

Appendix I
ELEVENTH SCHEDULE
(Article 243G)
(Reference: Paragraph 1.1.1, Page 1)

1. Agriculture, including agricultural extension.
2. Land improvement, implementation of land reforms, land consolidation and soil conservation.
3. Minor irrigation, water management and watershed development.
4. Animal husbandry, dairying and poultry.
5. Fisheries.
6. Social forestry and farm forestry.
7. Minor forest produce.
8. Small scale industries, including food processing industries.
9. Khadi, village and cottage industries.
10. Rural housing.
11. Drinking water.
12. Fuel and fodder.
13. Roads, culverts, bridges, ferries, waterways and other means of communication.
14. Rural electrification, including distribution of electricity.
15. Non-conventional energy sources.
16. Poverty alleviation programme.
17. Education, including primary and secondary schools.
18. Technical training and vocational education.
19. Adult and non-formal education.
20. Libraries.
21. Cultural activities.
22. Markets and fairs.
23. Health and sanitation, including hospitals, primary health centres and dispensaries.
24. Family welfare.
25. Women and child development.
26. Social welfare, including welfare of the handicapped and mentally retarded.
27. Welfare of the weaker sections, and in particular, of the Scheduled Castes and the Scheduled Tribes.
28. Public distribution system.
29. Maintenance of community assets.

Appendix II
TWELFTH SCHEDULE
(Article 243W)

(Reference: Paragraph 1.1.1, Page 1)

1. Urban planning including town planning.
2. Regulation of land-use and construction of buildings.
3. Planning for economic and social development.
4. Roads and bridges.
5. Water supply for domestic, industrial and commercial purposes.
6. Public health, sanitation conservancy and solid waste management.
7. Fire services.
8. Urban forestry, protection of the environment and promotion of ecological aspects.
9. Safeguarding the interests of weaker sections of society, including the handicapped and mentally retarded.
10. Slum improvement and upgradation.
11. Urban poverty alleviation.
12. Provision of urban amenities and facilities such as parks, gardens, playgrounds.
13. Promotion of cultural, educational and aesthetic aspects.
14. Burials and burial grounds; cremations, cremation grounds; and electric crematoriums.
15. Cattle pounds; prevention of cruelty to animals.
16. Vital statistics including registration of births and deaths.
17. Public amenities including street lighting, parking lots, bus stops and public conveniences.
18. Regulation of slaughter houses and tanneries.

Appendix III
Surrender of funds during 2014-15
(Reference: Paragraph 2.1.1.1, Page 12)

Major Head	Function	Budget Provision (₹)	Surrender (₹)	Net (₹)
2202	General Education	2628608000	60599000	2568009000
2210	Medical and Public Health	77177000	5774000	71403000
2217	Urban Development	2984832000	2374632000	610200000
2225	Welfare of SC/ST	2397696000	1133221000	1264475000
2230	Labour and Employment	402253000	20467000	381786000
2235	Social Security and Welfare	21748539000	50292000	21698247000
2401	Crop Husbandry	124344000	19000	124325000
2402	Soil and Water Conservation	450000	6000	444000
2403	Animal Husbandry	1000	1000	0
2501	Special Programmes for Rural Development	3196022000	1356529000	1839493000
2515	Other Rural Development Programmes	549300000	265579000	283721000
2851	Village and Small Industries	492000	0	492000
	Total	34109714000	5267119000	28842595000

Appendix IV
List of LSGIs which prepared defective budget/Delay in presentation of Budget
(Reference: Paragraph 2.2.1, Page 21)

SI No.	Name of LSGI	Year of Audit	Nature of defect		
			Delay in presentation of Budget	Passed on the same day of its presentation	Excess expenditure over budget without supplementary budget
Municipality					
1	Thrikkakkara	2010-11	1	1	
District Panchayat					
1	Wayanad	2011-12	1	1	
Block Panchayat					
1	Pandalam	2010-11	1	1	
2	Kollamkode	2010-11	1	1	
3	Chittoor	2010-11	1		
4	Kottarakkara	2011-12	1	1	
5	Mullassery	2011-12		1	
6	Chowannur	2011-12	1	1	
7	Mankada	2012-13	1	1	
8	Muvattupuzha	2012-13	1	1	
Grama Panchayat					
1	Veliyanallur	2010-11	1	1	1
2	Thottapuzhasseri	2010-11	1	1	
3	Mallapuzhassery	2010-11	1	1	1
4	Palakkuzha	2010-11	1	1	
5	Agaly	2010-11	1		
6	Sholayur	2010-11	1		
7	Munnar	2010-11	1	1	
8	Marayoor	2010-11	1	1	
9	Vandanmedu	2010-11			1
10	Kunnothuparambu	2010-11	1	1	
11	Kattappana	2010-11	1	1	

Appendix IV(Concl.)

SI No.	Name of LSGI	Year of Audit	Nature of defect		
			Delay in presentation of Budget	Passed on the same day of its presentation	Excess expenditure over budget without supplementary budget
12	Thuravur	2010-11	1	1	
13	Poovachal	2010-11	1	1	
14	Akathethara	2010-11	1	1	
15	Ayiloor	2010-11	1	1	
16	Kattakkada	2011-12	1	1	
17	Anjuthengu	2011-12	1	1	
18	Navaikulam	2011-12	1	1	
19	Mullassery	2011-12	1		
20	Poovar	2011-12		1	
21	Manamboor	2011-12	1	1	
22	Thrikodithanam	2011-12	1	1	
23	Aroor	2011-12	1	1	
24	Ranni	2011-12	1	1	1
25	Nellanad	2011-12	1	1	
26	Idamalakudy	2011-12	1	1	
27	Edavetty	2011-12	1	1	
28	Kottamkara	2011-12	1	1	1
29	Vythiri	2011-12	1	1	
30	Kottarakkara	2011-12			1
31	Avanoor	2012-13	1		
32	Aymanam	2012-13	1	1	
33	Angadippuram	2012-13	1	1	
	Total		39	36	6

Appendix V
List of LSGIs which delayed sending AFS to DLFA
(Reference: Paragraph 2.5.1, Page 22)

SI No.	Name of LSGI & Year of Audit	Due date	Date of sending	Delay in months
Municipality:				
1	Thrikkakara 2010-11	31-May-11	30-Sep-11	4
Block Panchayat:				
1	Palakkad 2010-11	31-May-11	01-Aug-11	2
2	Nenmara 2010-11	31-May-11	29-Jul-11	2
3	Thalikulam 2011-12	31-May-12	26-Jul-12	2
4	Kanjirappally 2012-13	31-May-13	24-Aug-13	3
Grama Panchayat:				
1	Manjeswaram 2010-11	31-Jul-13	25-Aug-13	1

Appendix VI
Incorrect/Incomplete Demand Collection Balance Statements
(Reference: Paragraph 2.5.1, Page 22)

Sl.No.	Name of LSGIs	Year of Audit
Grama Panchayat		
1	Palakkuzha	2010-11
2	Edavaka	2010-11
3	Mazhuvannor	2010-11
4	Munnar	2010-11
5	Thuravur	2010-11
6	Thirumarady	2010-11
7	Poovachal	2010-11
8	Mullassery	2011-12
9	Poovar	2011-12
10	Thevalakkara	2011-12
11	Edavetty	2011-12
12	Vythiri	2011-12
13	Thamarassery	2011-12
14	Alangad	2011-12
15	Vazhathoppu	2011-12
Municipality		
16	Maradu	2010-11
17	Nilamboor	2011-12

Appendix VII
List of LSGIs in which irregularities were noticed in preparation of AFS
(Reference: Paragraph 2.5.1, Page 22)

SI No.	LSGIs which did not include all transactions		LSGIs in which CB of previous years AFS did not agree with OB of next year		LSGIs which did not prepare/submit appending statement of AFS	
Corporation						
1					Kollam	2010-11
Municipality						
1	Eloor	2010-11			Thrikkakkara	2010-11
2	Kodungallur	2011-12			Kottakkal	2012-13
District Panchayat						
1					Wayanad	2011-12
Block Panchayat						
1	Thaliparambu	2010-11	Kottarakkara	2011-12	Mullassery	2011-12
2	Iritty	2011-12	Parappa	2011-12	Nilamboor	2013-14
3	Mankada	2012-13	Bharanikkavu	2011-12		
4			Muvattupuzha	2012-13		
Grama Panchayat						
1	Thuravur	2010-11	Mallapuzhassery	2010-11	Edavaka	2010-11
2	Veliyanallur	2010-11	Kattakkada	2011-12	Peringom Vayakkara	2010-11
3	Manjeswaram	2010-11	Mylom	2012-13	Vandanmedu	2010-11
4	Pudur	2010-11			Thirumarady	2010-11
5	Marayoor	2010-11			Poovachal	2010-11
6	Chowannur	2011-12			Ayiloor	2010-11
7	Badiyadukka	2011-12			Mudakkal	2011-12
8	Aroor	2011-12			Karumkulam	2011-12
9	Thirunelly	2011-12			Karavaloor	2011-12
10	Panmana	2011-12			Kanthalloor	2011-12
11	Chokli	2011-12			Idukki Kanjikkuzhi	2011-12
12	Nadathara	2011-12			Mayyanad	2011-12
13	Arayankavu	2012-13			Kottamkara	2011-12
14	Vamanapuram	2012-13			Thamarassery	2011-12
15	Avanoor	2012-13			Padiyur	2011-12
16	Aymanam	2012-13			Thodiyur	2011-12
17	Angadippuram	2012-13			Vazhathoppu	2011-12

Appendix VII (Concl.)

SI No.	LSGIs which did not include all transactions		LSGIs in which CB of previous years AFS did not agree with OB of next year		LSGIs which did not prepare/submit of appending statement of AFS	
18					Kottarakkara	2011-12
19					Chathannoor	2012-13
20					Kooropada	2012-13
21					Puthuppally	2012-13
22					Aryad	2012-13
23					Noolpuzha	2012-13

Appendix VIII
List of LSGIs which did not prepare monthly accounts
(Reference: Paragraph 2.5.2, Page 23)

Sl No.	Name of LSGI	Year of Audit
Municipality		
1	North Paravur	2010-11
Block Panchayat		
1	Chowannur	2011-12
2	Pandalam	2010-11
3	Kollamkode	2010-11
Grama Panchayat		
1	Poovachal	2010-11
2	Vandanmedu	2010-11
3	Manamboor	2011-12
4	Nedumkunnam	2011-12
5	Nellanad	2011-12
6	Edavetty	2011-12
7	Thodiyur	2011-12
8	Kottarakkara	2011-12
9	Aymanam	2012-13

Appendix IX
List of LSGIs which did not conduct physical verification of stock
(Reference: Paragraph 2.5.3, Page 23)

Sl No.	Name of LSGI	Year of Audit
Corporation		
1	Kollam	2010-11
Municipality		
1	Kodungallur	2011-12
2	Nilamboor	2011-12
3	Kottakkal	2012-13
District Panchayat		
1	Palakkad	2011-12
Block Panchayat		
1	Thaliparambu	2010-11
2	Thalassery	2010-11
3	Pandalam	2010-11
4	Iritty	2011-12
5	Mankada	2012-13
Grama Panchayat		
1	Manjeswaram	2010-11
2	Agaly	2010-11
3	Poovachal	2010-11
4	Akathethara	2010-11
5	Mullassery	2011-12
6	Karavaloor	2011-12
7	Manamboor	2011-12
8	Thrikodithanam	2011-12
9	Mayyanad	2011-12
10	Cirakkadavu	2011-12
11	Thamarassery	2011-12
12	Dharmadam	2011-12
13	Chokli	2011-12
14	Avanoor	2012-13

Appendix X
List of LSGIs in which various deficiencies were observed in maintenance of
Cash Book
(Reference: Paragraph 2.5.4, Page 23)

Sl. No	Name of LSGI	Period of Audit	Cash book - Erasure & over writing	Cash book - Erasure & over writing not certified	Daily closing of cash book not certified	Absence of monthly closing of cash book	Monthly closing of cash book not certified	Non reconciliation of cash book balance with pass book	Physical verification of cash not done	Functional Classification not recorded in cash book
Municipality										
1	North Paravur	2010-11			1				1	
2	Maradu	2010-11			1			1	1	
3	Kottakkal	2012-13					1	1	1	
District Panchayat										
1	Palakkad	2011-12					1	1	1	
2	Wayanad	2011-12	1	1	1					1
Block Panchayat										
1	Nenmara	2010-11			1					
2	Thaliparambu	2010-11			1		1		1	1
3	Pandalam	2010-11	1	1			1			
4	Chittoor	2010-11					1			1
5	Mannarkad	2010-11			1					
6	Thalassery	2010-11			1					
7	Kottarakkara	2011-12	1	1			1		1	1
8	Bharanikkavu	2011-12						1		
9	Mullassery	2011-12					1	1		
10	Muvattupuzha	2012-13					1	1		
11	Nilamboor	2013-14					1		1	
Grama Panchayat										
1	Poovachal	2010-11	1	1						1
2	Ayiloor	2010-11			1		1	1	1	1
3	Marayoor	2010-11			1		1	1	1	1
4	Kattappana	2010-11			1		1		1	1
5	Kunnothuparambu	2010-11			1		1	1	1	
6	Edavaka	2010-11	1	1	1		1	1		
7	Badiyadukka	2011-12					1			
8	Kattakkada	2011-12					1	1		
9	Anjuthengu	2011-12	1	1	1		1	1		
10	Navaikulam	2011-12			1	1	1			1
11	Manamboor	2011-12	1				1		1	
12	Thrikodithanam	2011-12	1				1		1	
13	Aroor	2011-12	1	1	1					1
14	Kanthalloor	2011-12			1		1		1	
15	Nellanad	2011-12	1	1	1				1	1

Appendix X (Concl.)

Sl. No	Name of LSGI	Period of Audit	Cash book - Erasure & over writing	Cash book - Erasure & over writing not certified	Daily closing of cash book not certified	Absence of monthly closing of cash book	Monthly closing of cash book not certified	Non reconciliation of cash book balance with pass book	Physical verification of cash not done	Functional Clasification not recorded in cash book
16	Edavetty	2011-12	1	1						1
17	Kumarakom	2011-12	1	1	1		1		1	
18	Vythiri	2011-12	1	1	1					
19	Chirakkadavu	2011-12				1		1		
20	Padiyur	2011-12	1	1	1	1	1		1	
21	Alangad	2011-12			1				1	
22	Vazhathoppu	2011-12			1		1	1	1	
23	Ayarkunnam	2011-12			1			1		
24	Puthuppally	2012-13			1			1		
	Total		14	12	24	3	24	16	19	12

Appendix XI
List of LSGIs with non-maintenance/improper maintenance of Registers
(Reference: Paragraph 2.5.4, Page 24)

Sl No.	Name of LSGI	Year of Audit	Did not maintain Advance Register	Advance Register maintained but not properly	Did not maintain Deposit Register	Deposit Register maintained but not properly	Did not maintain Asset Register	Asset Register maintained but not properly
Municipality								
1	Kodungallur	2011-12						1
2	Kottakkal	2012-13						1
District Panchayat								
1	Palakkad	2011-12	1		1			
Block Panchayat								
1	Mullassery	2011-12	1		1			1
2	Pandalam	2010-11			1			
Grama Panchayat								
1	Manjeswaram	2010-11						1
2	Amboori	2010-11						1
3	Poovachal	2010-11		1				
4	Akathethara	2010-11				1		
5	Kattakkada	2011-12				1		1
6	Thalayolaparambu	2011-12	1					
7	Manamboor	2011-12						1
8	Thrikodithanam	2011-12						1
9	Idamalakudy	2011-12					1	
10	Cirakkadavu	2011-12		1				
11	Dharmadam	2011-12						1
12	Madavoor	2011-12				1		1
13	Chokli	2011-12						1
14	Vamanapuram	2012-13						1
15	Avanoor	2012-13						1
16	Angadippuram	2012-13						1
	Total		3	2	3	3	1	14

Appendix XII
Delay in issuing Permits
(Reference: Paragraph 3.1.3.3, Page 31)

Y= Years, M=Months & D=Days

Name of applicant, File No & Date	Action taken	Date	Time taken
Viswanathan DW5/BA/116/10-11 Date of receipt - 07/07/10	Application forwarded to the Building Inspector	26/10/10	111 D
	Application forwarded to the District Town Planner	07/07/10	---
	Date of receipt of report from the DTP	27/06/11	11 M
	Date of issue of permit	11/11/11	4.5 M
Joshy Jose DW4/BA/181/11-12 Date of receipt - 13/07/11	To Town Planner	22/07/11	9 D
	Report from Town Planner	08/11/11	3.5 M
	To Village officer and Agricultural Officer	08/11/11	---
	Report from Village Officer	25/11/11	17 D
	Report from Agricultural Officer	23/11/11	15 D
	To District Town Planner	03/02/12	2.5 M
	Report from District Town Planner	29/02/12	26 D
Date of issue of permit	10/04/12	41 D	
Meena Anto DW4/BA/19/11-12 Date of receipt - 20/04/11	Forwarded to Building Inspector	25/04/11	5 D
	Report from Building Inspector	16/06/11	52 D
	Revised plan submitted	25/07/11	--
	To District Town Planner	15/09/11	52 D
	Report from District Town Planner	14/12/11	3 M
	Revised plan	19/01/12	--
	To District Town Planner	13/02/12	25 D
	Report from District Town Planner	29/03/12	1.5 M
	Forwarded to Fire NOC	13/04/12	16 D
	Fire NOC received	18/09/12	5.5 M
Permit issued on	03/01/13	3 M	
Ravi TK DW5/BA/133/11-12 Date of receipt- 22/06/11	Forwarded to Agricultural officer and village officer	19/08/11	2 M
	Report from Agricultural officer	05/09/11	18 D
	Report from Village Officer	16/09/11	28 D
	Affidavit by the applicant	02/06/12	--
	Date of issue of permit	20/10/12	5 M

Appendix XII (Concl.)

Name of applicant, File No & Date	Action taken	Date	Time taken
Muhammedali DW3/BA/198/11-12 Date of receipt - 27/07/11	Report of Building inspector received	26/09/11	2 M
	Forwarded for Fire NOC	25/10/11	1 M
	Fire NOC received	14/12/11	2 M
	Date of issue of permit	23/01/12	1M
Rajeev C.R E6/BA/C/64/09 Date of receipt – 06/02/2009	Site inspected by PWO	24/03/09	1.5M
	Site inspected by AEE	07/08/09	4.5 M
	Documents sent for Fire NOC	11/08/09	4D
	Initial Fire NOC received	11/09/09	1 M
	First notice issued to the party for furnishing FAR calculation sheet, Structural Stability Certificate etc.	29/12/09	3.5 M
	Party submitted above documents.	13/01/10	15 D
	Intimation sent to the party to furnish revised plan as per amended KMBR.	12/07/10	6 M
	Revised plan submitted	14/10/10	3M
	Revised Fire NOC, PCB Certificate, Structural Stability Certificate etc submitted.	02/01/11	2.5 M
	Notice sent to party to modify the plan submitted.	09/03/12	14 M
	Revised plan submitted	16/03/12	7 D
	Notice issued for rectifying defects.	08/05/12	1.5M
	Plan resubmitted after rectifying defects.	23/05/12	15 D
	Notice issued for producing Fire NOC which was already produced, and Aviation Clearance Certificate, which was not required.	04/06/12	12 D
	Above documents submitted.	21/11/12	5.5 M
	Permit issued.	04/04/13	4.5 M

Appendix XIII
Status of installation and working of plastic shredding machines in Kozhikode District

(Reference: Paragraph 3.8, Page 69)

Name of GP	Date of purchase	Amount (₹ in lakh)	Status
Chekyad	24.11.2011	2.00	Not working. Trial run conducted on 1.8.2011. Could not be run due to resistance from local residents.
Nadapuram	12.12.2011	2.85	Worked only for one week from 19.3.2012. Since the project is not economically viable, the operation of the machine is being dispensed with by the Panchayat.
Mukkam	23.03.2012	2.00	Not working. Building has not been constructed.
Naripatta	29.03.2012	2.25	Not working. Electric connection has not been obtained.
Kuttiyadi	16.03.2011	2.70	Working nominally by engaging part-time employees for 15 to 18 days in a month.
Vanimel	30.03.2012	2.99	Functioning from June 2015. Not functioning regularly due to non-availability of plastic.
Balussery	23.03.2012	2.99	Shredding done only once in a month and 50 kg plastic is shredded at one time.
Azhiyoor	11.03.2011	3.00	The machine is not working for the last one year due to increased cost of operation and non-availability of plastic.
Total		20.78	