	1787				
5	L&T	2,62,58,194	03/07/2008	1787				

Appendix-4.14
(Reference: Paragraph 4.3.5.2(a)(iii), Page 135)
Fake Term Deposits recorded in books of BDA at the time of transfer of funds from main account

(Amount in ₹)

Date of transfer	Amount	Transferred from	Details of initial TD recorded	Details of further renewals and withdrawals	Credit of proceeds			
					Date	Amount	Account to which amounts were credited	Source from which amounts were transferred
17.02.2006	100000000	CA 239	TDR No.Nil	Withdrawn on 24.3.2006	25.03.2006	100443836	CA 239	CA 1680
21.02.2006	100000000	CA 239	TDR No.Nil	Withdrawn on 29.3.2006	29.03.2006	100456165	CA 239	CA 1680
05.04.2006	100000000	CA 239	TDR 92	Withdrawn on 20.05.2006	20.05.2006	100724658	CA 239	CA 1680
12.04.2006	200000000	CA 239	TDRs 93 & 94	Withdrawn on 07.06.2006	07.06.2006	201449314	CA 239	CA 1680
14.06.2007	300000000	CA 239	TDR 176	Withdrawn on 14.06.2007	14.09.2007	30448770	CA 239	CA 1680
22.10.2007	250000000	CA 239	TDR 70153	Renewed as TDRs 70228 / 279 / 348 / 628 to 660 / 301/ 960 / 27 and withdrawn on 20.3.12	20.03.2012	352982027	CA 239	CA 1787
03.11.2007	250000000	CA 239	TDR 70161	Renewed as TDRs 70229 / 271 / 347 / 596 to 627 / 303 / 961 / 28 and withdrawn on 18.2.2012	18.02.2012	349842192	CA 239	CA 1787
26.11.2007	250000000	CA 239	TDR 70177	Renewed as TDR 70242 / 302 / 346 / 564 / 304 and withdrawn on 22.11.2010	22.11.2010	310628233	CA 239	CA 1787
29.04.2003	200000000	CA 239	TDRs 176-179	Withdrawn on 16.09.2003	16.09.2003	204410959	CA 239	CA 1518
23.09.2003	250000000	CA 239	TDRs 378-79	Withdrawn on 19.12.2003	19.12.2003	252650685	CA 239	CA 1518
26.09.2003	250000000	CA 239	TDR 380	Withdrawn on 24.12.2003	24.12.2003	252773973	CA 239	CA 1518
30.10.2003	200000000	CA 239	TDRs 410-411	Withdrawn on 16.01.2004	16.01.2004	504869863	CA 239	CA 1518
30.10.2003	100000000	CA 239	TDR 409	Withdrawn on 16.01.2004	16.01.2004			
30.10.2003	200000000	CA 239	TDRs 412-13	Withdrawn on 16.01.2004	16.01.2004			
28.11.2003	250000000	CA 239	TDR 471	Withdrawn on 28.01.2004	28.01.2004	251910959	CA 239	CA 1518
28.01.2004	250000000	CA 239	TDRs 86-87	Withdrawn on 26.03.2004	26.03.2004	503606164	CA 239	CA 1518
29.01.2004	250000000	CA 239	TDR 88-89	Withdrawn on 26.03.2004	26.03.2004			
26.03.2004	400000000	CA 239	TDR 152	Withdrawn on 09.08.2004	09.08.2004	406756164	CA 239	CA 1518
30.04.2004	400000000	CA 239	TDRs 177-181	Withdrawn on 12.08.2004	12.08.2004	455159589	CA 239	CA 1518
28.05.2004	400000000	CA 239	TDR 216-217	Withdrawn on 04.09.2004	04.09.2004	404882191	CA 239	CA 1518
28.08.2004	100000000	CA 239	TDR 249-250	Withdrawn on 11.10.2004	11.10.2004	100493151	CA 239	CA 1518
29.11.2004	250000000	CA 239	TDR 471-73	Withdrawn on 04.01.2005	04.01.2005	251203767	CA 239	CA 1518
14.12.2004	250000000	CA 239	TDR 480	Withdrawn on 02.02.2005	02.02.2005	251691781	CA 239	CA 1518
12.05.2006	150000000	CA 2001	TDR 980773	Renewed as TDRs 930860 /	21.08.2010	206756692	CA 239	CA 1787

Date of transfer	Amount	Transferred from	Details of initial TD recorded	Details of further renewals and withdrawals	Credit of proceeds			
					Date	Amount	Account to which amounts were credited	Source from which amounts were transferred
				06/81 / 70032 / 70062 / 70131 / 70216 / 70215 / 237 / 344 / 506-527 / 302 and withdrawn on 21.8.2010				
18.05.2006	100000000	CA 2001	TDR 984785	Renewed as TDRs 930873 / 06/84 / 70033 / 70063 / 70135 / 70217 / 70219 / 256 / 350 / 1091-1105 / 296 / 930 / 534 / 603 and TD was not adjusted, but remained outstanding	-	-	-	-
20.06.2006	250000000	CA 2001	TDR 06/55	Renewed as TDRs 06/71 / 70014 / nil / 70068 / nil / 70229 / 70228 / 274 / 345 / 528-563 / 305 / 962 / 25 and withdrawn on 28.4.12	28.04.2012	391299119	CA 239	CA 1787
18.12.2006	100000000	CA 2001	TDR 07/71	Withdrawn on 08.1.2007	08.01.2007	100208220	CA 2001	CA 42
29.08.2003	170000000	CA 239	TDR 344-346	Withdrawn on 04.12.2003	04.12.2003	172053973	CA 239	CA 1518
27.11.2003	240000000	CA 239	TDR 470	Withdrawn on 22.01.2004	22.01.2004	241686575	CA 239	CA 1518
04.12.2003	200000000	CA 239	TDR 474-475	Withdrawn on 29.01.2004	29.01.2004	201405480	CA 239	CA 1518
05.12.2003	200000000	CA 239	TDR 476-477	Withdrawn on 05.02.2004	05.02.2004	201553425	CA 239	CA 1518
19.12.2003	250000000	CA 239	TDR 500-504	Withdrawn on 05.02.2004	05.02.2004	251510274	CA 239	CA 1518
29.12.2003	360000000	CA 239	TDR 506-509	Withdrawn on 30.04.2004	30.04.2004	365503562	CA 239	CA 1518
30.12.2003	250000000	CA 239	TDR 510-514	Withdrawn on 05.05.2004	05.05.2004	253945205	CA 239	CA 1518
05.02.2004	450000000	CA 239	TDR 95 for ₹20 crore and TDR 96 for ₹25 crore	Withdrawn on 08.04.2004	08.04.2004	453495205	CA 239	CA 1518
13.02.2004	50000000	CA 239	TDR 110	Withdrawn on 19.05.2004	19.05.2004	50591781	CA 239	CA 1518
19.03.2004	500000000	CA 239	TDR 141-142	Withdrawn on 28.05.2004	28.05.2004	504315068	CA 239	CA 1518
26.03.2004	200000000	CA 239	TDR 151	Withdrawn on 09.08.2004	09.08.2004	203378082	CA 239	CA 1518
10.04.2004	500000000	CA 239	TDR 171-175	Withdrawn on 10.08.2004	10.08.2004	507705479	CA 239	CA 1518
30.04.2004	50000000	CA 239	TDR 190	Withdrawn on 12.08.2004	12.08.2004	455159589	CA 239	CA 1518
06.05.2004	250000000	CA 239	TDR 190-192	Withdrawn on 03.09.2004	03.09.2004	253698630	CA 239	CA 1518
28.05.2004	350000000	CA 239	TDR 215	Withdrawn on 03.09.2004	03.09.2004	354228767	CA 239	CA 1518
28.05.2004	400000000	CA 239	TDR 216-217	Withdrawn on 04.09.2004	04.09.2004	404882191	CA 239	CA 1518
09.08.2004	250000000	CA 239	TDR 226-230	Withdrawn on 16.09.2004	16.09.2004	251068493	CA 239	CA 1518

Date of transfer	Amount	Transferred from	Details of initial TD recorded	Details of further renewals and withdrawals	Credit of proceeds			
					Date	Amount	Account to which amounts were credited	Source from which amounts were transferred
09.08.2004	400000000	CA 239	TDRs 311-314	Withdrawn on 29.09.2004	29.09.2004	402279452	CA 239	CA 1518
10.08.2004	500000000	CA 239	TDRs 235-239	Withdrawn on 08.10.2004	08.10.2004	503287671	CA 239	CA 1518
10.08.2004	200000000	CA 239	TDRs 231-234	Withdrawn on 01.10.2004	01.10.2004	201161644	CA 239	CA 1518
13.08.2004	150000000	CA 239	TDRs 242-244	Withdrawn on 11.10.2004	11.10.2004	150986301	CA 239	CA 1518
31.08.2004	180000000	CA 239	TDRs 253-255	Withdrawn on 12.10.2004	12.10.2004	180848219	CA 239	CA 1518
04.09.2004	200000000	CA 239	TDR 333-334	Withdrawn on 04.11.2004	04.11.2004	201358904	CA 239	CA 1518
03.09.2004	600000000	CA 239	TDRs 327 to 332	TDR 327-328 w/d on 15.10.04 / TDR 329-330 w/d on 20.10.04 / TDR 331-332 w/d on 28.10.04	15.10.2004 / 20.10.2004 / 28.10.2004	200942466 / 201052055 / 201227397	CA 239	CA 1518
23.11.2005	200000000	CA 239	TDR 469-470	Withdrawn on 03.01.2005	03.01.2005	201093151	CA 239	CA 1518
04.01.2005	250000000	CA 239	TDR 03	Withdrawn on 21.04.2005	21.04.2005	253546233	CA 239	CA 1518
05.01.2005	250000000	CA 239	TDR 04	Withdrawn on 23.04.2005	23.04.2005	253546233	CA 239	CA 1518
02.02.2005	250000000	CA 239	TDR 76	Withdrawn on 23.04.2005	23.04.2005	252667808	CA 239	CA 1518
08.02.2005	50000000	CA 239	TDR 84	Withdrawn on 21.04.2005	21.04.2005	50481507	CA 239	CA 1518
01.03.2005	50000000	CA 239	TDR 108	Withdrawn on 21.04.2005	21.04.2005	50338356	CA 239	CA 1518
23.04.2005	350000000	CA 239	TDR 191	Withdrawn on 06.05.2005	06.05.2005	350865411	CA 239	CA 1518
23.04.2005	250000000	CA 239	TDR 192	Withdrawn on 12.05.2005	12.05.2005	250618150	CA 239	CA 1518
25.04.2005	250000000	CA 239	TDR 199	Withdrawn on 12.05.2005	12.05.2005	250553082	CA 239	CA 1518
30.04.2005	800000000	CA 239	TDRs 210-217	Withdrawn on 30.06.2005	30.06.2005	806142472	CA 239	CA 1518
19.07.2004	150000000	CA 1562	TDR No. Nil	Withdrawn on 14.09.2004	14.09.2004	150953425	CA 239	CA 1518
23.11.2004	200000000	CA 1562	TDRs 469-70,	Withdrawn on 3.1.05	03.01.2005	201093151	CA 239	CA 1518

Appendix-4.15
(Reference: Paragraph 4.3.5.2(b), Page 136)
Case study on the concealment of unauthorised transaction in CA 1562 disclosed in the accounts of BDA

CA 1562 which had been opened on 30 December 2002 for making payments related to land acquisition and closed on 29 April 2006 had been disclosed in the accounts. Cheque book facility had been availed of for this account. This account had been opened by transferring ₹10,000 and ₹70 crore on 30 December 2002 from the main current account with IOB viz. CA 239.

As this account had been disclosed in the annual accounts, all the transactions reflected in the pass sheet of this account should be recorded in the accounts. Though funds from this account had been transferred to Mutual Funds and redemption payouts from Mutual Funds had also been credited to this account, these transactions had been kept out of the accounts by manipulating the monthly closing balance in this account to agree with the closing balance as per accounts as illustrated in **Table-A**.

Table : A: Manipulation of monthly closing balance

(Amount in ₹)

Month	Opening balance	Debits	Credits	Closing balance
January 2003	70,00,10,000.00	75,02,35,301.23	75,02,35,301.23	70,00,10,000.00
February 2003	70,00,10,000.00	50,00,04,424.26	50,00,04,424.26	70,00,10,000.00
March 2003	70,00,10,000.00	60,00,00,000.00	60,00,00,000.00	70,00,10,000.00
April 2003	70,00,10,000.00	70,00,00,000.00	70,00,00,000.00	70,00,10,000.00
May 2003	70,00,10,000.00	60,00,00,000.00	60,00,00,000.00	70,00,10,000.00
June 2003	70,00,10,000.00	70,00,00,000.00	70,00,00,000.00	70,00,10,000.00

As the totals of debits and credits matched, all the transactions in CA 1562 during the month had not been disclosed in the accounts.

Such manipulation continued till December 2004. The manipulation to match the closing balance was done either by transferring funds from CA 239 which had been falsely recorded as TDs in accounts or by transferring funds from CA 1518 to the required extent. IOB facilitated in manipulating the closing balance as illustrated in **Table-B**.

Table- B: Manipulation of monthly closing balance

(Amount in ₹)

Month	Opening Balance	Debit	Credit	Closing balance	Remarks
January 2003					
January 2003	70,00,10,000.00				Payout cheque of ₹29,97,64,698.77 was credited on 30th January . The differential amount of ₹2,35,301.23 required to maintain the closing balance at ₹70,00,10,000 was transferred from CA 385 without any authorisation. ₹2,35,301.23 was recouped to CA 385 after crediting another payout cheque
January 7 To Trf		25,00,00,000.00			
January 9 To Trf		25,00,00,000.00	25,00,00,000.00		
January 10 To Trf		25,00,00,000.00			
January 29 By Clg			20,00,00,000.00		
January 30 By CA 385			2,35,301.23		
By clearing			29,97,64,698.77		
January 31 To Trf CA 385		2,35,301.23			
By clearing			2,35,301.23	70,00,10,000.00	

Month	Opening Balance	Debit	Credit	Closing balance	Remarks
February 2003					
February 2003	70,00,10,000.00.00				After affording a credit of ₹30,00,04,424.26 to CA 1562, the bank transferred ₹4,424.26 back to Sundry Creditors to maintain the closing balance at ₹70,00,10,000.
February 5 To Trf		50,00,00,000.00			
February 26 By Clg			20,00,00,000.00		
February 27 By Clg			30,00,04,424.26		
February 27 To Amount transferred to Sundry Creditor account		4,424.26		70,00,10,000.00.00	
March 2003					
March 2003	70,00,10,000.00				Though the redemption cheque was for ₹30,06,05,808.06 the bank credited partial amount of ₹30 crore on 19 March and the balance was retained in Sundry Creditors. The balance amount was credited on 25 March along with three other instruments received on different dates. Details of these transactions are indicated in the table-C below Thus the closing balance of the account remained at the same level.
March 4 To Trf		60,00,00,000.00			
March 19 By Trf			30,00,00,000.00		
March 21 By Trf			8,85,08,925.65		
March 21 By Trf			19,14,91,074.35		
March 25 By Trf			2,00,00,000.00	70,00,10,000.00	

Table C -Details of amounts retained in Sundry Creditors by IOB

Details for the credit for ₹two crore	Amount (₹)	Date on which the cheque had been initially cleared
Redemption payout cheque from Birla Sun Life Mutual Fund	1,07,57,298.24	25 March 2003
Against the cheque amount of ₹9,71,41,395.09 only ₹8,85,08,925.65 was credited on 21.3.2003. The balance amount retained in Sundry Creditors was released on 25.3.2003	86,32,469.44	21 March 2003
Amount retained in Sundry Creditors as discussed now credited	6,05,808.06	19 March 2003
Amount written back to Sundry Creditors on 27 February 2003 as discussed earlier now credited	4,424.26	27 February 2003

Thus, IOB unjustifiably retained the amounts of the cheques in Sundry Creditors after they had been cleared and the amounts so retained were credited to CA 1562 as and when found necessary to match the closing balance of ₹70,00,10,000.00.

Further, this manipulation compromised transparency as it destroyed the trail of transactions. When Audit tried to trace the redemption payout cheques issued by the Fund Houses to CA 1562, it was impossible to match the cheque amount with the credit afforded in the pass sheet. Only after collecting the credit details from IOB, Audit could match the cheques with the credits.

Appendix-4.16
(Reference: Paragraph-4.4, Page-149)

Statement showing the excess payment made to the NGOs against the recommendations of the taluk level committees

(Amount in ₹.)

Sl. No	Name of the NGO	Year	Releases by GOI				Amount actually paid to NGOs including Student component			Amount payable to NGOs as per Proforma submitted by Taluk level Committee				Excess payment
			Number of teachers	Amount	Student component	Total	Teacher component	Student component	Total	Number of teachers	Teacher component	Student component	Total	
1	Sri Lakshmi Narasimhaswamy Mahila Vidys Samsthe, Koratagere	2011-12	5	750192	54300	804492	750192	10400	760597	1	150038	10400	160438	600159
2	Sri Rajatadri Parivarthana Education and welfare Association, Bellary	2009-10	2	246222	16400	262622*	246222	10000	256222	0	0	0	0	256222
3	Sri Ajjeswara Seva Samithi, Ron, Gadag	2011-12	10	1635810	142000	1578500*	1457500	121000	1578500	9	1338390	121000	1459390	119110
4	Sri Viswabharati Shikshana Samsthe, Raichur	2011-12	39	6700846	686250	7387096	6700846	656650	7357496	25	4295500	656650	4952150	2405346
5	Kalikamba Vidyasamsthe, Soraba Taluk, Shivamogga	2011-12	5	1778662	69500	986568*			1802662	10	1486000	24000	1486000	316662
6	Naradamuni Seva Samsthe, Davanagere	2009-10	12	651000	16400	262222*	520800	12960	533760	2	260400	12960	273360	260400
7	Gnanasangama Rural and urban development organisation, Honnali	2011-12	19	2825490	217600	3043090	2349760	59200	2408960	6	892260	59200	951460	1457500
8	Sri Mayammadevi Rural vidyasamsthe, Davangere	2011-12	17	2934116	169000	3103116	1898543	83137	1981680	8	1380800	83137	1463937	517743
9	Karnataka rural development society, Chikkodi	2011-12	24	3569040	343276	3912316*	3569040	343276	3912316	8	1189680	343476	1532956	2379360
10	Vinayaka Sikshana Samsthe, Bijapur	2011-12	16	2379360	244900	2624260	2379360	284000	2597760	49	7286790	614000	7900790	3877245
	Vinayaka sikshanasamsthe, Bijapur	2011-12	49	9034656	630000	9664656	8850275	330000	9180275					

Sl. No	Name of the NGO	Year	Releases by GOI				Amount actually paid to NGOs including Student component			Amount payable to NGOs as per Proforma submitted by Taluk level Committee				Excess payment
			Number of teachers	Amount	Student component	Total	Teacher component	Student component	Total	Number of teachers	Teacher component	Student component	Total	
11	Nava vasantha Association for rehabilitation of disabled, Bhadravathi	2009-10	3	390600	48512	439112*	260400	24056	284456	1	130200	24056	154256	130200
12	Sri Lakshmi Narasimhaswamy, Mahila Samsthe, Koratagere	2009-10	5	631000	51000	682000*	-	-	645600	4	122500	33100	155600	490000
13	Spandana Grameena Abhivridhi Samsthe, Holehonnur, Shimoga	2011-12	9	1514580	119350	1633930	1009720	24000	1033720	4	673147	24000	697147	336573
14	Sinchana Rural and Urban development Society, Gadag, Belgaum branch	2011-12	118	17547780	1571600	19119380	13532610	21690	13554300	69	10260990	21690	10282680	3271620
15	Chetana Sikshana Samsthe, Bangalore	2011-12	26	5532000	531429	6063429*	5532000	531500	6063430	21	4468149	531500	4999649	1063781
16	Arunodaya Education Society, Bangarpet, Kolar	2009-10	3	382600	15200	397800*	382600	20800	397800	1	127533	78000	205533	197867
17	Sri Anukarane VidyaSamsthe, Sakelshapura, Hassan.	2011-12	2	301400	36550	337950*			337950	1	150043	29000	179043	158907
18	Sri Mayammadevi Rural vidyasamsthe, Davangere	2009-10	6	781200	61510	842710*	781200	13100	794300	1	130200	13100	143300	651000
Total excess payment														18489695

* Though the Government of India had restricted the grants, the State Government released excess amount of ₹13.33 lakh to the NGOs.

Appendix-4.17
(Reference: Paragraph-4.4, Page-149)
Statement showing irregular payments made to the NGOs

Name of the NGO	Total payments made to the NGO (₹ in lakh)	Remarks
Panchajanya Seva Samasthe, Jevargi	19.32	As against the recommendation of the three men committee that no grants may be released, payments were made on a separate set of recommendation in which details such as date of inspection, the name of inspection team members etc. were not recorded
Association for Rehabilitation of Disabled, Gangavathi	8.10	The committee without actual verification of the activities of the NGO, permitted the release based only on the certificate of the principal of the school.
Vernekar Education Society, BTS Layout, Bangalore	0.69	The reimbursement was made even though the committee had not visited the schools and certified the conduct of activities by the NGO
Sangram Education Society, Bidar	5.33	
Total	33.44	

Appendix-4.18
(Reference: Paragraph-4.7, Page-154)
Details of irregular salary benefits

Cadre	Number of persons granted higher scale	Period from which higher scale granted	Time scale of pay drawn	Higher time scale of pay granted
Second Division Clerk	2	1975-76	90-200	130-290
	3	1979-80	300-700	400-900
	1	1989-90	810-1,310	1,190-2,200
Typist	1	1984-85	490-950	630-1,200
	8	1989-93	960-1,760	1,190-2,200
Junior Works Inspector	1	1980-81	300-700	400-900
	5	1982-84	490-950	630-1,200

Appendix-4.19
(Reference: Paragraph-4.12, Page-162)
Excess payment of compensation for construction of road on land not acquired

(Extent of land in Sq metres; Amount: ₹ in lakh)

Sl.No. in Tab-1	Extent of land utilised (in sq m)	Date of allotment	Extent of land allotted as compensation (in sq metres)	Compensation to be paid if land was acquired	Cost to the Authority in respect of compensation through allotment	Loss to the Authority	Remarks
1	11,129.25	April 2010 and July 2014	8,784.00	17.40	2,269.12	2251.72	Value of alternative land allotted is equal to value of land lost
2	639.42	June 2013	324.00	1.00	82.59	81.59	Value for excess land of 4.29 sq m allotted was paid by the allottee
3	2,225.85	April 2013	1,114.80	3.48	287.50	284.02	Value for 1.88 sq m of land was paid by allottee
4	657.63	July 2012	360	1.02	77.74	76.72	Value for excess land of 31.19 sq m allotted was paid by the allottee
5	3,338.77	June 2013	1,621.86	5.22	418.97	413.75	
6	1,212.42	June 2013	640.14	0.97	163.15	162.18	Value for excess land of 33.93 sq m allotted was paid by the allottee
	Total			29.09	3,299.07	3,269.98	

Appendix 4.20
(Reference: Paragraph-4.12, Page-163)
Excess payment of compensation towards construction of road on land deleted from final notification

(Extent of land in sq metres; ₹in lakh)

Sl. No. in Tab-2	Extent of land utilised (in sq m)	Date of allotment	Extent of land allotted as compensation (in sq metres)	Compensation to be paid if entire land utilised was acquired	Cost to the Authority in respect of compensation through allotment	Loss to the Authority	Remarks
1	627.28	March 2013	648.00	1.96	162.04	160.8	Excess allotment of land measuring 20.72 sq m was paid by the land owner.
2	1,011.75	August 2012	1,080.00	3.26	261.36	258.10	Excess allotment of land measuring 68.25 sq m was paid by the land owner.
3	556.46	November 2012	360.00	0.89	71.89	71.00	Excess allotment of land measuring 81.77 sq m was paid by the land owner.
4	834.69	April-May 2012	468	1.30	107.77	106.47	Excess allotment of land measuring 50.66 sq m was paid by the land owner.
	Total		2,556	7.41	603.06	596.37	

Appendix-4.21
(Reference: Paragraph-4.12, Page-165)
Liability of the Authority towards payment of compensation

(Amount in ₹; area of land in sft)

Area and Survey No.	Date of Board Resolution	Guidance Value (₹/Sft)		Ratio	Total area utilised by the Authority	Area to be allotted as per ratio	Land already allotted	Balance land to be allotted	present guidance value	Cost to the Board
		BDA	DC Converted							
Giddada Konenahalli - 27	2012	1200	1000	0.8333	23958.00	19965.00	11979.00	2508.07	2400	19166400
Mallathahalli-83	2011	1300	1000	0.7692	35937.00	27643.85	17456.93	15664.85	2400	24448598.8
Ullalu-169/1	2008	900	950	1.0556	6882.48	7264.84	3441.24	3823.60	2400	9176640
Giddada Konenahalli - 23	2008	800	800	1.0000	7078.50	7078.50	3539.25	3539.25	2400	8494200
Nagadevanahalli-53	2010	1000	800	0.8000	13050.00	10440.00	6525.00	3915.00	2500	9787500
Ramasandra-29/1	2010	800	600	0.7500	13503.60	10127.70	6751.80	3375.90	2400	8102160
Manganahalli-35	2008	700	550	0.7857	21780.00	17112.86	10890.00	6222.86	2400	14934857.1
Sonenahalli-14	2008	900	700	0.7778	5989.50	4658.50	2994.75	1663.75	2400	3993000
Kengeri-209	2008	1000	800	0.8000	8984.25	7187.40	4492.12	2695.28	2400	6468672
Nagadevanahalli-10/5	2008	1000	800	0.8000	19602.00	15681.60	9801.00	5880.60	2500	14701500
Gubbalala 39/2	2006	800	700	0.8750	54450.00	47643.75	27225.00	20418.75	2200	44921250
Total										164194778