

Annexure-1.1
(Referred to in paragraphs 1.1 and 1.5)
Details of Paid-up Capital, Loans and Guarantees outstanding of PSUs as on 31 March 2017

(₹ in crore)

Sl. No.	Sector /Name of the Company	Paid-up capital (included share application money pending allotment)				Loans outstanding at the end of the year (long term)				Guarantee outstanding
		State Government	Central Government	Others	Total	State Government	Central Government	Others	Total	
(1)	(2)	3 (a)	3 (b)	3 (c)	3(d)	4 (a)	4 (b)	4 (c)	4(d)	(5)
A. Working Government companies										
Agriculture and Allied										
1	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	0.15	0.00	0.10	0.25	0.00	0.00	2.48	2.48	0.00
2	Uttar Pradesh Beej Vikas Nigam Limited	6.25	0.00	0.67	6.92	0.00	0.00	0.00	0.00	0.00
3	Uttar Pradesh Bhumi Sudhar Nigam	1.50	0.00	0.00	1.50	0.00	0.00	0.00	0.00	0.00
4	Uttar Pradesh Matsya Vikas Nigam Limited	1.07	0.00	0.00	1.07	0.00	0.00	0.00	0.00	0.00
5	U.P. Projects Corporation Limited	5.40	1.00	0.00	6.40	0.00	0.00	0.00	0.00	0.00
6	Uttar Pradesh State Agro Industrial Corporation Limited	58.32	0.00	0.00	58.32	5.00	0.00	0.00	5.00	0.00
Sector wise Total		72.69	1.00	0.77	74.46	5.00	0.00	2.48	7.48	0.00
Finance										
7	The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh Limited	135.58	0.00	25.00	160.58	485.24	0.00	0.00	485.24	0.00
8	Uttar Pradesh Alpsankhyak Vittiya Evam Vikas Nigam Limited	30.00	0.00	0.00	30.00	7.53	0.00	0.00	7.53	0.00
9	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	12.23	0.00	0.00	12.23	0.00	0.00	40.37	40.37	52.65
10	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	123.24	107.18	0.00	230.42	0.00	0.00	46.32	46.32	0.00
11	Uttar Pradesh State Industrial Development Corporation Limited (UPSIDC)	24.08	0.00	0.00	24.08	1.98	0.00	0.00	1.98	0.00
Sector wise Total		325.13	107.18	25.00	457.31	494.75	0.00	86.69	581.44	52.65

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Sl. No.	Sector /Name of the Company	Paid-up capital (included share application money pending allotment)				Loans outstanding at the end of the year (long term)				Guarantee outstanding
		State Government	Central Government	Others	Total	State Government	Central Government	Others	Total	
(1)	(2)	3 (a)	3 (b)	3 (c)	3(d)	4 (a)	4 (b)	4 (c)	4(d)	(5)
Infrastructure										
12	Uttar Pradesh Police Avas Nigam Limited	3.00	0.00	0.00	3.00	0.00	0.00	0.00	0.00	0.00
13	Uttar Pradesh Rajkiya Nirman Nigam Limited	1.00	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00
14	Uttar Pradesh State Construction & Infrastructure Development Corporation Limited	0.15	0.00	0.00	0.15	0.00	0.00	0.00	0.00	0.00
15	Uttar Pradesh State Bridge Corporation Limited	15.00	0.00	0.00	15.00	0.00	0.00	0.00	0.00	0.00
16	Lucknow Metro Rail Corporation Limited	930.05	351.60	25.00	1,306.65	535.00	88.32	841.00	1,464.32	0.00
17	NOIDA Metro Rail Corporation Limited	0.00	0.00	300.05	300.05	0.00	0.00	0.00	0.00	0.00
Sector wise Total		949.20	351.60	325.05	1,625.85	535.00	88.32	841.00	1,464.32	0.00
Manufacture										
18	Almora Magnesite Limited (139 (5) & (7) Company)	0.00	0.00	2.00	2.00	0.00	0.00	0.00	0.00	0.00
19	Shreetron India Limited (Subsidiary of UPECL)	0.00	0.00	7.22	7.22	0.00	0.00	2.63	2.63	0.00
20	Uptron Powertronics Ltd. (subsidiary of UPECL)	0.00	0.00	4.07	4.07	0.00	0.00	0.00	0.00	0.00
21	Uttar Pradesh Drugs and Pharmaceuticals Limited	1.10	0.00	0.00	1.10	0.00	0.00	0.00	0.00	0.00
22	Uttar Pradesh Electronics Corporation Limited (UPECL)	91.54	0.00	0.00	91.54	113.16	0.00	0.00	113.16	0.00
23	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited	880.13	0.00	0.00	880.13	0.00	0.00	0.00	0.00	0.00
24	Uttar Pradesh Small Industries Corporation Limited (UPSICL)	5.96	0.00	0.00	5.96	6.32	0.00	3.92	10.24	0.00

Sl. No.	Sector /Name of the Company	Paid-up capital (included share application money pending allotment)				Loans outstanding at the end of the year (long term)				Guarantee outstanding
		State Government	Central Government	Others	Total	State Government	Central Government	Others	Total	
(1)	(2)	3 (a)	3 (b)	3 (c)	3(d)	4 (a)	4 (b)	4 (c)	4(d)	(5)
25	Uttar Pradesh State Spinning Company Limited	93.24	0.00	0.00	93.24	131.22	0.00	0.00	131.22	0.00
26	Uttar Pradesh State Sugar Corporation Limited (UPSSCL)	1,648.31	0.00	0.00	1,648.31	12.00	0.00	0.00	12.00	0.00
Sector wise Total		2,720.28	0.00	13.29	2,733.57	262.70	0.00	6.55	269.25	0.00
Power										
27	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	0.00	0.00	13,568.23	13,568.23	0.00	0.00	11,643.07	11,643.07	0.00
28	Kanpur Electricity Supply Company Limited	0.00	0.00	540.96	540.96	0.00	0.00	1,522.22	1,522.22	0.00
29	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	0.00	0.00	12,508.49	12,508.49	0.00	0.00	2,958.62	2,958.62	0.00
30	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	0.00	0.00	4,613.22	4,613.22	0.00	0.00	6,463.80	6,463.80	0.00
31	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	0.00	0.00	14,955	14,955.00	0.00	0.00	2,416.70	2,416.70	0.00
32	UCM Coal Company Limited	0.00	0.00	0.16	0.16	0.00	0.00	0.50	0.50	0.00
33	UPSIDC Power Company Limited (Subsidiary of UPSIDC)	0.00	0.00	0.05	0.05	0.00	0.00	0.00	0.00	0.00
34	Uttar Pradesh Jal Vidyut Nigam Limited	434.53	0.00	0.00	434.53	64.65	0.00	85.21	149.86	0.00
35	Uttar Pradesh Power Corporation Limited (UPPCL)	76,152.36	0.00	0.00	76,152.36	9,783.44	0.00	26,283.53	36,066.97	32,543.96
36	Uttar Pradesh Power Transmission Corporation Limited	9,572.93	0.00	2,213.28	11,786.21	0.00	0.00	10,224.62	10,224.62	995.69
37	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited (UPRVUNL)	10,110.40	0.00	0.00	10,110.40	0.00	0.00	11,183.95	11,183.95	19,251.52

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Sl. No.	Sector /Name of the Company	Paid-up capital (included share application money pending allotment)				Loans outstanding at the end of the year (long term)				Guarantee outstanding
		State Government	Central Government	Others	Total	State Government	Central Government	Others	Total	
(1)	(2)	3 (a)	3 (b)	3 (c)	3(d)	4 (a)	4 (b)	4 (c)	4(d)	(5)
38	Jawaharpur Vidyut Utpadan Nigam Limited (Subsidiary of UPRVUNL)	0.00	0.00	126.05	126.05	0.00	0.00	353.58	353.58	0.00
Sector wise Total		96,270.22	0.00	48,525.44	1,44,795.66	9,848.09	0.00	73,135.80	82,983.89	52,791.17
Service										
39	Uttar Pradesh Development Systems Corporation Limited	1.00	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00
40	Uttar Pradesh Handicraft & Marketing Development Corporation Limited	6.34	0.90	0.00	7.24	7.44	0.00	0.00	7.44	0.00
41	Uttar Pradesh Food and Essential Commodities Corporation Limited	5.50	0.00	0.00	5.50	0.73	0.00	0.00	0.73	0.00
42	Uttar Pradesh State Tourism Development Corporation Limited	32.60	0.00	0.00	32.60	0.81	0.00	0.00	0.81	0.00
43	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	0.43	0.00	0.00	0.43	0.00	0.00	0.00	0.00	0.00
44	Lucknow City Transport Services Limited	0.05	0.00	0.00	0.05	0.00	0.00	0.00	0.00	0.00
45	Meerut City Transport Services Limited	0.05	0.00	0.00	0.05	0.00	0.00	0.00	0.00	0.00
46	Allahabad City Transport Services Limited	0.05	0.00	4.86	4.91	0.00	0.00	6.57	6.57	0.00
47	Agra-Mathura City Transport Services Limited	0.05	0.00	0.00	0.05	0.00	0.00	0.00	0.00	0.00
48	Kanpur City Transport Services Limited	0.05	0.00	0.00	0.05	0.00	0.00	0.00	0.00	0.00
49	Varanasi City Transport Services Limited	0.05	0.00	0.00	0.05	0.00	0.00	4.77	4.77	0.00
Sector wise Total		46.17	0.90	4.86	51.93	8.98	0.00	11.34	20.32	0.00
Social Welfare										

Sl. No.	Sector /Name of the Company	Paid-up capital (included share application money pending allotment)				Loans outstanding at the end of the year (long term)				Guarantee outstanding
		State Government	Central Government	Others	Total	State Government	Central Government	Others	Total	
(1)	(2)	3 (a)	3 (b)	3 (c)	3(d)	4 (a)	4 (b)	4 (c)	4(d)	(5)
50	Uttar Pradesh Mahila Kalyan Nigam Limited	4.71	0.48	0.00	5.19	0.00	0.00	0.00	0.00	0.00
51	Uttar Pradesh Waqf Vikas Nigam Limited	10.00	0.00	0.00	10.00	0.00	0.00	0.00	0.00	0.00
Sector wise Total		14.71	0.48	0.00	15.19	0.00	0.00	0.00	0.00	0.00
Total A (All sector wise working Government companies)		1,00,398.40	461.16	48,894.41	1,49,753.97	11,154.52	88.32	74,083.86	85,326.70	52,843.82
B. Statutory corporations										
Agriculture & Allied										
1	Uttar Pradesh State Warehousing Corporation	7.79	0.00	5.40	13.19	0.00	0.00	0.00	0.00	0.00
2	Uttar Pradesh Forest Corporation	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sector wise Total		7.79	0.00	5.40	13.19	0.00	0.00	0.00	0.00	0.00
Finance										
3	Uttar Pradesh Financial Corporation	114.51	0.00	64.78	179.29	292.98	0.00	376.59	669.57	0.00
Sector wise Total		114.51	0.00	64.78	179.29	292.98	0.00	376.59	669.57	0.00
Infrastructure										
4	Uttar Pradesh Avas Evam Vikas Parishad	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
5	Uttar Pradesh Jal Nigam	0.00	0.00	0.00	0.00	270.03	0.00	0.00	270.03	0.00
Sector wise Total		0.00	0.00	0.00	0.00	270.03	0.00	0.00	270.03	0.00
Service										
6	Uttar Pradesh State Road Transport Corporation	767.84	60.01	0.00	827.85	50.00	0.00	99.88	149.88	0.00
Sector wise Total		767.84	60.01	0.00	827.85	50.00	0.00	99.88	149.88	0.00
Total B (All sector wise working Statutory corporations)		890.14	60.01	70.18	1020.33	613.01	0.00	476.47	1089.48	0.00
Grand Total (A + B)		1,01,288.54	521.17	48,964.59	1,50,774.30	11,767.53	88.32	74,560.33	86,416.18	52,843.82

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Sl. No.	Sector /Name of the Company	Paid-up capital (included share application money pending allotment)				Loans outstanding at the end of the year (long term)				Guarantee outstanding
		State Government	Central Government	Others	Total	State Government	Central Government	Others	Total	
(1)	(2)	3 (a)	3 (b)	3 (c)	3(d)	4 (a)	4 (b)	4 (c)	4(d)	(5)
C. Non-working Government companies										
Agriculture and Allied										
1	Command Area Poultry Development Corporation Limited (139 (5) & (7) company)	0.00	0.00	0.24	0.24	0.00	0.00	0.00	0.00	0.00
2	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	0.23	0.00	0.08	0.31	1.69	0.00	0.00	1.69	0.00
3	Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikas Nigam Limited	0.38	0.00	0.33	0.71	6.55	0.00	0.00	6.55	0.00
4	Uttar Pradesh Pashudhan Udyog Nigam Limited	2.10	0.63	0.00	2.73	0.71	0.00	0.00	0.71	0.00
5	Uttar Pradesh Poultry and Livestock Specialties Limited	2.88	1.28	0.00	4.16	1.10	0.00	0.00	1.10	0.00
6	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	8.72	0.00	0.64	9.36	1.22	0.00	0.00	1.22	0.00
7	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	0.51	0.00	0.13	0.64	0.00	0.00	0.00	0.00	0.00
Sector wise Total		14.82	1.91	1.42	18.15	11.27	0.00	0.00	11.27	0.00
Finance										
8	Uplease Financial Services Limited (Subsidiary of UPECL)	0.00	0.00	1.06	1.06	0.00	0.00	4.15	4.15	0.00
9	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	0.78	0.00	0.66	1.44	0.00	0.00	0.00	0.00	0.00
Sector wise Total		0.78	0.00	1.72	2.50	0.00	0.00	4.15	4.15	0.00
Infrastructure										
10	Uttar Pradesh Cement Corporation Limited	68.28	0.00	0.00	68.28	124.77	0.00	0.00	124.77	0.00
11	Uttar Pradesh State Mineral Development Corporation Limited (UPSMDCCL)	59.43	0.00	0.00	59.43	18.24	0.00	1.50	19.74	0.00

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		State Government	Central Government	Others	Total	State Government	Central Government	Others	Total	
(1)	(2)	3 (a)	3 (b)	3 (c)	3(d)	4 (a)	4 (b)	4 (c)	4(d)	(5)
12	Vindhyachal Abrasives Limited (Subsidiary of UPMDCL)	0.00	0.00	0.00	0.00	0.00	0.00	0.84	0.84	0.00
Sector wise Total		127.71	0.00	0.00	127.71	143.01	0.00	2.34	145.35	0.00
Manufacture										
13	Auto Tractors Limited	5.63	0.00	1.87	7.50	0.38	0.00	0.00	0.38	0.00
14	Bhadohi Woollens Limited (Subsidiary of UPSTCL)	0.00	0.00	3.76	3.76	0.00	0.00		0.00	0.00
15	Chhata Sugar Company Limited (Subsidiary of UPSSCL)	0.00	0.00	81.38	81.38	0.00	0.00	25.90	25.90	0.00
16	Continental Float Glass Limited (Subsidiary of UPSMDL)	0.00	0.00	46.24	46.24	0.00	0.00	138.85	138.85	0.00
17	Electronics and Computers (India) Limited (139 (5) & (7) Company)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
18	Ghatampur Sugar Company Limited (Subsidiary of UPSSCL)	0.00	0.00	156.67	156.67	0.00	0.00	2.16	2.16	0.00
19	Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
20	Nandganj-Sihori Sugar Company Limited (Subsidiary of UPSSCL)	0.00	0.00	256.80	256.80	0.00	0.00	0.00	0.00	0.00
21	The Indian Turpentine and Rosin Company Limited	0.19	-	0.03	0.22	5.33	0.00	1.88	7.21	0.00
22	Uttar Pradesh Abscott Private Limited (Subsidiary of UPSICL))	0.00	0.00	0.05	0.05	0.00	0.00	0.00	0.00	0.00
23	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of UPSMDL))	0.00	0.00	6.59	6.59	11.02	0.00	0.00	11.02	0.00
24	Uttar Pradesh Instruments Limited (Subsidiary of UPSIDCL)	0.09	0.00	1.93	2.02	5.55	0.00	11.49	17.04	0.00

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		State Government	Central Government	Others	Total	State Government	Central Government	Others	Total	
(1)	(2)	3 (a)	3 (b)	3 (c)	3(d)	4 (a)	4 (b)	4 (c)	4(d)	(5)
25	Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of UPSICL)	0.00	0.00	0.02	0.02	0.00	0.00	0.00	0.00	0.00
26	Uttar Pradesh State Brassware Corporation Limited	5.28	0.10	0.00	5.38	1.94	0.00	0.00	1.94	0.00
27	Uttar Pradesh State Textile Corporation Limited (UPSTCL)	160.79	0.00	0.00	160.79	189.61	0.00	0.00	189.61	0.00
28	Uptron India Limited (Subsidiary of UPECL)	0.00	0.00	57.93	57.93	0.00	0.00	9.70	9.70	0.00
29	Uttar Pradesh State Handloom Corporation Limited	36.44	10.63	0.00	47.07	110.58	0.00	12.70	123.28	0.00
30	Uttar Pradesh State Leather Development and Marketing Corporation Limited	5.74	0.00	0.00	5.74	1.91	0.00	-	1.91	0.00
31	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	53.67	0.00	0.00	53.67	73.83	0.00	0.00	73.83	0.00
Sector wise Total		267.83	10.73	613.27	891.83	400.15	0.00	202.68	602.83	0.00
Power										
32	Sonebhadra Power Generation Company Limited	0.00	0.00	0.07	0.07	0.00	0.00	0.00	0.00	0.00
33	Yamuna Power Generation Corporation Limited	0.00	0.00	0.05	0.05	0.00	0.00	0.00	0.00	0.00
34	Southern UP Power Transmission Company Ltd.	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sector wise Total		0.00	0.00	0.12	0.12	0.00	0.00	0.00	0.00	0.00

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		State Government	Central Government	Others	Total	State Government	Central Government	Others	Total	
(1)	(2)	3 (a)	3 (b)	3 (c)	3(d)	4 (a)	4 (b)	4 (c)	4(d)	(5)
Service										
35	Agra Mandal Vikas Nigam Limited	1.00	0.00	0.00	1.00	0.05	0.00	0.00	0.05	0.00
36	Allahabad Mandal Vikas Nigam Limited	0.67	0.00	0.00	0.67	0.66	0.00	0.00	0.66	0.00
37	Bareilly Mandal Vikas Nigam Limited	1.25	0.00	0.00	1.25	0.00	0.00	0.00	0.00	0.00
38	Gorakhpur Mandal Vikas Nigam Limited	0.94	0.00	0.32	1.26	0.65	0.00	0.27	0.92	0.00
39	Lucknow Mandaliya Vikas Nigam Limited	0.70	0.00	0.00	0.70	0.86	0.00	0.00	0.86	0.00
40	Meerut Mandal Vikas Nigam Limited	1.00	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00
41	Moradabad Mandal Vikas Nigam Limited	0.25	0.00	0.00	0.25	0.65	0.00	0.00	0.65	0.00
42	Tarai Anusuchit Janjati Vikas Nigam Limited	0.45	0.00	0.00	0.45	1.25	0.00	0.00	1.25	0.00
43	Uttar Pradesh Bundelkhand Vikas Nigam Limited	1.23	0.00	0.00	1.23	0.05	0.00	0.00	0.05	0.00
44	Uttar Pradesh Chalchitra Nigam Limited	8.18	0.00	0.00	8.18	2.47	0.00	0.00	2.47	0.00
45	Uttar Pradesh Poorvanchal Vikas Nigam Limited	1.30	0.00	0.00	1.30	0.35	0.00	0.00	0.35	0.00
46	Varanasi Mandal Vikas Nigam Limited	0.70	0.00	0.00	0.70	0.00	0.00	0.30	0.30	0.00
Sector wise Total		17.67	0.00	0.32	17.99	6.99	0.00	0.57	7.56	0.00
Total C (All sector wise non working Government Companies)		428.81	12.64	616.85	1058.30	561.42	0.00	209.74	771.16	0.00
Grand Total (A + B + C)		1,01,717.35	533.81	49,581.44	1,51,832.60	12,328.95	88.32	74,770.07	87,187.34	52,843.82

Annexure- 1.2
(Referred to in Paragraph 1.1)
Summarised working results of Government companies and Statutory corporations (accounts of which are not in arrears for more than three years) as on 31 December 2017

(₹ in crore)

Sl. No.	Name of PSU	Year of finalised Account	Net profit/loss	Turnover
1	2	3	4	5
Profit making PSUs				
1	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	2016-17	0.02	0.22
2	Uttar Pradesh Bhumi Sudhar Nigam	2014-15	0.08	0.25
3	Uttar Pradesh Projects Corporation Limited	2014-15	6.22	524.85
4	Uttar Pradesh Police Avas Nigam Limited	2015-16	10.68	26.98
5	Uttar Pradesh State Construction & Infrastructure Corporation Limited	2015-16	11.63	662.91
6	Uttar Pradesh State Bridge Corporation Limited	2014-15	27.92	1,375.68
7	Almora Magnesite Limited	2016-17	0.48	24.56
8	Shretron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2016-17	0.76	36.91
9	Uptron Powertronics Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2016-17	1.46	28.22
10	Uttar Pradesh Electronics Corporation Limited	2015-16	1.28	30.53
11	UCM Coal Company Limited	2015-16	0.01	0.00*
12	Uttar Pradesh Jal Vidyut Nigam Limited	2014-15	27.88	98.58
13	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	2016-17	677.94	11,831.48
14	Uttar Pradesh Development Systems Corporation Limited	2014-15	1.79	7.78
15	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	2014-15	28.97	244.49
16	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2014-15	0.07	0.00*
17	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2015-16	5.40	0.00*
18	Nandganj-Sihori Sugar Company Limited (Subsidiary of State Sugar Corporation Limited)	2014-15	3.47	4.18
19	Uttar Pradesh State Warehousing Corporation	2014-15	87.07	353.97
20	Uttar Pradesh Forest Corporation	2015-16	4.26	381.29
21	Uttar Pradesh Avas Evam Vikas Parishad	2015-16	50.68	334.26
22	Uttar Pradesh State Road Transport Corporation	2015-16	15.90	3,333.04
Total			963.97	19,300.18
Loss making PSUs				
23	The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh Limited	2014-15	-11.93	0.18
24	Lucknow Metro Rail Corporation Limited	2016-17	-19.45	0.00*
25	NOIDA Metro Rail Corporation Limited	2016-17	-8.33	1.39
26	Uttar Pradesh State Spinning Company Limited	2015-16	-11.36	6.33

Sl. No.	Name of PSU	Year of finalised Account	Net profit/loss	Turnover
1	2	3	4	5
27	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	2016-17	-5.38	0.00*
28	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2014-15	-2,036.31	6,611.55
29	Kanpur Electricity Supply Company Limited	2014-15	-317.28	1,692.61
30	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2014-15	-965.09	5,949.66
31	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2014-15	-1,836.84	10,231.21
32	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2014-15	-1,317.99	6,052.56
33	Sonebhadra Power Generation Limited	2014-15	-0.01	0.00*
34	Uttar Pradesh Power Corporation Limited	2014-15	-12,669.08	36,838.75
35	Uttar Pradesh Power Transmission Corporation Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2014-15	-71.87	1,304.91
36	Yamuna Power Generation Corporation Limited	2014-15	-0.01	0.00*
37	Uttar Pradesh State Textile Corporation Limited	2015-16	-16.29	0.00*
38	Uttar Pradesh State Tourism Development Corporation Limited	2014-15	-5.13	31.08
39	Allahabad City Transport Services Limited	2014-15	-7.21	16.11
Total			-19,299.56	68,736.34
No profit no loss making PSUs				
40	Jawaharpur Vidyut Utpadan Nigam Limited	2015-16	0.00	0.00*
Total			0.00	0.00
Grand Total			-18,335.59	88,036.52

* These PSUs are either under construction stage or had no operational income.

Annexure-1.3

(Referred to in Paragraph 1.9)

Arrears of accounts of working and non-working PSUs as on 31 December 2017

Sl. No.	Name of the PSU	Year(s) for which Accounts are in arrears	Number of accounts in arrears
1	2	3	4
A. Working Companies			
1 to 2 years			
1	Uttar Pradesh Police Avas Nigam Limited	2016-17	1
2	Uttar Pradesh State Construction & Infrastructure Development Corporation Limited	2016-17	1
3	Uttar Pradesh State Spinning Company Limited	2016-17	1
4	Uttar Pradesh Electronics Corporation Limited	2016-17	1
5	UCM Coal Company Limited	2016-17	1
6	Jawaharpur Vidyut Utpadan Nigam Limited	2016-17	1
7	Uttar Pradesh Bhumi Sudhar Nigam	2015-16 and 2016-17	2
8	Uttar Pradesh Projects Corporation Limited	2015-16 and 2016-17	2
9	The Pradeshiya Industrial and Investment Corporation of U P Limited	2015-16 and 2016-17	2
10	Uttar Pradesh State Bridge Corporation Limited	2015-16 and 2016-17	2
11	Dakshninanchal Vidyut Vitran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2015-16 and 2016-17	2
12	Kanpur Electricity Supply Company Limited	2015-16 and 2016-17	2
13	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2015-16 and 2016-17	2
14	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2015-16 and 2016-17	2
15	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2015-16 and 2016-17	2
16	Uttar Pradesh Jal Vidyut Nigam Limited	2015-16 and 2016-17	2
17	Uttar Pradesh Power Corporation Limited	2015-16 and 2016-17	2
18	Uttar Pradesh Power Transmission Corporation Limited	2015-16 and 2016-17	2
19	Uttar Pradesh Development Systems Corporation Limited	2015-16 and 2016-17	2
20	Uttar Pradesh State Tourism Development Corporation Limited	2015-16 and 2016-17	2
21	Allahabad City Transport Services Limited	2015-16 and 2016-17	2
22	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	2015-16 and 2016-17	2

Sl. No.	Name of the PSU	Year(s) for which Accounts are in arrears	Number of accounts in arrears
1	2	3	4
3 to 5 years			
1	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	2014-15 to 2016-17	3
2	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited	2014-15 to 2016-17	3
3	Uttar Pradesh State Sugar Corporation Limited	2014-15 to 2016-17	3
4	UPSIDC Power Company Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	2014-15 to 2016-17	3
5	Uttar Pradesh Mahila Kalyan Nigam Limited	2014-15 to 2016-17	3
6	Uttar Pradesh Beej Vikas Nigam Limited	2013-14 to 2016-17	4
7	Uttar Pradesh State Industrial Development Corporation Limited	2013-14 to 2016-17	4
8	Uttar Pradesh Rajkiya Nirman Nigam Limited	2013-14 to 2016-17	4
9	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	2012-13 to 2016-17	5
6 to 10 years			
1	Uttar Pradesh State Agro Industrial Corporation Limited	2011-12 to 2016-17	6
2	Meerut City Transport Services Limited	2011-12 to 2016-17	6
3	Uttar Pradesh Matsya Vikas Nigam Limited	2010-11 to 2016-17	7
4	Uttar Pradesh Drugs and Pharmaceuticals Limited	2010-11 to 2016-17	7
5	Agra-Mathura City Transport Services Limited	2010-11 to 2016-17	7
6	Kanpur City Transport Services Limited (Incorporated w.e.f. 28-04-10)	2010-11 to 2016-17	7
7	Varanasi City Transport Services Limited (Incorporated w.e.f.15-06-10)	2010-11 to 2016-17	7
8	Uttar Pradesh Food and Essential Commodities Corporation Limited	2009-10 to 2016-17	8
9	Lucknow City Transport Services Limited	2009-10 to 2016-17	8
10	Uttar Pradesh Small Industries Corporation Limited	2008-09 to 2016-17	9
11	Uttar Pradesh Handicraft & Marketing Development Corporation Limited(Formerly Uttar Pradesh Export Corporation Limited)	2008-09 to 2016-17	9
11 to 20 years			
1	Uttar Pradesh Waqf Vikas Nigam Limited	2004-05 to 2016-17	13
2	Uttar Pradesh Alpsankhyak Vittiya Evam Vikas Nigam Limited	2003-04 to 2016-17	14
Total (A)			178

Sl. No.	Name of the PSU	Year(s) for which Accounts are in arrears	Number of accounts in arrears
1	2	3	4
B. Statutory Corporation (Working)			
1 to 2 years			
1	Uttar Pradesh Forest Corporation	2016-17	1
2	Uttar Pradesh Avas Evam Vikas Parishad	2016-17	1
3	Uttar Pradesh State Warehousing Corporation	2015-16 and 2016-17	2
4	Uttar Pradesh State Road Transport Corporation	2016-17	1
3 to 5 years			
1	Uttar Pradesh Financial Corporation	2013-14 to 2016-17	4
2	Uttar Pradesh Jal Nigam	2012-13 to 2016-17	5
Total (B)			14
Grand Total (A+B)			192
C. Non-Working Companies (Other than under liquidation)			
1 to 2 years			
1	Ghatampur Sugar Company Limited) subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2016-17	1
2	Uttar Pradesh State Textile Corporation Limited	2016-17	1
3	Chhata Sugar Company Limited) subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2015-16 and 2016-17	2
4	Nandganj-Sihori Sugar Company Limited) subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2015-16 and 2016-17	2
5	Sonebhadra Power Generation Company Limited	2015-16 and 2016-17	2
6	Yamuna Power Generation Corporation Limited	2015-16 and 2016-17	2
3 to 5 years			
7	Uttar Pradesh State Mineral Development Corporation Limited	2014-15 to 2016-17	3
8	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	2014-15 to 2016-17	3
9	Southern UP Power Transmission Company Ltd.	2014-15 to 2016-17	3
10	Uttar Pradesh Pashudhan Udhog Nigam Limited	2013-14 to 2016-17	4
6 to 10 years			
11	The Indian Turpentine and Rosin Company Limited	2011-12 to 2016-17	6
12	Uttar Pradesh Bundelkhand Vikas Nigam Limited	2011-12 to 2016-17	6
13	Uttar Pradesh Poultry and Livestock Specialities Limited	2010-11 to 2016-17	7
14	Uttar Pradesh Chalchitra Nigam Limited	2010-11 to 2016-17	7
15	Meerut mandal Vikas Nigam Limited	2009-10 to 2016-17	8
11 to 20 years			
16	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited	2002-03 to 2016-17	15

Sl. No.	Name of the PSU	Year(s) for which Accounts are in arrears	Number of accounts in arrears
1	2	3	4
17	Uttar Pradesh State Leather Development and Marketing Corporation Limited	2001-02 to 2016-17	16
18	Uttar Pradesh State Handloom Corporation Limited	1999-2000 to 2016-17	18
19	Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	1998-98 to 2016-17	19
20	Uttar Pradesh State Brassware Corporation Limited	1998-99 to 2016-17	19
Above 20 years			
21	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	1996-97 to 2016-17	21
22	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	1996-97 to 2016-17	21
23	Command Area Poultry Development Corporation Limited (139 (5) and (7) Company)	1995-96 to 2016-17	22
24	Moradabad Mandal Vikas Nigam Limited	1992-93 to 2016-17	25
25	Agra Mandal Vikas Nigam Limited	1989-90 to 2016-17	28
26	Bareilly Mandal Vikas Nigam Limited	1989-90 to 2016-17	28
27	Gorakhpur Mandal Vikas Nigam Limited	1989-90 to 2016-17	28
28	Uttar Pradesh Poorvanchal Vikas Nigam Limited	1988-89 to 2016-17	29
29	Varanasi Mandal Vikas Nigam Limited	1988-89 to 2016-17	29
30	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	1985-86 to 2016-17	32
31	Allahabad Mandal Vikas Nigam Limited	1984-85 to 2016-17	33
32	Tarai Anusuchit Janjati Vikas Nigam Limited	1983-84 to 2016-17	34
33	Lucknow Mandaliya Vikas Nigam Limited	1982-83 to 2016-17	35
Total (C)			509
D. Companies under liquidation			
1	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	2002-03	0
2	Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikas Nigam Limited	NA ¹	0
3	Uttar Pradesh Cement Corporation Limited	1996-97 to 1999-2000	0
4	Vindhyaachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	1988-89 to 2002-03	0
5	Auto Tractors Limited	1988-89 to 2002-03	0
6	Bhadohi Woolens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	1995-96	0

¹ The Company had submitted accounts for the year 2006-07 and went into liquidation from 01 July 2003. Therefore, there were no arrears in accounts.

Sl. No.	Name of the PSU	Year(s) for which Accounts are in arrears	Number of accounts in arrears
1	2	3	4
7	Continental Float Glass Limited	1998-99 to 2001-02	0
8	Electronics and Computers (India) Limited (139 (5) & (7) Company)	NA ²	0
9	Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.)	NA ²	0
10	Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	1976-77 to 1996-97	0
11	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	1993-94	0
12	Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	1975-76	0
Total (D)			0
Grand Total (A+B+C+D)			701

² The company went into liquidation in its year of incorporation without submitting any accounts.

Annexure – 1.4 (A)
(Referred to in Paragraph 1.9)
Name of directors in the Board of working PSUs

Sl. No.	Name of PSU	Names of Directors in the BoD
A. Working PSUs whose accounts are in arrears upto three years		
1	Uttar Pradesh Bhumi Sudhar Nigam	Shri Chandra Prakash
		Shri Satyendra Kumar Singh
		Shri Arvind Kumar Dhaka
		Shri Raj Pratap Singh
		Shri Chinta Mani Pandey
		Shri Amit Mohan Prasad
		Shri Rajiva Mohan
		Shri Suresh Chandra
		Shri Saroj Singh
		Shri Gyan Singh
		Shri Ajay Yadav
2	Uttar Pradesh Projects Corporation Limited	Shri Adesh Kumar Goel
		Smt. Nikhat Shamim
		Shri Chandra Kumar Verma
		Shri Ravi Sinha
		Shri Chandra Deo Ram
		Smt. Manju Shankar
		Shri Shailendra
		Shri Pradeep Kumar Singh
		Shri Bhupendra Sharma
		shri Sandeep Agarwal
		Shri VKL Srivastava
3	Uttar Pradesh Police Avas Nigam Limited	Shri Ajay Swarup Srivastava
		Ms. Manju Shanker
		Shri Ramesh Pratap Singh
		Shri Surya Narain Shukla
		Shri Prem Chand Meena
		Shri Prmod Kumar Tiwari
4	Uttar Pradesh State Construction & Infrastructure Corporation Limited	Shri Vinay Kumar Chaudhari
		Smt Anandeshwari Awasthi
		Shri Virendra Kumar Singh
		Shri V K L Srivastava
		Shri Bholu Singh
		Shri Mridul Lal
		Shri Pranjal Yadav
		Shri Alok Chauhan

Sl. No.	Name of PSU	Names of Directors in the BoD
		Shri Rajan Sengar
		Shri Munindra Kumar Singh
		Shri Manoj Singh
		Shri Rajeev Kumar Srivastava
		Shri Sunil Kumar Srivastava
		Shri Arvind Mohan Chitranshi
5	Uttar Pradesh State Bridge Corporation Limited	Shri N C Saxena
		Shri V K L Srivastava
		Shri Salek Chandra
		Shri Massarat Noor Khan
		Smt. Aradhana Shukla
		Shri Mukul Joshi
6	Uttar Pradesh Electronics Corporation Limited	Shri Rajeev Kumar Srivastava
		Shri N C Tripathi
		Dr V K Singh
		Shri Bharat Bhaskar
		Shri Rajendra Prasad Tiwari
		Shri Bhupendra S Chaudhary
		Shri Ajay Deep Singh
		Shri Surendra Vikram
		Shri Sanjiv Saran
7	UCM Coal Company Limited	Shri A. P. Mishra
		Shri J. K. Srinivasan
		Shri Sanjay Agarwal
		Shri Subodh Kumar Singh
		Shri Bipin Srimali
		Shri Shiv Ratan Sharma
		Ms. Reena Baba Saheb Kangale
		Shri Suresh Kumar Sharma
8	Uttar Pradesh Jal Vidyut Nigam Limited	Shri A K Agarwal
		Shri Yaadram
		Shri C K Verma
		Shri Manju Shankar
		Shri R P Gupta
		Shri S. K. Goel
		Shri P S Sharma
		Shri C D Ram
		Shri A K Singh
		Shri Neel Ratan Kumar

Sl. No.	Name of PSU	Names of Directors in the BoD
9	Uttar Pradesh Development Systems Corporation Limited	Shri Rajeev Kumar Srivastava
		Shri Naveen Chandra Tripathi
		Shri Hira Lal
		Shri Bhupendra S Chaudhary
		Shri Ajay Deep Singh
10	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	Brig. R D Singh
		Brig. Amulya Mohan
		Shri Rakesh Krishna
		Shri Mahendra Kumar
		Major Gen.A K Singh
		Shri Sandeep Kumar Singh
		Shri Ram Bahadur Singh
		Shri Amrendra Bahadur Singh
		Major Gen. Sanjay Saran
Shri Anandeshwary Awasthi		
11	The Pradeshiya Industrial and Investment Corporation of UP Limited	Shri Alok Ranjan
		Shri Mahesh Kumar Gupta
		Ms. Kanchan Verma
		Shri C. S. Banaudha
		Shri Deepak Singhal
		Shri Rahul Prasad Bhatnagar
		Shri Mukesh Mittal
		Shri M L Kashyap
		Shri Sanjay Agarwal
		Ms. Alaknanda Dayal
		Shri Rajiv Srivatava
Dr. Anil Kumar		
12	Uttar Pradesh State Spinning Company Limited	Shri S. K. Singh (BPE)
		Shri S. K. Singh Dy. Director (DI)
		Ms. Mridula Singh
		Shri S P Singh
		Mohd. Iftikharuddin
13	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Shri S.V.S. Rathore
		Shri N. C. Agarwal
		Shri S. C. Bharti
		Shri S. K. Mishra
14	Kanpur Electricity Supply Company Limited	Ms. Selva kumari J.
		Shri Kaushal Raj Sharma
		Shri Radhey Shyam Yadav

Sl. No.	Name of PSU	Names of Directors in the BoD
		Shri Vijay Singh Srivastava
		Shri Rakesh Kumar
		Shri Ranveer Prasad
		Shri Ashutosh Niranjana
		Shri Surendra Singh
		Ms. Saumya Agarwal
		Shri Alok Kumar
15	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Shri Anil Kumar Agarwal
		Shri Shameem Ahmad
		Shri Ved Prakash Verma
		Shri Vishal Chauhan
		Shri Satya Prakash Pandey
		Shri S C Gupta
		Shri Rakesh Kumar
		Ms. Selva Kumari J
		Shri Sudhir Kumar Verma
		Shri Sanjay Agrawal
		Shri Sudhanshu Dwivedi
16	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Shri Anil Kumar
		Shri Abhishek Prakash
		Shri Arvind Kumar Gupta
		Shri Arvind Rajvedi
		Shri Narendra Agrawal
		Shri Pawan Kumar Agarwal
17	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	Shri Vishal Chauhan
		Ms. Selva Kumari J
		Shri Sudhanshu Dwivedi
		Shri Anil Kumar Awasthi
		Shri Mohit Arya
		Shri Rakesh Kumar Verma
		Shri Ajit Singh
18	Uttar Pradesh Power Corporation Limited	Shri Sanjay Agarwal
		Shri A P Mishra
		Shri Sudhanshu Dwivedi
		Shri Satya Prakash Pandey
		Shri Krishna Murari Mittal
		Shri Ramanand Yadav
		Shri Sanjay Kumar Singh
		Shri Neel Ratan Kumar

Sl. No.	Name of PSU	Names of Directors in the BoD
		Shri Vishal Chauhan
		Ms. Neerja Krishna
		Ms. Manju Shankar
19	Uttar Pradesh Power Transmission Corporation Limited	Shri Neel Ratan Kumar
		Shri A.P. Mishra
		Shri Sanjay Agarwal
		Shri Pramod Gopal Rao Khandelkar
		Shri A.P. Singh
		Shri Ram Swarath
		Shri Vishal Chauhan
		Ms. Ritu Maheshwari
		Ms. Manju Shanker
		Shri B. K. Khare
		Shri Chandra Mohan
		Shri S. K. Mishra
20	Uttar Pradesh State Tourism Development Corporation Limited	Shri Sudeep Ranjan Sen
		Shri Aparna U
		Shri Sanjeev Saran
		Shri Vivek
		Shri S K Singh
		Shri Ram Rekha Pandey
		Shri Mahendra Kumar
		Shri Sarvagya Ram Mishra
		Shri O P Dwivedi
		Shri Navneet Sahgal
		Shri Ram Vishal Mishra
21	Jawaharpur Vidyut Utpadan Nigam Limited	Shri A K Agarwal
		Shri Rakesh Trivedi
		Shri Ayodhya Prasad Misra
		Shri Vishal Chauhan
		Shri B S Tiwari
		Shri Sudhanshu Dwivedi
		Shri Atul Nigam
22	Uttar Pradesh Mahila Kalyan Nigam Limited	Ms. Kusum Sharma
		Shri Satyendra Kumar Singh
		Shri Anandeshwary Awasthi
		Shri Nandlal Prasad
		Shri Ram Dulaey Kalyan
		Shri Ram Kewal

Sl. No.	Name of PSU	Names of Directors in the BoD
23	Allahabad City Transport Services Limited	Shri Harish Chandra
		Shri Rajan Shukla
		Shri Sanjay Kumar
		Shri Ajay Kumar Singh
		Shri Devendra Kumar Pandey
		Shri K L Emanual
		Shri Sheshmani Pandey
		Shri Bheemsen
		Shri Sagir Ahmad Ansari
B. Working PSUs whose accounts are in arrears over three years.		
1	Uttar Pradesh Beej Vikas Nigam Limited	Shri Satyendra Kumar Singh
		Shri Raj Pratap Singh
		Shri Amit Mohan Prasad
		Shri Surendra Lal Goswami
		Shri Saroj Singh
		Shri Gyan Singh
		Shri Yogendra Dutt Tripathi
		Shri Gaya Prasad
		Shri Santosh Kumar Khare
		Shri Onkar Prasad Srivastava
		Shri Dheeraj Kumar
2	Uttar Pradesh Matsya Vikas Nigam Limited	Shri Sudhir Mahadeo Bobde
		Shri Anil Kumar Sagar
		Shri Har Sharan Das
		Ms. Mridula Singh
		Shri Ram Raj
		Ms. Manju Shanker
		Ms. Anita Mishra
		Shri Prabhat Kumar Srivastava
		Shri Arvind Kumar Dhaka
		Shri Kailash Prakash
3	Uttar Pradesh State Agro Industrial Corporation Limited	Shri Harminder Raj Singh
		Shri Jagdeesh Prasad
		Shri Narendra Sigh Kushwaha
		Shri Javed Iqbal
		Shri Padamm Sen Chaudhary
		Shri Nathulal Gangwar
4	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	Shri Akhtar Ali Naqvi
		Shri Vinay Kumar Chaudhary

Sl. No.	Name of PSU	Names of Directors in the BoD
		Shri Rajendra Kumar Verma
		Shri Nathulal Gangwar
		Ms. Sushama Tiwari
		Shri Vimal Kishore Gupta
5	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	Shri Kedarnath
		Shri Vijay Bahadur Singh
		Shri Manoj Singh
		Shri Surendra Vikram
		Shri Dheeraj Kushwaha
		Shri A M Chitansi
		Shri K Narayana
6	Uttar Pradesh State Industrial Development Corporation Limited	Shri Alok Ranjan
		Shri Manoj Singh
		Shri L Venketeshwar Loo
		Ms. J Selva Kumari
		Shri Mahesh Kumar Gupta
		Shri Hrishikesh Bhaskar
		Shri Mukul Joshi
		Shri Nina Sharma
		Shri Sanjay Agarwal
		Shri Amit Kumar Ghosh
		Ms. Alaknanda Dayal
		Shri Ranvir Prasad
7	Uttar Pradesh Drugs and Pharmaceuticals Limited	Shri Devender Singh
		Shri Rajendra Kumar Gupta
		Shri Arvind Sonkar
		Shri Lokesh Kumar
8	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited	Shri Avinash Chandra Dwivedi
		Shri Vipin Kr. Dwivedi
		Shri A K Bisaria
		Shri S K Mehra
		Ms. Uma Dwivedi
		Shri Tulsi Ram
9	Uttar Pradesh Small Industries Corporation Limited	Shri Hulash Rai Singhal
		Shri Sandeep Bansal
		Shri Manoj Singh
		Shri Amit Kumar Ghosh
		Ms. Jaishri Bhoj
		Shri Vivek

Sl. No.	Name of PSU	Names of Directors in the BoD
		Shri Arvind Kumar Singh
		Shri Akhilesh Kumar
		Ms. Mridula Singh
10	Uttar Pradesh State Sugar Corporation Limited	Shri Avinash Chandra Dwivedi
		Shri Vipin Kr. Dwivedi
		Ms. Uma Dwivedi
		Shri Tulsi Ram
		Shri Navin Chandra Tripathi
		Shri Mukul Joshi
11	UPSIDC Power Company Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	Shri Manoj Singh
		Shri Amit Kumar Ghosh
		Shri Sushil Kumar Yadav
		Shri Arun Misra
		Shri Pankaj Pandey
		Shri A. K. Trivedi
12	Uttar Pradesh Handicraft & Marketing Development Corporation Limited (Formerly Uttar Pradesh Export Corporation Limited)	Shri Markandey Singh
		Shri Gyanendra Nath Pandey
		Shri Praveen Kumar Singh
		Shri Vijay Kant Dubey
		Shri Dinesh Saxena
		Shri Rajeev Kumar Srivastava
13	Lucknow City Transport Services Limited	Shri T Venktesh
		Shri Anup Pandey
		Shri Bhuvnesh Kumar
		Shri S P Singh
		Shri A Rahman
		Shri Rajshekhar
		Shri Satyendra Singh
		Shri Gaurishankar Priyadarshi
		Shri Yashwi Yadav
		Shri Manjil Saini
		Shri Anup Kumar Yadav
		Shri Udayraj Singh
		Shri Sagir Ahmad Ansari
		Shri Ajay Kumar Tripathi
14	Meerut City Transport Services Limited	Shri Alok Sinha
		Shri Navdeep Rinva
		Shri Pankaj Yadav
		Shri Jagat Rao
		Shri Sandeep Laha

Sl. No.	Name of PSU	Names of Directors in the BoD
		Ms. B Chandrakala
		Shri Dilip Dixit
		Ms. Mamta Sharma
		Shri Dinesh Chandra Dubey
		Shri J Ravindra Gaur
		Shri Umesh Pratap Singh
		Shri Devendra Kumar Singh Kushwaha
		Shri Rajesh Kumar
		Shri Yogendra Yadav
15	Agra-Mathura City Transport Services Limited	Shri Pradeep Bhatnagar
		Shri Chandrakant
		Ms. Manisha Trighatiya
		Shri Pankaj Kumar
		Shri Gaurav Dayal
		Shri Pritendra Singh
		Ms. Mala Srivastav
		Shri Ajay Yadav
		Shri Indra Vikram Singh
		Shri Jagdish Singh Kushwaha
		Shri Vijay Kumar
		Shri Nikhil Chandra Shukla
		Shri S B Singh
		Shri Vijay Kumar
		Shri Rakesh Singh
		Shri Bablu Kumar
		Shri Mohit Gupta
		Shri Nitin Bansal
		Dr. Brijesh Kumar
16	Kanpur City Transport Services Limited	Mohd. Iftikharuddin
		Shri Kaushalraj Sharma
		Shri Shalabh Mathur
		Shri Neeraj Saxena
		Shri H N Singh
		Shri Akash Kulhari
		Ms. Jaishri Bhoj
		Shri Vivek Kumar
		Shri Devendra Singh Kushwaha
		Shri Umesh Pratap Singh
		Shri V K Singh

Sl. No.	Name of PSU	Names of Directors in the BoD
17	Varanasi City Transport Services Limited	Shri Nitin Ramesh Gokarn,
		Shri Rajmani Yadav
		Shri Vijay Kiran Anand
		Shri Yogeshwar Ram Mishra
		Shri Akash Kulhari
		Shri Nitin Tiwari
		Shri Haripratap Shahi
		Shri Pushpsen Satyarthi
		Shri Radheshyam
		Shri P K Tiwari
		Shri Prakash Chandra Srivastav
18	Uttar Pradesh Rajkiya Nirman Nigam limited	Shri Salek Chandra
		Ms. Aradhana Shukla
		Shri Anurag Yadav
		Shri Mukul Joshi
		Shri Sudhir Garg
		Shri Rang Nath Pandey
		Shri V K Singh
		Shri Masarat Noor Khan
		Shri Sadakant
		Shri Rajiv Srivastava
		Ms. Malini Sharma
		Shri Mahendra Kumar

Annexure- 1.4 (B)

(Referred to in Paragraph 1.9)

Names of officers who are directors of more than one PSU whose accounts are in arrears

Sl. No.	Name of Director	Name of Company
1	Ms. Manju Shankar	Uttar Pradesh Police Avas Nigam Limited
		Uttar Power Corporation Ltd
		Uttar Pradesh Power Transmission Corporation Limited
		Southern UP Power Transmission Corporation Ltd.
		Uttar Pradesh Matsya Vikas Nigam Limited
2	Shri Bhupendra Sharma	Uttar Pradesh Projects Corporation Limited
		Uttar Pradesh Jal Vidyut Nigam Limited
3	Shri V K L Srivastava	Uttar Pradesh State Construction and Infrastructure Corporation Limited
		Uttar Pradesh State Bridge Corporation Limited
4	Shri Manoj Singh	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited
		Uttar Pradesh State Industrial Development Corporation Limited
		UPSIDC Power Company Limited
		Uttar Pradesh State Construction and Infrastructure Corporation Limited
		Uttar Pradesh Small Industries Corporation Limited
5	Shri Alok Ranjan	Uttar Pradesh State Industrial Development Corporation Limited
		The Pradeshiya Industrial and Investment Corporation of UP Limited
		NOIDA Metro Rail Corporation Limited
6	Shri Mahesh Kumar Gupta	Uttar Pradesh State Industrial Development Corporation Limited
		The Pradeshiya Industrial and Investment Corporation of UP Limited
		NOIDA Metro Rail Corporation Limited
7	Shri Mukul Joshi	Uttar Pradesh State Industrial Development Corporation Limited
		Uttar Pradesh Rajkiya Nirman Nigam Limited
		Uttar Pradesh State Bridge Corporation Limited
		Uttar Pradesh State Leather Development and Marketing Corporation Limited
		Uttar Pradesh State Sugar Corporation Limited
8	Shri Sanjay Agarwal	Uttar Pradesh State Industrial Development Corporation Limited
		The Pradeshiya Industrial and Investment Corporation of UP Limited
		UCM Coal Company Limited
		Uttar Pradesh Power Corporation Limited

Sl. No.	Name of Director	Name of Company
9	Shri V K Singh	Allahabad City Transport Services
		Kanpur City Transport Services Limited
10	Shri Mahendra Kumar	Uttar Pradesh Rajkiya Nirman Nigam Limited
		Uttar Pradesh Jal Vidyut Nigam Limited
		Uttar Pradesh State Tourism Development Corporation Limited
		Uttar Pradesh Purva Sainik Kalyan Nigam Limited
11	Shri Rajan Mittal	Uttar Pradesh Rajkiya Nirman Nigam Limited
		Uttar Pradesh State Bridge Corporation Limited
12	Shri Arvind Kumar Gupta	Uttar Pradesh State Bridge Corporation Limited
		Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)
13	Shri Rama Raman	Yamuna Power Generation Corporation Limited
		NOIDA Metro Rail Corporation Limited
14	Shri R K Tiwari	Shretron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)
		Uptron Powertronics Ltd. (subsidiary of Uttar Pradesh Electronics Corporation)
15	Shri Sanjiv Saran	Shretron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)
		Uptron Powertronics Ltd. (subsidiary of Uttar Pradesh Electronics Corporation)
		Uttar Pradesh Tourism Development Corporation Limited
		Uttar Pradesh Electronics Corporation Limited.
16	Shri G S Naveen Kumar	Shretron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)
		Uptron Powertronics Ltd. (subsidiary of Uttar Pradesh Electronics Corporation)
17	Shri Rajeev Srivastava	Uttar Pradesh Rajkiya Nirman Niagam Limited Electronics Corporation Limited.
		The Pradeshiya Industrial and Investment Corporation of UP Limited
18	Shri Avinash Chandra Dwivedi	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited
		Uttar Pradesh State Sugar Corporation Limited
19	Ms. Uma Dwivedi	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited
		Uttar Pradesh State Sugar Corporation Limited
20	Shri Tulsi Ram	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited
		Uttar Pradesh State Sugar Corporation Limited
21	Shri Pankaj Kumar	Uttar Pradesh State Leather Development and Marketing Corporation Limited
		Agra-Mathura City Transport Services Limited
22	Shri Gaurav Dayal	Uttar Pradesh State Leather Development and Marketing Corporation Limited
		Agra-Mathura City Transport Services Limited

Sl. No.	Name of Director	Name of Company
23	Shri S P Singh	Uttar Pradesh State Spinning Company Limited
		Lucknow City Transport Services Limited
24	Ms. Prabhawati Singh	Uttar Pradesh State Spinning Company Limited
		Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)
25	Shri S K Singh	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)
		Uttar Pradesh State Tourism Development Corporation Limited
26	Shri Vishal Chauhan	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)
		Purvanchal Vidyut Vitran Niagm Liomitwed (Subsidiary of Uttar Pradesh Power Corporation Limited)
		Uttar Pradesh Power Transmission Corporation Limited
		Uttar Pradesh Power Corporation Limited
27	Shri Rakesh Kumar	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)
		Kanpur Electricity Supply Company Limited
		Uttar Pradesh Purva Sainik Kalyan Nigam Limited
28	Shri Sudhanshu Dwivedi	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)
		Sonebhadra Power Generation Company Limited
		Purvanchal Vidyut Vitran Niagm Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)
		Jawaharpur Vidyut Utpadan Nigam Limited
29	Ms. Selva Kumari J.	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)
		Kanpur Electricity Supply Company Limited
		Purvanchal Vidyut Vitran Niagm Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)
30	Shri A P Mishra	Uttar Pradesh Power Transmission Corporation Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)
		UCM Coal Company Limited
		Sonebhadra Power Generation Company Limited
		Jawaharpur Vidyut Utpadan Nigam Limited
31	Shri Ramanand Yadav	Sonebhadra Power Generation Company Limited
		Uttar Pradesh Power Corporation Limited
32	Shri A K Agarwal	Uttar Pradesh Jal Vidyut Nigam Limited
		Jawaharpur Vidyut Utpadan Nigam Limited
33	Shri S K Mishra	Dakshinanchal Vidyut Vitran Nigam Limited
		Southern UP Power Transmission Corporation Ltd.

Sl. No.	Name of Director	Name of Company
34	Shri Neel Ratan Kumar	Uttar Pradesh Jal Vidyut Nigam Limited
		Uttar Pradesh Power Corporation Limited
		Southern UP Power Transmission Corporation Ltd.
		Uttar Pradesh Power Transmission Corporation Limited
35	Shri Umesh Pratap Singh	Meerut City Transport Services Limited
		Kanpur City Transport Services Limited
36	Shri Akash Kulhari	Kanpur City Transport Services Limited
		Varanasi City Transport Services Limited
37	Shri Akhilesh Kumar	Uttar Pradesh Small Industries Corporation Limited
		Uttar Pradesh State Leather Development and Marketing Corporation Limited
38	Mohd. Iftikharuddin	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)
		Uttar Pradesh State Spinning Company Limited
		Kanpur City Transport Services Limited
39	Ms. Alaknanda Dayal	The Pradeshiya Industrial and Investment Corporation of UP Limited
		Uttar Pradesh State Industrial Development Corporation Limited
40	Ms. Jaishri Bhoj	Uttar Pradesh Small Industries Corporation Limited
		Kanpur City Transport Services Limited
41	Ms. Mridula Singh	Uttar Pradesh State Yarn Company Limited
		Uttar Pradesh State Spinning Company Limited
		Uttar Pradesh Small Industries Corporation Limited
		Uttar Pradesh Matsya Vikas Nigam Limited
		Uttar Pradesh State Handloom Corporation Limited
42	Ms. Ritu Maheshwari	Uttar Pradesh Power Transmission Corporation Limited
		Southern UP Power Transmission Corporation Ltd.
43	Shri Ajay Deep Singh	Uttar Pradesh Electronics Corporation Limited.
		Uttar Pradesh Development Syatems Corporation Limited

Annexure-1.5

(Referred to in Paragraph 1.11)

Equity, Loans, Grants and Guarantees by the State Government in PSUs whose accounts were in arrears as on 31 December 2017

Sl. No.	Name of PSU	Years from which Accounts are in arrears	Equity, Loans, Grants and others by State Government during last three years for which Accounts are in arrears				
			Equity	Loans	Capital Grant	Others	Total
1	2	3	4	5	6	7	8
A. Working Government Companies							
1 to 2 years							
1	Uttar Pradesh State Spinning Company Limited	2016-17	0.00	8.62	0.00	0.00	8.62
2	Uttar Pradesh Electronics Corporation Limited	2016-17	25.41	0.00	39.12	0.00	64.53
3	Uttar Pradesh Bhumi Sudhar Nigam	2015-16	0.00	0.00	330.00	0.00	330.00
4	Lucknow Metro Rail Corporation Limited	2015-16	510.00	185.00	473.00	0.00	1,168.00
5	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	2015-16	1,184.81	0.00	0.00	0.00	1,184.81
6	Uttar Pradesh Power Corporation Limited	2015-16	35,411.55	3,700.32	0.00	0.00	39,111.87
7	Paschimanchal Vidyut Vitran Nigam Limited	2015-16	0.00	0.00	0.00	3,453.66	3,453.66
8	Uttar Pradesh Power Transmission Corporation Limited	2015-16	4,968.89	0.00	0.00	0.00	4,968.89
9	Uttar Pradesh Development Systems Corporation Limited	2015-16	0.00	20.00	4.00	0.00	24.00
10	Purvanchal Vidyut Vitran Nigam Limited	2015-16	2,073.26	0.00	0.00	2,076.22	4,149.48
3 to 5 years							
1	Uttar Pradesh Scheduled Caste Finance and Development Corporation Limited	2014-15	0.00	0.00	0.00	151.16	151.16
2	Uttar Pradesh State Tourism Development Corporation Limited	2014-15	28.00	0.00	0.00	0.00	28.00
3	Kanpur Electricity Supply Company Limited	2014-15	0.00	0.00	0.00	159.12	159.12
4	Allahabad City Transport Services Limited	2014-15	0.00	0.00	5.49	0.00	5.49
6 to 13 years							
1	Meerut City Transport Services Limited	2011-12	0.00	0.00	3.95	0.00	3.95

Sl. No.	Name of PSU	Years from which Accounts are in arrears	Equity, Loans, Grants and others by State Government during last three years for which Accounts are in arrears				
			Equity	Loans	Capital Grant	Others	Total
1	2	3	4	5	6	7	8
2	Uttar Pradesh State Agro Industrial Corporation Limited	2010-11	0.00	66.00	0.00	0.00	66.00
3	Varanasi City Transport Services Limited	2010-11	0.00	0.00	2.54	0.00	2.54
4	Uttar Pradesh State Food & Essential Corporation Limited	2009-10	5.50	81.88	0.00	0.00	87.38
5	U.P. Handicrafts & Marketing Development Corporation Limited	2007-08	0.00	0.00	5.30	0.00	5.30
6	Uttar Pradesh Waqf Vikas Nigam Limited	2004-05	1.50	0.00	0.00	0.00	1.50
Total (A)			44,208.92	4,053.20	863.40	5,840.16	54,965.68
B. Working Statutory Corporations							
1 to 2 years							
1	Uttar Pradesh State Road Transport Corporation	2015-16	100.00	50.00	0.00	0.00	150.00
3 to 6 years							
1	Uttar Pradesh Jal Nigam	2011-12	0.00	0.00	1,157.37	0.00	1,157.37
Total (B)			100.00	50.00	1,157.37	0.00	1,307.37
Total (A+B)			44,308.92	4,103.20	2,020.77	5,840.16	56,273.05
C Non-Working Companies							
1 to 2 years							
1	Uttar Pradesh State Textile Corporation Limited	2016-17	0.00	1.39	0.00	0.00	1.39
2	Uttar Pradesh State Yarn Company Limited	2016-17	0.00	4.96	0.00	0.00	4.96
3	Chhata Sugar Company Limited	2015-16	0.00	0.68	0.00	0.00	0.68
Total (C)			0.00	7.03	0.00	0.00	7.03
Total (A+B+C)			44,308.92	4,110.23	2,020.77	5,840.16	56,280.08

Annexure-1.6

(Referred to in Paragraph 1.12)

Details of major/serious irregularities noticed during audit of accounts of Statutory corporations

S. No.	Irregularities noticed as per respective Separate Audit Report
A.	Uttar Pradesh Jal Nigam (2011-12)
1	Unsecured Loans from Government of Uttar Pradesh was understated by ₹ 141.85 crore due to execution of world bank loan of ₹ 42.29 crore received through the state Government during 1990-91 to 1995-96 under Current Liabilities instead of Unsecured Loan and non accountal of accrued interest of ₹ 99.56 crore thereupon.
2	Miscellaneous Security Deposit has been shown net of debit balance of ₹ 3.62 crore. This has resulted in understatement of Current Assets and Current Liabilities and Provision both by ₹ 3.62 crore.
3	Deposit received for projects has been shown net of debit balance of ₹ 56.78 crore resulting in understatement of Current Assets as well as Current Liabilities and Provisions by ₹ 56.78 crore each.
4	Accumulated deficit and Current liabilities and Provision have been understated by ₹ 39.05 crore due to not crediting of interest earned on works FDRs/ Saving bank accounts to the respective clients accounts instead of treating as own income.
5	Liabilities as well as Expenditure has been understated and surplus for the year has been overstated by ₹ 31.37 crore due to non provision for pension and gratuity at revised rates.
6	Project (Material) and Current Liabilities have been understated by ₹ 23.06 crore due to showing the balances of project material net of credit balances of ₹ 23.06 crore.
7	Work in progress has been understated by ₹ 10.12 crore due to accountal of centage on work cost at the rate lower than admissible rate of 12.5 per cent during the year 2009-10.
8	Work in progress has been overstated and "Completed Rural Water Project" maintained by U P Jal Nigam has been understated by ₹ 48.29 crore due to non capitalization of completed project at Babina (Jhansi) in 1996-97.
9	Work in progress has been overstated and Loans & Advances has been understated by ₹ 5.64 crore being unadjusted advance given for work to U P Development System Corporation, National Informatics Centre Services and Director, C & DS during March 2003 to February 2007.
10	Work in progress has been overstated by ₹ 31.21 crore due to non capitalization of 44 schemes that have already been completed by Ganga Pollution Control Unit Varanasi under Ganga Action Plan.
11	Balance with Banks has been overstated and Fixed deposits as well as Interest income has been understated by ₹ 49.55 crore, ₹ 49.70 crore and ₹ 0.15 crore respectively due to non accountal of Flexi FDRs with various banks and accrued interest of ₹ 14.68 lakh on those FDRs.
12	Work in progress under Current Assets of C & DS as per Schedule 11 and Current Liabilities have been overstated by ₹ 404.23 crore due to non adjustment of works completed and handed over to the clients during 1995 to March 2012.
13	Income from financing activities includes Interest of ₹ 31.55 crore earned on investment of funds received for deposit works pertaining to the year 2008-09 to 2010-11 which should have been credited to clients account instead of accounting as income from financing activities.
B.	Uttar Pradesh Avas Evam Vikas Parishad (2015-16)
1	Self finance Scheme Deposits has been overstated and excess of Income over expenditure and Parishad Fund have been understated by ₹ 22.63 crore due to inclusion of interest and penal interest received during the year 2014-15 in deposits instead of recognizing the same as income for the year.
2	Revolving Fund has been understated by ₹ 7.09 crore (including ₹ 0.44 crore released from Infrastructure fund) due to non transfer of fund to this account although ₹ 7.09 crore was required to be credited to this fund. This has resulted in overstatement of Infrastructure fund by ₹ 0.44 crore and understatement of Money in transit by ₹ 6.65 crore.

S. No.	Irregularities noticed as per respective Separate Audit Report
3	Work in progress and Deposit work Liabilities have been overstated by ₹ 105.78 crore due to non making of necessary accounting entries in respect of 34 works completed at a cost of ₹ 105.78 crore during May 2014 to March 2016 and handed over to the client.
4	Public Loans under Sundry Receivables have been overstated and Provision for unrealized installments have been understated by ₹ 6.38 crore due to non provision for doubtful receivables.
5	Properties and Net provisioning for installments not yet due have been overstated by ₹ 7993.10 crore due to adoption of net opening balance as ₹ 2913.63 crore instead of ₹ 2095.61 crore, wrong carryover of figures in format EM-51 (₹ 552.51 crore) from format EM-45 (₹ 271.92 crore) and format EM-43 (₹ 299.30 crore).
6	Interest on saving bank/ flexi accounts does not include a sum of ₹ 5.10 crore being interest income credited by banks by 31 March 2017.
7	The Infrastructure fund to be created as per Significant Accounting policies was not adequately represented by the corresponding investment and was short represented by ₹ 264.83 crore as against Liabilities of ₹ 603.56 crore towards the Infrastructure fund, the availability of fund with the Parishad was ₹ 338.73 crore.
8	The Parishad has neither made any provision in its books of account to meet out the financial burden of ₹ 278 crore on account of arrears of pension as per decision dated 13 May 2015 in compliance to Hon'ble Supreme Court Award in September 2014 nor made any disclosure to this effect in notes on accounts.
9	The opening balance of Interest payable on registration money as per Balance Sheet (₹ 22.42 crore) was not reconciled with source document i.e. Form EM-41 (₹ 22.11 crore).
10	The opening balance of net provisioning/ Interest reversal required at year end (₹ 557.68 crore) as per Balance sheet was not reconciled with the opening balance of source document i.e. Form EM-45 (₹ 559 crore).
C	Uttar Pradesh State Road Transport Corporation (2014-15)
1	Fixed Assets and Passenger Amenity fund both have been overstated by ₹ 1.08 crore due to capitalization of the Land measuring seven acre at ₹ 1.08 crore instead of debited the same in Passenger Amenity Fund.
2	Sundry Debtors and Profit for the year were overstated by ₹ 2.09 crore and ₹ 0.52 crore respectively and accumulated loss understated by ₹ 1.57 crore due to accountal of ground rent from Allahabad City Transport Services Ltd for the year 2011-12 to 2014-15 whereas ground rent was not to be charged from Allahabad City Transport Services Ltd as per Government directives.
3	During the years 2010-11 to 2014-15, UPSRTC incurred expenditure of ₹ 15.97 crore on purchase, maintenance and stationery related to computer out of information Technology Fund. The assets created against the expenditure of ₹ 15.97 crore has not been disclosed in the accounts.
D	Uttar Pradesh Forest Corporation (2014-15)
1	Current Liabilities did not include a sum of ₹ 186.55 crore (including Contingent liabilities of ₹ 88.74 crore) payable to Uttaranchal Forest Development Corporation on account of liability for payment towards distribution of Free Reserve & Surplus and Stores after adjusting Stores of ₹ 53.77 crore. This has resulted in understatement of Current Liabilities and overstatement of Reserve & Surplus by ₹ 97.81 crore

Annexure-1.7

(Referred to in Paragraph 1.13)

Summarised financial position and working results of Government companies and Statutory corporations (accounts of which are not in arrears for more than three years) as per their latest finalised financial statements

(figures in column 4 to 9 are ₹ in crore and 10 to 12 in per cent)

Sl. No.	Name of PSU	Year of finalised Account	Net profit /loss before dividend, interest and tax	Net profit/loss after tax and dividend	Turnover	Investment €	Shareholders fund [¥]	Capital employed [#]	Return on Capital employed (ROCE) ^u	Return on investment (ROI) ^β	Return on equity (ROE) [∞]
									(4/9)	(4/7)	(5/8)
1	2	3	4	5	6	7	8	9	10	11	12
Financial year 2014-15											
Profit making PSUs											
1	Uttar Pradesh Projects Corporation Limited	2014-15	11.15	6.22	524.85	62.02	62.02	62.02	17.98	17.98	10.03
2	Uttar Pradesh Bhumi Sudhar Nigam	2014-15	0.08	0.08	0.25	2.24	2.24	2.24	3.57	3.57	3.57
3	Uttar Pradesh State Bridge Corporation Limited	2014-15	47.03	27.92	1,375.68	187.66	187.66	187.66	25.06	25.06	14.88
4	Uttar Pradesh Development Systems Corporation Limited	2014-15	2.66	1.79	7.78	6.18	6.18	6.18	43.04	43.04	28.96
5	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	2014-15	28.97	28.97	244.49	149.78	149.78	149.78	19.34	19.34	19.34
6	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2014-15	1.07	0.07	0.00	-105.39	-105.39	-105.39	0.00 [▲]	0.00 [▲]	0.00 [▲]
7	Uttar Pradesh State Warehousing Corporation	2014-15	87.07	87.07	353.97	433.79	433.79	433.79	20.07	20.07	20.07
8	Uttar Pradesh Forest Corporation	2014-15	1.01	1.01	451.66	1,408.32	1,408.32	1,408.32	0.07	0.07	0.07

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Sl. No.	Name of PSU	Year of finalised Account	Net profit /loss before dividend, interest and tax	Net profit/loss after tax and dividend	Turnover	Investment €	Shareholders fund ¥	Capital employed #	Return on Capital employed (ROCE) ^a	Return on investment (ROI) ^b	Return on equity (ROE) ^c
									(4/9)	(4/7)	(5/8)
1	2	3	4	5	6	7	8	9	10	11	12
9	Uttar Pradesh Avas Evam Vikas Parishad	2014-15	32.71	32.71	498.59	4,696.58	4,696.58	4,696.58	0.70	0.70	0.70
10	Uttar Pradesh Jal Vidyut Nigam Limited	2014-15	50.41	27.88	98.58	172.15	107.50	172.15	29.28	29.28	25.93
11	Nandganj-Sihori Sugar Company Limited (Subsidiary of State Sugar Corporation Limited)	2014-15	3.47	3.47	4.18	-241.41	-241.41	-241.41	0.00 [▲]	0.00 [▲]	0.00 [▲]
12	Ghatampur Sugar Company Limited (Subsidiary of State Sugar Corporation Limited)	2014-15	0.31	0.31	0.00	-155.56	-155.56	-155.56	0.00 [▲]	0.00 [▲]	0.00 [▲]
13	Uttar Pradesh Power Transmission Corporation Limited	2014-15	324.87	-71.87	1,304.91	6,039.41	6,039.41	6,039.41	5.38	5.38	-1.19
14	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	2014-15	871.06	76.16	8,251.26	8,951.28	8,951.28	8,951.28	9.73	9.73	0.85
15	Almora Magnesite Ltd.	2014-15	0.44	0.2	28.85	0.98	0.98	0.98	44.90	44.90	20.41
16	Uttar Pradesh Electronics Corporation Limited	2014-15	2.46	1.48	33.58	209.03	95.87	209.03	1.18	1.18	1.54
17	Uttar Pradesh Police Avs Nigam Limited	2014-15	7.09	4.65	127.66	17.71	17.71	17.71	40.03	40.03	26.26
18	Shretron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2014-15	0.27	0.18	12.60	1.91	1.91	1.91	14.14	14.14	9.42

Sl. No.	Name of PSU	Year of finalised Account	Net profit /loss before dividend, interest and tax	Net profit/loss after tax and dividend	Turnover	Investment €	Shareholders fund¥	Capital employed#	Return on Capital employed (ROCE) ^a	Return on investment (ROI) ^b	Return on equity (ROE) ^c
									(4/9)	(4/7)	(5/8)
1	2	3	4	5	6	7	8	9	10	11	12
19	Uptron Powertronics Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2014-15	1.45	1.08	30.20	-3.94	-3.94	-3.94	0.00 [▲]	0.00 [▲]	0.00 [▲]
20	Uttar Pradesh State Road Transport Corporation	2014-15	3.96	3.96	3,241.40	-495.26	-545.26	-495.26	0.00 [▲]	0.00 [▲]	0.00 [▲]
Total (A)			1,467.28	224.45	16,590.49	22,339.04	22,161.23	22,339.04	6.57	6.57	1.01
Loss making PSUs											
21	NOIDA Metro Rail Corporation Limited	2014-15	-1.09	-1.81	0	-1.76	43.24	-1.76	0.00 [▲]	0.00 [▲]	0.00 [▲]
22	The Pradeshiya Industrial and Investment Corp. of Uttar Pradesh Limited	2014-15	-8.99	-11.93	0.18	-240.62	-244.62	-240.62	0.00 [▲]	0.00 [▲]	0.00 [▲]
23	Uttar Pradesh State Textile Corporation Limited	2014-15	-1.62	-8.48	0	-342.51	-342.51	-342.51	0.00 [▲]	0.00 [▲]	0.00 [▲]
24	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	2014-15	-235.99	-2,036.31	6,611.55	-20,222.80	-20,222.80	-20,222.8	0.00 [▲]	0.00 [▲]	0.00 [▲]
25	Kanpur Electricity Supply Company Limited	2014-15	-43.26	-317.28	1,692.61	-3,523.88	-3,523.88	-3,523.88	0.00 [▲]	0.00 [▲]	0.00 [▲]
26	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	2014-15	-73.83	-965.09	5,949.66	-12,431.69	-12,431.69	-12,431.69	0.00 [▲]	0.00 [▲]	0.00 [▲]
27	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	2014-15	-828.51	-1,836.84	10,231.21	-12,363.56	-12,363.56	-12,363.56	0.00 [▲]	0.00 [▲]	0.00 [▲]

Audit Report on Public Sector Undertakings for the year ended 31 March 2017

Sl. No.	Name of PSU	Year of finalised Account	Net profit /loss before dividend, interest and tax	Net profit/loss after tax and dividend	Turnover	Investment €	Shareholders fund¥	Capital employed#	Return on Capital employed (ROCE) ^a	Return on investment (ROI) ^b	Return on equity (ROE) ^c
									(4/9)	(4/7)	(5/8)
1	2	3	4	5	6	7	8	9	10	11	12
28	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	2014-15	-163.92	-1,317.99	6,052.56	-16,428.37	-16,428.27	-16,428.37	0.00 [▲]	0.00 [▲]	0.00 [▲]
29	Sonebhadra Power Generation Limited	2014-15	-0.01	-0.01	0.00	-5.01	-5.01	-5.01	0.00 [▲]	0.00 [▲]	0.00 [▲]
30	Uttar Pradesh Power Corporation Limited	2014-15	-12,524.85	-12,669.08	36,838.75	38,897.27	29,113.83	38,897.27	-32.20	-32.20	-43.52
31	Yamuna Power Generation Corporation Limited	2014-15	-0.01	-0.01	0.00	-2.50	-2.50	-2.5	0.00 [▲]	0.00 [▲]	0.00 [▲]
32	UCM Coal Company Limited	2014-15	-0.05	-0.05	0.00	-0.08	-0.08	-0.08	0.00 [▲]	0.00 [▲]	0.00 [▲]
33	Uttar Pradesh State Construction & Infrastructure Corporation Limited	2014-15	-2.72	-2.79	425.04	60.11	60.11	60.11	-4.53	-4.53	-4.64
34	Lucknow Metro Rail Corporation Limited	2014-15	-2.28	-2.61	0.00	112.09	77.09	112.09	-2.03	-2.03	-3.39
35	Uttar Pradesh State Spinning Company Ltd.	2014-15	-12.86	-12.86	21.39	-59.12	-144.48	-59.12	0.00 [▲]	0.00 [▲]	0.00 [▲]
36	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	2014-15	-1.39	-4.71	0	-71.15	-138.02	-71.15	0.00 [▲]	0.00 [▲]	0.00 [▲]
37	Uttar Pradesh State Tourism Development Corporation Limited	2014-15	-4.90	-5.13	31.08	13.90	13.09	13.9	-35.25	-35.25	-39.19

Sl. No.	Name of PSU	Year of finalised Account	Net profit /loss before dividend, interest and tax	Net profit/loss after tax and dividend	Turnover	Investment €	Shareholders fund [¥]	Capital employed [#]	Return on Capital employed (ROCE) ^a	Return on investment (ROI) ^β	Return on equity (ROE) [∞]
									(4/9)	(4/7)	(5/8)
1	2	3	4	5	6	7	8	9	10	11	12
38	Allahabad City Transport Services Limited	2014-15	-7.21	-7.21	16.11	-8.29	-8.29	-8.29	0.00 [▲]	0.00 [▲]	0.00 [▲]
39	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	2014-15	-0.19	-0.19	0.13	-0.70	-0.70	-0.7	0.00 [▲]	0.00 [▲]	0.00 [▲]
Total (B)			-12,534.75	-12,679.61	67,870.27	39,083.37	29,264.12	39,083.37	-32.07	-32.07	-43.33
No Profit no Loss making PSUs											
40	Jawaharpur Vidyut Utpadan Nigam Limited	2014-15	0.00	0.00	0.00	0.71	0.71	0.71	0.00 [▲]	0.00 [▲]	0.00 [▲]
Total (C)			0.00	0.00	0.00	0.71	0.71	0.71	0.00	0.00	0.00
Grand Total (A+B+C)			-11,067.47	-12,455.16	84,460.76	61,423.12	51,426.06	61,423.12	-18.02	-18.02	-24.22
Financial year 2015-16											
Profit making PSUs											
1	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	2015-16	0.03	0.03	0.17	-0.67	-0.67	-0.67	0.00 [▲]	0.00 [▲]	0.00 [▲]
2	Uttar Pradesh Projects Corporation Limited	2014-15	11.15	6.22	524.85	62.02	62.02	62.02	17.98	17.98	10.03
3	Uttar Pradesh Bhumi Sudhar Nigam	2014-15	0.08	0.08	0.25	2.24	2.24	2.24	3.57	3.57	3.57
4	Uttar Pradesh State Bridge Corporation Limited	2014-15	47.03	27.92	1,375.68	187.66	187.66	187.66	25.06	25.06	14.88
5	Uttar Pradesh Electronics Corporation Limited	2015-16	1.93	1.28	30.53	210.10	96.94	210.10	0.92	0.92	1.32
6	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2015-16	1.40	0.93	39.70	2.84	2.84	2.84	49.30	49.30	32.75

Audit Report on Public Sector Undertakings for the year ended 31 March 2017

Sl. No.	Name of PSU	Year of finalised Account	Net profit /loss before dividend, interest and tax	Net profit/loss after tax and dividend	Turnover	Investment €	Shareholders fund ¥	Capital employed #	Return on Capital employed (ROCE) ^a	Return on investment (ROI) ^b	Return on equity (ROE) ^c
									(4/9)	(4/7)	(5/8)
1	2	3	4	5	6	7	8	9	10	11	12
7	Uptron Powertronics Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2015-16	1.87	1.24	46.91	-2.70	-2.70	-2.70	0.00 [▲]	0.00 [▲]	0.00 [▲]
8	Uttar Pradesh Jal Vidyut Nigam Limited	2014-15	50.41	27.88	98.58	172.15	107.50	172.15	29.28	29.28	25.93
9	Uttar Pradesh Power Transmission Corp. Ltd.	2014-15	324.87	-71.87	1,304.91	6,039.41	6,039.41	6,039.41	5.38	5.38	-1.19
10	Nandganj-Sihori Sugar Company Limited (Subsidiary of State Sugar Corporation Limited)	2014-15	3.47	3.47	4.18	-241.41	-241.41	-241.41	0.00 [▲]	0.00 [▲]	0.00 [▲]
11	Ghatampur Sugar Company Limited (Subsidiary of State Sugar Corporation Limited)	2015-16	5.40	5.40	0.00	-150.16	-150.16	-150.16	0.00 [▲]	0.00 [▲]	0.00 [▲]
12	Uttar Pradesh Forest Corporation	2015-16	4.26	4.26	381.29	1,424.63	1,424.63	1,424.63	0.30	0.30	0.30
13	Uttar Pradesh Avam Vikas Parishad	2015-16	55.77	50.68	334.26	4,747.26	4,747.26	4,747.26	1.17	1.17	1.07
14	Uttar Pradesh State Road Transport Corporation	2015-16	16.01	15.90	3,351.51	-635.45	-635.45	-635.45	0.00 [▲]	0.00 [▲]	0.00 [▲]
15	UCM Coal Company Limited	2015-16	0.01	0.01	0.00	-0.08	-0.08	-0.08	0.00 [▲]	0.00 [▲]	0.00 [▲]
16	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	2015-16	1,126.22	284.96	9,178.66	21,228.30	10,511.14	21,228.30	5.31	5.31	2.71

Sl. No.	Name of PSU	Year of finalised Account	Net profit /loss before dividend, interest and tax	Net profit/loss after tax and dividend	Turnover	Investment €	Shareholders fund ¥	Capital employed #	Return on Capital employed (ROCE) ^a	Return on investment (ROI) ^b	Return on equity (ROE) ^c
									(4/9)	(4/7)	(5/8)
1	2	3	4	5	6	7	8	9	10	11	12
17	Uttar Pradesh Development Systems Corporation Limited	2014-15	2.66	1.79	7.78	6.18	6.18	6.18	43.04	43.04	28.96
18	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	2014-15	28.97	28.97	244.49	149.78	149.78	149.78	19.34	19.34	19.34
19	Uttar Pradesh State Warehousing Corporation	2014-15	87.07	87.07	353.97	433.79	433.79	433.79	20.07	20.07	20.07
20	Chhata Sugar Company Limited (Subsidiary of State Sugar Corp. Ltd.)	2014-15	1.07	0.07	0.00	-105.39	-105.39	-105.39	0.00 [▲]	0.00 [▲]	0.00 [▲]
21	Uttar Pradesh Police Avas Nigam Limited	2015-16	16.53	10.68	26.98	28.24	28.24	28.24	58.53	58.53	37.82
22	Uttar Pradesh State Construction & Infrastructure Corporation Limited	2015-16	15.82	11.63	662.91	71.71	71.71	71.71	22.06	22.06	16.22
23	NOIDA Metro Rail Corporation Limited	2015-16	3.04	1.91	0.00	525.61	525.61	525.61	0.58	0.58	0.36
24	Almora Magnesite Limited	2015-16	1.97	1.56	28.71	2.54	2.54	2.54	77.56	77.56	61.42
Total (A)			1,779.18	475.95	17,996.32	35,294.46	24,399.49	35,294.46	5.04	5.04	1.95
Loss making PSUs											
25	Lucknow Metro Rail Corporation Limited	2015-16	-20.00	-22.18	0.00	855.83	1105.60	855.83	-2.34	-2.34	-2.01
26	The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh Limited	2014-15	-8.99	-11.93	0.18	-240.62	-244.62	-240.62	0.00 [▲]	0.00 [▲]	0.00 [▲]

Audit Report on Public Sector Undertakings for the year ended 31 March 2017

Sl. No.	Name of PSU	Year of finalised Account	Net profit /loss before dividend, interest and tax	Net profit/loss after tax and dividend	Turnover	Investment €	Shareholders fund¥	Capital employed#	Return on Capital employed (ROCE) ^a	Return on investment (ROI) ^b	Return on equity (ROE) ^c
									(4/9)	(4/7)	(5/8)
1	2	3	4	5	6	7	8	9	10	11	12
27	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	2015-16	-1.59	-5.38	0.00	-74.27	-148.10	-74.27	0.00 [▲]	0.00 [▲]	0.00 [▲]
28	Uttar Pradesh State Textile Corporation Limited	2015-16	-9.33	-16.29	0.00	-169.09	-358.70	-169.09	0.00 [▲]	0.00 [▲]	0.00 [▲]
29	Uttar Pradesh State Spinning Company Limited	2015-16	-8.46	-11.36	6.33	-24.62	-155.84	-24.62	0.00 [▲]	0.00 [▲]	0.00 [▲]
30	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	2014-15	-235.99	-2,036.31	6,611.55	-20,222.80	-20,222.80	-20,222.80	0.00 [▲]	0.00 [▲]	0.00 [▲]
31	Kanpur Electricity Supply Company Limited	2014-15	-43.26	-317.28	1,692.61	-3,523.88	-3,523.88	-3,523.88	0.00 [▲]	0.00 [▲]	0.00 [▲]
32	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	2014-15	-73.83	-965.09	5,949.66	-12,431.69	-12,431.69	-12,431.69	0.00 [▲]	0.00 [▲]	0.00 [▲]
33	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	2014-15	-828.51	-1,836.84	10,231.21	-12,363.56	-12,363.56	-12,363.56	0.00 [▲]	0.00 [▲]	0.00 [▲]
34	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	2014-15	-163.92	-1,317.99	6,052.56	-16,428.37	-16,428.27	-16,428.37	0.00 [▲]	0.00 [▲]	0.00 [▲]
35	Sonebhadra Power Generation Limited	2014-15	-0.01	-0.01	0.00	-5.01	-5.01	-5.01	0.00 [▲]	0.00 [▲]	0.00 [▲]
36	Uttar Pradesh Power Corporation Limited	2014-15	-12,524.85	-12,669.08	36,838.75	38,897.27	29,113.83	38,897.27	-32.20	-32.20	-43.52

Sl. No.	Name of PSU	Year of finalised Account	Net profit /loss before dividend, interest and tax	Net profit/loss after tax and dividend	Turnover	Investment €	Shareholders fund ¥	Capital employed #	Return on Capital employed (ROCE) ^a	Return on investment (ROI) ^b	Return on equity (ROE) ^c
									(4/9)	(4/7)	(5/8)
1	2	3	4	5	6	7	8	9	10	11	12
37	Yamuna Power Generation Corporation Limited	2014-15	-0.01	-0.01	0.00	-2.50	-2.50	-2.50	0.00 [▲]	0.00 [▲]	0.00 [▲]
38	Uttar Pradesh State Tourism Development Corporation Limited	2014-15	-4.90	-5.13	31.08	13.90	13.09	13.90	-35.25	-35.25	-39.19
39	Allahabad City Transport Services Limited	2014-15	-7.21	-7.21	16.11	-8.29	-8.29	-8.29	0.00 [▲]	0.00 [▲]	0.00 [▲]
Total (B)			-12,549.75	-12,696.39	67,430.04	39,767.00	30,232.52	39,767.00	-31.56	-31.56	-42.00
No profit no loss making PSUs											
40	Jawaharpur Vidyut Utpadan Nigam Limited	2015-16	0	0	0	-0.76	-0.71	-0.76	0.00 [▲]	0.00 [▲]	0.00 [▲]
Total (C)			0	0	0	-0.76	-0.71	-0.76	0.00	0.00	0.00
Grand Total (A+B+C)			-10,770.57	-12,220.44	85,426.36	75,061.46	54,632.01	75,061.46	-14.35	-14.35	-22.37
Financial year 2016-17											
Profit making PSUs											
1	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	2016-17	0.02	0.02	0.22	-0.65	-0.65	-0.65	0.00 [▲]	0.00 [▲]	0.00 [▲]
2	Uttar Pradesh Bhumi Sudhar Nigam	2014-15	0.08	0.08	0.25	2.24	2.24	2.24	3.57	3.57	3.57
3	Uttar Pradesh Projects Corporation Limited	2014-15	11.15	6.22	524.85	62.02	62.02	62.02	17.98	17.98	10.03
4	Uttar Pradesh Police Avs Nigam Limited	2015-16	16.53	10.68	26.98	28.24	28.24	28.24	58.53	58.53	37.82
5	Uttar Pradesh State Construction & Infrastructure Corporation Limited	2015-16	15.82	11.63	662.91	71.71	71.71	71.71	22.06	22.06	16.22

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Sl. No.	Name of PSU	Year of finalised Account	Net profit /loss before dividend, interest and tax	Net profit/loss after tax and dividend	Turnover	Investment €	Shareholders fund [¥]	Capital employed [#]	Return on Capital employed (ROCE) ^a	Return on investment (ROI) ^β	Return on equity (ROE) [∞]
									(4/9)	(4/7)	(5/8)
1	2	3	4	5	6	7	8	9	10	11	12
6	Uttar Pradesh State Bridge Corporation Limited	2014-15	47.03	27.92	1,375.68	187.66	187.66	187.66	25.06	25.06	14.88
7	Almora Magnesite Limited	2016-17	1.46	0.65	24.56	3.06	3.06	3.06	47.71	47.71	21.24
8	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2016-17	1.11	0.76	36.91	3.60	3.60	3.60	30.83	30.83	21.11
9	Uptron Powertronics Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2016-17	2.02	1.46	28.22	-1.24	-1.24	-1.24	0.00 [▲]	0.00 [▲]	0.00 [▲]
10	Uttar Pradesh Electronics Corporation Limited	2015-16	1.93	1.28	30.53	210.10	96.94	210.10	0.92	0.92	1.32
11	Uttar Pradesh Jal Vidyut Nigam Limited	2014-15	50.41	27.88	98.58	172.15	107.50	172.15	29.28	29.28	25.93
12	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	2016-17	2,447.77	677.94	11,831.48	11,031.37	11,031.37	11,031.37	22.19	22.19	6.15
13	UCM Coal Company Limited	2015-16	0.01	0.01	0.00	-0.08	-0.08	-0.08	0.00 [▲]	0.00 [▲]	0.00 [▲]
14	Uttar Pradesh Power Transmission Corporation Limited	2014-15	324.87	-71.87	1,304.91	6,039.41	6,039.41	6,039.41	5.38	5.38	-1.19
15	Uttar Pradesh Development Systems Corporation Limited	2014-15	2.66	1.79	7.78	6.18	6.18	6.18	43.04	43.04	28.96
16	Uttar Pradesh Purva Sainik Kalyan Nigam Ltd.	2014-15	28.97	28.97	244.49	149.78	149.78	149.78	19.34	19.34	19.34

Sl. No.	Name of PSU	Year of finalised Account	Net profit /loss before dividend, interest and tax	Net profit/loss after tax and dividend	Turnover	Investment €	Shareholders fund [¥]	Capital employed [#]	Return on Capital employed (ROCE) ^a	Return on investment (ROI) ^β	Return on equity (ROE) [∞]
									(4/9)	(4/7)	(5/8)
1	2	3	4	5	6	7	8	9	10	11	12
17	Uttar Pradesh State Warehousing Corporation	2014-15	87.07	87.07	353.97	433.79	433.79	433.79	20.07	20.07	20.07
18	Uttar Pradesh Forest Corporation	2015-16	4.26	4.26	381.29	1,424.63	1,424.63	1,424.63	0.30	0.30	0.30
19	Uttar Pradesh Avas Evam Vikas Parishad	2015-16	55.77	50.68	334.26	4,747.26	4,747.26	4,747.26	1.17	1.17	1.07
20	Uttar Pradesh State Road Transport Corporation	2015-16	16.01	15.90	3,351.51	-635.45	-635.45	-635.45	0.00 [▲]	0.00 [▲]	0.00 [▲]
21	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2014-15	1.07	0.07	0.00	-105.39	-105.39	-105.39	0.00 [▲]	0.00 [▲]	0.00 [▲]
22	Ghatampur Sugar Company Limited (Subsidiary of State Sugar Corporation Limited)	2015-16	5.40	5.40	0.00	-150.16	-150.16	-150.16	0.00 [▲]	0.00 [▲]	0.00 [▲]
23	Nandganj-Sihori Sugar Company Limited (Subsidiary of State Sugar Corporation Limited)	2014-15	3.47	3.47	4.18	-241.41	-241.41	-241.41	0.00 [▲]	0.00 [▲]	0.00 [▲]
Total (A)			3,096.89	865.94	20,623.56	24,573.20	24,395.39	24,573.20	12.60	12.60	3.55
Loss making Companies											
24	The Pradeshiya Industrial and Investment Corporation of Uttar Pradesh Limited	2014-15	-8.99	-11.93	0.18	-240.62	-244.62	-240.62	0.00 [▲]	0.00 [▲]	0.00 [▲]

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Sl. No.	Name of PSU	Year of finalised Account	Net profit /loss before dividend, interest and tax	Net profit/loss after tax and dividend	Turnover	Investment €	Shareholders fund [¥]	Capital employed [#]	Return on Capital employed (ROCE) ^a	Return on investment (ROI) ^β	Return on equity (ROE) [∞]
									(4/9)	(4/7)	(5/8)
1	2	3	4	5	6	7	8	9	10	11	12
25	Lucknow Metro Rail Corporation Limited	2016-17	-17.06	-19.45	0.00	1,420.73	885.73	1420.73	-1.20	-1.20	-2.20
26	NOIDA Metro Rail Corporation Limited	2016-17	-7.86	-8.33	1.39	-8.23	-8.23	-8.23	0.00 [▲]	0.00 [▲]	0.00 [▲]
27	Uttar Pradesh State Spinning Company Ltd.	2015-16	-8.46	-11.36	6.33	-24.62	-155.84	-24.62	0.00 [▲]	0.00 [▲]	0.00 [▲]
28	Uttar Pradesh State Yarn Company Limited (Subsidiary of State Textile Corporation Ltd)	2015-16	-1.59	-5.38	0.00	-74.27	-148.10	-74.27	0.00 [▲]	0.00 [▲]	0.00 [▲]
29	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	2014-15	-235.99	-2,036.31	6,611.55	-20,222.80	-20,222.80	-20,222.80	0.00 [▲]	0.00 [▲]	0.00 [▲]
30	Kanpur Electricity Supply Company Limited	2014-15	-43.26	-317.28	1,692.61	-3,523.88	-3,523.88	-3,523.88	0.00 [▲]	0.00 [▲]	0.00 [▲]
31	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	2014-15	-73.83	-965.09	5,949.66	-12,431.69	-12,431.69	-12,431.69	0.00 [▲]	0.00 [▲]	0.00 [▲]
32	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	2014-15	-828.51	-1,836.84	10,231.21	-12,363.56	-12,363.56	-12,363.56	0.00 [▲]	0.00 [▲]	0.00 [▲]
33	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of UPPCL)	2014-15	-163.92	-1,317.99	6,052.56	-16,428.37	-16,428.27	-16,428.37	0.00 [▲]	0.00 [▲]	0.00 [▲]
34	Sonebhadra Power Generation Limited	2014-15	-0.01	-0.01	0.00	-5.01	-5.01	-5.01	0.00 [▲]	0.00 [▲]	0.00 [▲]

Sl. No.	Name of PSU	Year of finalised Account	Net profit /loss before dividend, interest and tax	Net profit/loss after tax and dividend	Turnover	Investment €	Shareholders fund [¥]	Capital employed [#]	Return on Capital employed (ROCE) ^α	Return on investment (ROI) ^β	Return on equity (ROE) [∞]
									(4/9)	(4/7)	(5/8)
1	2	3	4	5	6	7	8	9	10	11	12
35	Uttar Pradesh Power Corporation Limited	2014-15	-12,524.85	-12,669.08	36,838.75	38,897.27	29,113.83	38,897.27	-32.20	-32.20	-43.52
36	Yamuna Power Generation Corporation Limited	2014-15	-0.01	-0.01	0.00	-2.50	-2.50	-2.50	0.00 [▲]	0.00 [▲]	0.00 [▲]
37	Uttar Pradesh State Textile Corporation Limited	2015-16	-9.33	-16.29	0.00	-169.09	-358.70	-169.09	0.00 [▲]	0.00 [▲]	0.00 [▲]
38	Uttar Pradesh State Tourism Development Corporation Limited	2014-15	-4.90	-5.13	31.08	13.90	13.09	13.90	-35.25	-35.25	-39.19
39	Allahabad City Transport Services Limited	2014-15	-7.21	-7.21	16.11	-8.29	-8.29	-8.29	0.00 [▲]	0.00 [▲]	0.00 [▲]
Total (B)			-12,546.81	-12,693.66	67,431.43	40,331.90	30,012.65	40,331.90	-31.11	-31.11	-42.29
No profit no loss making PSUs											
40	Jawaharpur Vidyut Utpadan Nigam Limited	2015-16	0	0	0	-0.76	-0.71	-0.76	0.00 [▲]	0.00 [▲]	0.00 [▲]
Total (C)			0	0	0	-0.76	-0.71	-0.76	0.00	0.00	0.00
Grand Total (A+B+C)			-9,449.92	-11,827.72	88,054.99	64,905.10	54,408.04	64,905.10	-14.56	-14.56	-21.74

€ Investment = (Paid up capital +Free Reserve +Long term loan).

¥ Shareholders fund = (Paid up capital +free reserve and surplus-accumulated loss-deferred revenue expenditure).

Capital employed = Shareholders fund + Long term Borrowings.

α Return on Capital employed= (Net Profit/Loss before dividend, interest and tax)/Capital Employed.

β Return on Investment (ROI)= (net Profit before dividend, tax and interest)/Investment.

∞ Return on Equity (ROE)= (Net Profit after tax-Preference dividend)/Shareholders' Fund

▲ In case of 21 PSUs where Investment/Shareholder's fund/Capital employed was negative, ROCE, ROI and ROE have not been worked out and stated nil. Due to accumulated losses, Investment (Equity and Loans) in these PSUs of ₹ 74,837.87 crore turns into negative Investment (after deducting accumulated losses) of ₹ 66,638.07 crore.

Annexure 1.8
(Referred to in Paragraph 1.25)
Targets vis-a-vis achievements by DISCOMs under UDAY Scheme

(₹ in crore)

Parameter	Target period as per MoU	Target						Achievement					
		MVVNL	DVVNL	PuVVNL	PVVNL	KESCO	Total	MVVNL	DVVNL	PuVVNL	PVVNL	KESCO	Total
Financial Turnarounds													
Taking over 75 per cent of DISCOMs debts of ₹ 59,205 crore by GoUP	2015-16 (Last quarter of FY)	5,527.00	9,814.73	6,836.36	5,686.67	1,737.86	29,602	5,527.00	9,814.73	6,836.36	5,686.67	1,737.86	₹ 29,602 crore has been taken over in 2015-16
	2016-17 (Up to 30 June 2016)	3,056.74	5,152.44	3,087.94	2,843.87	6,60.36	14,801	3,056.74	5,152.44	3,087.94	2,843.87	660.36	₹ 14,801 crore has been taken over in 2016-17
Taking over and funding of future losses of DISCOMs by GoUP	2017-18	83.89	116.36	136.37	51.31	22.00	5 per cent Loss (₹ 409.93 crore) of 2016-17	83.89	116.36	136.37	51.31	22.00	State Government has funded ₹ 409.93 crore in 2017-18
Reduction of AT&C Losses (in per cent)	2015-16	33.13	35.94	38.87	24.63	35.25	32.36	44.15	40.62	47.54	26.72	27.97	38.41
	2016-17	27.80	30.30	34.19	22.99	29.44	28.27	31.20	35.08	35.31	24.60	15.61	30.22
	2017-18	23.20	24.83	26.92	20.63	24.11	23.63	28.99	34.07	31.35	20.77	18.07	27.67

Parameter	Target period as per MoU	Target						Achievement					
		MVVNL	DVVNL	PuVVNL	PVVNL	KESCO	Total	MVVNL	DVVNL	PuVVNL	PVVNL	KESCO	Total
Reduction of ACS-ARR Gap (Since PPA has been made by UPPCL, DISCOM wise ACS-ARR Gap has not been worked out)	2016-17	DISCOM wise targets have not been fixed.					₹ 1.04/ kWh	DISCOM wise achievements not available.					₹0.62/ kWh (not achieved)
	2017-18	DISCOM wise targets have not been fixed.					₹ 0.60/ kWh	DISCOM wise achievements not available.					₹0.37/ kWh (Not achieved)
Billing Efficiency (in per cent)	2015-16	76.86	72.80	77.33	78.51	74.42	76.43	77.80	75.55	76.98	81.35	81.66	78.33
	2016-17	78.48	76.18	78.43	79.80	77.49	78.29	77.79	75.39	78.97	81.43	84.40	78.91 (Achieved)
	2017-18	80.84	79.93	80.27	81.82	81.09	80.82	79.00	74.05	77.95	83.11	86.61	79.15 (Not achieved)
Collection Efficiency (in per cent)	2015-16	87.00	88.00	79.05	96.00	87.00	88.50	71.79	78.59	68.14	90.08	88.21	78.63 (Not achieved)
	2016-17	92.00	91.50	83.90	96.50	91.05	91.64	88.44	86.12	81.92	92.59	99.99	88.43 (Not achieved)
	2017-18	95.00	94.05	91.05	97.00	93.59	94.50	89.89	89.04	88.07	95.34	94.60	91.39 (Not achieved)
Tariff Revision in time	2015-16	30.11.14	30.11.14	30.11.14	30.11.14	30.11.14		08.12.14	08.12.14	08.12.14	08.12.14	30.11.14	
	2016-17	30.11.15	30.11.15	30.11.15	30.11.15	30.11.15		07.12.15	07.12.15	07.12.15	07.12.15	30.12.15	
	2017-18	01.11.16	01.11.16	01.11.16	01.11.16	01.11.16		21.06.17	21.06.17	21.06.17	21.06.17	21.06.17	

Parameter	Target period as per MoU	Target						Achievement					
		MVVNL	DVVNL	PuVVNL	PVVNL	KESCO	Total	MVVNL	DVVNL	PuVVNL	PVVNL	KESCO	Total
Operational Turnarounds													
Distribution Transformer Metering (in Nos.)	100 per cent by 30 September 2017	1,20,203	17,287	NA	2,40,216	4,754	3,82,460	1,65,279	13,761	16,624	563	1,008	1,97,235 (Achieved)
Feeder metering (in Nos.)	100 per cent by 30 September 2016	3,303	3,431	3,458	5,379	501	16,072	3,303	3,431	3,458	5,379	501	16,072 (Achieved)
Feeder segregation (in Nos.)	2016-17	0	0	0	0	0	1660 (30 per cent)	0	0	0	0	N.A	0 (Not achieved)
	2017-18	0	0	0	0	0	3597 (65 per cent)	14	120	58	182	N.A	374 (Not achieved)
11 kV Rural Feeder Audit (in Nos.)	2016-17	0	0	0	0	0	847 (10 Per Cent)	0	0	2515	0	0	2515 (Achieved)
	2017-18	0	0	0	0	0	2542 (30 per cent)	4237	237	482	976	573	6505 (Achieved)
Smart Metering above 200 kWh and up to 500 kWh (in number)	2017-18	0.56 lakh	0.63 lakh	NA	NA	0.37	1.56 lakh	0	0	0	0	0	0 (Not achieved)

Parameter	Target period as per MoU	Target						Achievement					
		MVVNL	DVVNL	PuVVNL	PVVNL	KESCO	Total	MVVNL	DVVNL	PuVVNL	PVVNL	KESCO	Total
Smart Metering above 500 kWh (in number)	2016-17	0.46 lakh	0.35 lakh	NA	NA	0.30	1.11 lakh	0	0	0	0	0	0 (Not achieved)
	2017-18	0.46 lakh	0.36 lakh	NA	NA	0.30	1.12 lakh	0	0	0	0	0	0 (Not achieved)
Electricity access to un-connected households (in Nos.)	2019-20	Under implementation					143.54 lakh	Under implementation					
Distribution of LEDs under UJALA Scheme (in Nos.)	2016-17	0	0	0	0	0	50.00 lakh	0	0	0	0	0	148.25 lakh (Achieved)
	2017-18	0	0	0	0	0	80.00 lakh	16.16 lakh	21.78 lakh	18.14 lakh	21.12 lakh	5.13 lakh	82.33 (Achieved)

Annexure-2.1.1

(Referred to in paragraph 2.1.16)

Habitations and rural households for electrification and BPL households to be connected as proposed in DPRs (which could not be sanctioned) under XII FYP

S. No.	Name of DISCOMs	Name of projects	No. of habitations proposed for electrification	No. of RHHs to be electrified excluding BPL	No. of BPL connections to be released
(1)	(2)	(3)	(4)	(5)	(6)
1	MVVNL	Unnao	4,484	1,82,393	80,188
2	MVVNL	Shahjahnpur	3,035	1,61,027	49,616
3	MVVNL	Lakhimpur Kheri	4,867	2,43,593	2,04,394
4	MVVNL	Barabanki	5,710	2,03,532	77,856
5	MVVNL	Bahraich	8,947	3,11,265	61,382
6	PuVVNL	Allahabad	3,770	3,60,418	45,087
7	PuVVNL	Ballia	3,972	1,29,056	26,992
8	PuVVNL	Gorakhpur	2,542	1,14,192	65,092
9	PuVVNL	Jaunpur	5,681	2,25,157	26,492
10	PVVNL	Bulandshahr	1,679	1,85,020	76,944
11	PVVNL	Muzaffarnagar	805	20,115	4,040
	TOTAL		45,492	21,35,768	7,18,083

Annexure-2.1.2

(Referred to in paragraph 2.1.22)

(A) Details of the delay in execution of the sampled projects in XI FYP

Name of DISCOMs	Name of projects	Month of DPRs sanctioned	Actual month of award of work	Delay in award (7 months from the sanction of DPR)	Month in which projects were to be completed	Progress of work (in per cent)	Reason for delay scheduled					
							Commencement of survey to be done by contracting agency within one month from award of work	Actual commencement of survey done by contracting agency	Delay in months	Commencement of supply of materials	Actual commencement of supply of materials by contractor	Delay in months
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
MVVNL	Ambedkar Nagar	March 2012	August 2013	10	July 2015	100	September 2013	March 2014	6	November 2013	October 2014	11
MVVNL	Faizabad	March 2012	August 2013	10	July 2015	97.92	September 2013	March 2014	6	November 2013	October 2014	11
MVVNL	Barabanki	March 2012	September 2013	11	August 2015	81.29	October 2013	March 2014	5	December 2013	July 2014	7
PuVVNL	Ballia	December 2011	August 2013	13	July 2015	100	September 2013	March 2014	6	November 2013	August 2014	9
PVVNL	Bulandshahr	March 2012	October 2013	12	September 2015	65.41	November 2013	March 2014	4	January 2014	June 2014	5

(B) Details of the delay in execution of the sampled projects in XII FYP

Name of DISCOMs	Name of project	Month of DPRs sanctioned	Actual month of award of work	Delay in award (7 months from the sanction of DPR)	Month in which Projects were to be completed	Progress of work (in per cent)	Reason for delay					
							Commencement of survey to be done by contracting agency within one month from award of work	Actual commencement of survey done by contracting agency	Delay in months	Commencement of supply of materials	Actual commencement of supply of materials by contractor	Delay in months
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
MVVNL	Ambedkar Nagar	December 2013	August 2014	1	July 2016	14.94	September 2014	September 2016	24	November 2014	November 2016	24
MVVNL	Faizabad	December 2013	June 2014	NIL	November 2016	10.89	January 2015	December 2015	11	March 2015	February 2016	11

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Name of DISCOMs	Name of project	Month of DPRs sanctioned	Actual month of award of work	Delay in award (7 months from the sanction of DPR)	Month in which Projects were to be completed	Progress of work (in per cent)	Reason for delay					
							Commencement of survey to be done by contracting agency within one month from award of work	Actual commencement of survey done by contracting agency	Delay in months	Commencement of supply of materials	Actual commencement of supply of materials by contractor	Delay in months
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
MVVNL	Lucknow	December 2013	December 2014	5	November 2016	47.06	January 2015	February 2015	1	March 2015	April 2015	1
MVVNL	Sultanpur	December 2013	August 2014	1	July 2016	38.95	September 2014	August 2016	23	November 2014	October 2016	23
PuVVNL	Basti	January 2014	December 2014	4	November 2016	59.45	January 2015	March 2015	2	March 2015	August 2015	5
PuVVNL	Ghazipur	January 2014	December 2014	4	November 2016	46.80	January 2015	March 2015	2	March 2015	August 2015	5
PuVVNL	Mau	January 2014	April 2015	8	March 2017	69.38	May 2015	September 2016	16	July 2015	November 2016	16
PuVVNL	Sonbhadra	January 2014	October 2014	2	September 2016	25.74	November 2014	September 2016	22	January 2015	November 2016	22
PVVNL	Meerut	January 2014	August 2014	0	July 2016	85.69	September 2014	September 2015	12	November 2014	October 2015	11
PVVNL	Shamli	January 2014	August 2014	0	July 2016	90.51	September 2014	July 2015	10	November 2014	September 2015	10
PVVNL	Saharanpur	January 2014	August 2014	0	July 2016	76.81	September 2014	September 2015	12	November 2014	October 2015	11
DVVNL	Etawah	August 2013	September 2014	6	August 2016	40.14	October 2014	September 2016	23	December 2014	September 2016	21
DVVNL	Mathura	December 2013	September 2014	2	August 2016	66.28	October 2014	December 2014	2	December 2014	April 2015	4
DVVNL	Hathras (Mahamaya Nagar)	December 2013	September 2014	2	August 2016	17.86	October 2014	December 2014	2	December 2014	April 2015	4
DVVNL	Aligarh	December 2013	February 2015	7	January 2017	62.99	March 2015	August 2016	17	May 2015	October 2016	17
DVVNL	Agra	December 2013	September 2014	2	August 2016	18.31	October 2014	December 2014	2	December 2014	April 2015	4

Annexure-2.1.3
(Referred to in paragraph 2.1.23)
(A) Details of excess value awarded in contract in comparison to sanction DPRs under XI FYP

(₹ in crore)

S. No.	Name of DISCOMs	Name of projects	Name of firms	Tender no.	Value of contract	Name of work	Value approved by REC in DPR	Value awarded in BOQ	Total excess value awarded	Percentage of excess value
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10=9-8)	(11=10/8*100)
1	MVVNL	Ambedkar Nagar	IL&FS Limited, Gurgaon	MEDCO/769/R GGVY-II/2013	284.04	33 KV REDB, 11 KV REDB and LT Works	177.65	254.78	77.13	43.42
2	MVVNL	Faizabad	Everest Infra Energy Limited	MEDCO/609/R GGVY-II/2012	180.10	33 KV REDB , 11 KV REDB and LT Works	110.93	157.61	46.68	42.08
3	MVVNL	Barabanki	L & T Limited, Chennai	MEDCO/607/R GGVY-II/2012	364.87	33 KV REDB , 11 KV REDB and LT Works	252.09	346.66	94.57	37.51
4	PuVVNL	Ballia	NCC Limited	EAV-125/PuVVNL/RGGVY(BL)/12-13	139.90	33 KV REDB 11 KV REDB and LT Works	72.92	115.13	42.21	57.89
5	PVVNL	Bulandshahr	IL&FS Limited, Gurgaon	PVVNL/MT/R GGVY-11/1018/12-13	128.94	11 KV REDB and LT Works	83.36	114.86	31.50	37.78
						Total	696.95	989.04	292.09	

(B) Details of excess value awarded in contract in comparison to sanction DPRs under XII FYP

(₹ in crore)

Sl. No.	Name of DISCOMs	Name of projects	Name of firms	Tender no.	Value of contract	Name of work	Value approved by REC in DPRs	Value awarded in BOQ	Total excess value awarded	Percentage of excess value
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10=9-8)	(11=10/8*100)
1	MVVNL	Faizabad	Jakson Limited	MEDCO/1473/RGGVY -12th Plan/2015	73.12	33 KV REDB , 11 KV REDB and LT Works	37.20	53.65	16.45	44.22
2	MVVNL	Ambedkar Nagar	NCC Limited	MEDCO/1705/RGGVY -12th Plan/2016	66.12	33 KV REDB , 11 KV REDB and LT Works	39.21	54.15	14.94	38.10
3	MVVNL	Lucknow	KEI Industries	MEDCO/1718/RGGVY -12th Plan/2015	91.38	11 KV REDB and LT Works	65.38	81.82	16.44	25.15
4	PuVVNL	Ghazipur	Larsen & Turbo	EAV-58/2014-15	199.07	11 KV REDB and LT Works	134.99	182.05	47.06	34.86
5	PuVVNL	Mau	Gopi Krishna Infra	EAV-209, 210, 211, 235/2014-15	128.77	11 KV REDB and LT Works	84.59	116.74	32.15	38.00
6	PuVVNL	Basti	NCC Limited	EAV-63/14-15	184.31	11 KV REDB and LT Works	107.86	156.12	48.25	44.73
7	PVVNL	Saharanpur	Various contractor	Agreement No. 86,85,88,90,95,171,174, 91,89,173,292	135.79	33 KV REDB , 11 KV REDB and LT Works	100.55	116.87	16.32	16.23
8	PVVNL	Meerut	Various contractor	Agreement no. 63,102,234,233,362,65, 230,64,61,81,231,60	50.55	33 KV REDB , 11 KV REDB and LT Works	29.06	35.43	6.37	21.92
9	DVVNL	Mathura	KEI Industries, New Delhi	DVVNL-MM/1392-2015 (Mathura)	113.38	33 KV REDB , 11 KV REDB and LT Works	59.17	70.45	11.28	19.06
10	DVVNL	Aligarh	L & T Limited, Chennai	DVVNL-MM/1299-2014	160.93	33 KV REDB , 11 KV REDB and LT Works	78.59	103.98	25.38	32.29
11	DVVNL	Hathras	Kashmiri lal	DVVNL-MM/1955-2016	95.37	33 KV REDB , 11 KV REDB and LT Works	50.92	61.64	10.72	21.05
						Total	787.52	1032.9	245.36	

Annexure-2.1.4
(Referred to in paragraph 2.1.23)

(A) Details of excess value awarded in contract in comparison to stock issue rate of Company under XI FYP

(₹ in crore)

S. No.	Name of DISCOMs	Name of projects	Name of firms	Tender no.	Value of contract	Name of items	Value of material as per SIR (2012-13)	Value of materials awarded in BOQ	Total excess value awarded	Percentage higher from SIR
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10=9-8)	(11=10/8*100)
1	MVVNL	Ambedkar Nagar	IL&FS Limited, Gurgaon	MEDCO/769/RGGVY-II/2013	284.04	Power Transformer, Distribution Transformer, PCC Pole, Weasel and ABC Conductor	91.62	112.65	21.03	22.95
2	MVVNL	Faizabad	Everest Infra Energy Limited	MEDCO/609/RGGVY-II/2012	180.10	Power Transformer, Distribution Transformer, PCC Pole, Weasel and ABC Conductor	58.67	89.72	31.05	52.92
3	MVVNL	Barabanki	L&T Limited, Chennai	MEDCO/607/RGGVY-II/2012	364.87	Distribution Transformer, PCC Pole, Weasel and ABC and Dog Conductor	122.69	172.86	50.17	40.89
4	PuVVNL	Ballia	L&T Limited, Chennai	EAV-125/PuVVNL/RGGVY (BL)/12-13	139.90	Distribution Transformer, PCC Pole, Weasel and ABC Conductor	32.82	49.82	17.00	51.79
5	PVVNL	Bulandshahr	IL&FS Limited, Gurgaon	PVVNL/MT/RGGVY-II/1018/12-13	128.94	Distribution Transformer, PCC Pole, Weasel, Rabbit and ABC Conductor	45.86	67.66	21.80	47.53
							351.66	492.71	141.05	

(B) Details of excess value awarded in contract in comparison to stock issue rate of Company under XII FYP

(₹ in crore)

S. No.	Name of DISCOMs	Name of projects	Name of firms	Tender no.	Value of contract	Name of items	Value of material as per SIR (2014-15)	Value of materials awarded in BOQ	Total excess value awarded	Percentage higher from SIR
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10=9-8)	(11=10/8*100)
1	MVVNL	Faizabad	Jakson Limited	MEDCO/1473/RG GVV-12th Plan/2015	73.12	PTs, PCC Pole, Weasel Conductor and ABC cable	11.40	18.72	7.32	64.21
2	MVVNL	Ambedkar Nagar	NCC Limited	MEDCO/1705/RG GVV-12th Plan/2016	66.12	DTs, PCC Pole, Weasel and Rabbit Conductor and ABC cable	19.15	24.25	5.10	26.63
3	MVVNL	Luknow	KEI Industries Limited	MEDCO/1718/RG GVV-12th Plan/2015	91.38	DTs, PCC Pole, Weasel and Rabbit Conductor and ABC cable	29.30	35.33	6.03	20.58
4	PuVVNL	Ghazipur	Larsen & Turbo	EAV-58/2014-15	199.07	DTs, PCC Pole, Weasel and ABC Conductor	70.00	116.62	46.62	66.60
5	PuVVNL	Mau	Shri Gopi Krishna Infrastructure	EAV-209, 210, 211, 235/2014-15	128.77	DTs, PCC Pole, Weasel and ABC Conductor	46.45	66.57	20.12	43.42
6	PuVVNL	Basti	NCC Limited	EAV-63/14-15	184.31	DTs, PCC Pole, Weasel and ABC Conductor	61.43	88.99	27.57	44.88
7	PVVNL	Saharanpur	Various contractor	Agreement No. 86,85,88 to 91,95,171,174,173,292	135.79	PTs, DTs, PCC Pole, Weasel Conductor	32.24	45.24	13.00	40.32
8	PVVNL	Meerut	Various contractor	Agreement no. 63,102,234,233,36 2,65,230,64,61,81, 231,60	50.55	DTs, PCC Pole, Weasel and ABC Conductor	12.00	15.37	3.37	28.08
9	DVVNL	Mathura	KEI Industries, New Delhi	DVVNL-MM/1392-2015	113.38	PTs, DTs, Pole, Weasel/Rabbit Conductor and ABC cable.	37.99	48.24	10.25	26.98

S. No.	Name of DISCOMs	Name of projects	Name of firms	Tender no.	Value of contract	Name of items	Value of material as per SIR (2014-15)	Value of materials awarded in BOQ	Total excess value awarded	Percentage higher from SIR
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10=9-8)	(11=10/8*100)
10	DVVNL	Aligarh	Larsen & Turbo Limited	DVVNL-MM/1299-2014	163.91	PTs, DTs, Pole, Weasel and Rabbit Conductor and ABC cable	49.29	68.96	19.67	39.91
11	DVVNL	Hathras	Kashmiri lal	DVVNL-MM/1955-2016	95.37	PTs, DTs, Pole, Weasel and Rabbit Conductor and ABC cable	32.09	43.98	11.89	37.05
						Total	385.3	570.62	185.32	

Annexure-2.1.5
(Referred to in paragraph 2.1.29)
Details of short deposit of the contract performance guarantee

(Amount in ₹)

S. No.	Name of DISCOMs	Name of project	Name of firms	LOI/Tender no. & date	Contract performance guarantee required	Actual BG deposited	Short deposit
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
1	MVVNL	Unnao (Bighapur)	UPSICD (earlier UP Samaj Kalyan Nirman Nigam Limited)	C-31/07-01-15	5,30,19,542	2,65,11,000	2,65,08,542
2	MVVNL	Unnao (Unnao)	Accurate Transformers	C-14/03-01-15	8,67,82,052	4,34,01,983	4,33,80,069
3	MVVNL	Unnao (Purwa)	PME Power Solution India Ltd.	C-1301/12-12-14	8,24,11,059	4,12,05,530	4,12,05,529
4	DVVNL	Hamirpur	SP Bright Light Pvt. Ltd.	1304/2014	10,44,19,298	5,22,09,424	5,22,09,874
5	DVVNL	Kanpur Dehat	SP Bright Light Pvt. Ltd.	1307/2014	28,91,31,695	14,45,65,846	14,45,65,849
6	DVVNL	Kanpur Nagar	SP Bright Light Pvt. Ltd.	1308/2014	21,88,75,184	10,94,37,592	10,94,37,592
7	DVVNL	Jhansi	Chaudhary Construction	1306/2014	13,15,62,752	6,57,82,000	6,57,80,752
8	DVVNL	Auraiya (against mobilisation advance)	NCC	1395/2016	13,06,14,168	1,55,14,000	11,51,00,168
9	DVVNL	Kannauj, Mainpuri, Etawah, Agra	Various Semi turn-key contractors		18,88,58,176	0	18,88,58,176
			TOTAL		1,28,56,73,926	49,86,27,375	78,70,46,551

Annexure-2.1.6
(Referred to in paragraph 2.1.32)
Details of the interest on avoidable loan availed by DISCOMs under XI and XII FYP

(₹ in crore)

Name of DISCOMs	Year	XI FYP		XII FYP		Total		Progressive figure for loan	Interest* at the rate 11.75 per cent p.a.
		Subsidy	Loan	Subsidy	Loan	Subsidy	Loan		
(1)	(2)	(3)	(4)	(5)	(6)	(7=3+5)	(8=4+6)	(9)	(10)
MVVNL	2013-14	655.57	63.37	0	0	655.57	63.37	63.37	7.45
	2014-15	0	0	203.49	15.33	203.49	15.33	78.70	9.25
	2015-16	336.37	41.26	59.09	16.88	395.46	58.14	136.84	16.08
	2016-17	121.06	14.22	286.00	28.32	407.05	42.54	179.38	21.08
PuVVNL	2013-14	165.82	19.72	0	0	165.82	19.72	19.72	2.32
	2014-15	147.91	16.94	136.74	11.04	284.65	27.98	47.70	5.60
	2015-16	123.57	15.43	406.05	57.63	529.62	73.06	120.76	14.19
	2016-17	151.64	3.65	385.81	19.56	537.45	23.21	143.97	16.92
PVVNL	2013-14	80.30	9.45	0	0	80.30	9.45	9.45	1.11
	2014-15	0	0	8.28	0.93	8.28	0.93	10.38	1.22
	2015-16	36.79	4.82	97.25	11.93	134.04	16.75	27.13	3.19
	2016-17	49.03	1.10	215.22	23.34	264.25	24.44	51.57	6.06
DVVNL	2012-13	43.14	5.22	0	0	43.14	5.22	5.22	0.61
	2013-14	0	0	0	0	0	0	5.22	0.61
	2014-15	45.48	5.31	429.69	55.79	475.17	61.10	66.32	7.79
	2015-16	0	0	0	0	0	0	66.32	7.79
	2016-17	11.83	1.36	336.61	0	348.44	1.36	67.68	7.95
Total		1,968.51	201.85	2,559.23	240.75	4,527.74	442.6		129.22

*The rate of interest which was charged by REC

