

## Appendix 1.1

### State Profile

( Reference: Profile of the State; Page 1)

A. General Data			
Sl. No.	Particulars	Figures	
1	<b>Area</b>	2,40,928 Sq. Km.	
2	<b>Population</b>		
	a. As per 2011 Census.	19.98 crore	
	b. 2016	22.01 crore	
3	a. <b>Density of Population (as per 2001 Census)</b> (All India Density = 325 persons per Sq. Km )	690 persons per Sq. Km.	
	b. <b>Density of Population<sup>1</sup> (as per 2011 Census)</b> (All India Density = 382 persons per Sq. Km )	829 persons per Sq. Km.	
4	<b>Population below poverty line<sup>2</sup> (BPL)</b> (All India Average = 21.90 per cent)	29.40 per cent	
5	a. <b>Literacy (as per 2001 Census)</b> (All India Average = 64.80 per cent )	56.27 per cent	
	b. <b>Literacy<sup>3</sup> (as per 2011 Census)</b> (All India Average = 73.00 per cent ) (2017)	67.70 per cent	
6	<b>Infant mortality rate 2015<sup>4</sup> (per 1000 live births)</b> (All India Average = 37 per 1000 live births )	46 per 1000 live births	
7	<b>Life Expectancy at birth<sup>5</sup> 2011-15 (All India Average =68.3 years)</b>	64.5 years	
8	<b>Gini Coefficient<sup>6</sup></b>		
	a. <b>Rural. ( All India = 0.29 )</b>	0.36	
	b. <b>Urban. ( All India = 0.38)</b>	0.33	
9	<b>Gross State Domestic Product (GSDP) at current prices</b>	₹ 12,75,141 crore	
10	<b>Per capita GSDP CAGR (2007-08 to 2016-17)</b>	<b>Uttar Pradesh</b>	12.40
		<b>General Category States</b>	13.20
11	<b>GSDP<sup>7</sup> CAGR (2007-08 to 2016-17)</b>	<b>Uttar Pradesh</b>	14.30
		<b>General Category States</b>	14.60
12	<b>Population Growth<sup>8</sup> (2007 to 2016)</b>	<b>Uttar Pradesh</b>	16.60
		<b>General Category States</b>	11.90

<sup>1</sup> Census Info India 2011 Final Population Totals

<sup>2</sup> Economic Survey 2016-17 (August 2017), Vol.II, Page A154

<sup>3</sup> Economic Survey 2016-17 (August 2017), Vol.II, Page A149

<sup>4</sup> Economic Survey 2016-17 (August 2017), Vol.II, Page A156

<sup>5</sup> Economic Survey 2016-17 (August 2017), Vol.II, Page A146

<sup>6</sup> Gini-coefficient is a measure of inequality of income among the population. Value rate is from zero to one, closer to zero inequality is less, closer to one inequality is higher. Latest figures available for 2009-10 has been adopted.

[http://planingcommission.nic.in/data/datatable/data\\_2312/DatabookDec2014%20106.pdf](http://planingcommission.nic.in/data/datatable/data_2312/DatabookDec2014%20106.pdf)

<sup>7</sup> Population projections for India and States 2001-2016 (Revised December 2006) Report of the Technical Group on Population Projections constituted by the National Commission on Population Table-14 (Projected Total Population by Sex as on 1<sup>st</sup> October 2001-2016).

<sup>8</sup> In the GSDP Statement released by MoSPI on August 1, 2017, figures for the year 2016-17 regarding certain states such as Gujarat, Kerala, Maharashtra, Rajasthan, West Bengal, Arunachal Pradesh, Assam, Jammu & Kashmir (Budget Speech 2017-18), Manipur, Meghalaya, Mizoram, Nagaland and Tripura are not given. Therefore, GSDP figures for these have been obtained from respective AGs.

B. Financial Data						
Sl. No.	Particulars		Figures (in per cent)			
13	CAGR		2007-08 to 2015-16		2015-16 to 2016-17	
			General Category States	Uttar Pradesh	General Category States	Uttar Pradesh
	a.	Revenue Receipts.	14.58	16.12	11.52	13.12
	b.	Tax Revenue.	14.80	15.87	13.50	5.99
	c.	Non Tax Revenue.	9.45	18.84	12.10	25.11
	d.	Total Expenditure.	15.84	16.75	15.31	9.38
	e.	Capital Expenditure.	14.53	18.16	17.91	8.33
	f.	Revenue Expenditure on Education.	16.86	18.39	9.86	15.85
	g.	Revenue Expenditure on Health.	18.43	17.40	14.92	14.89
	h.	Salary and Wages.	14.89	18.34	13.06	14.75
i.	Pension.	17.17	18.68	10.63	16.88	

(Source: Financial data is based on figures in Finance Accounts 2016-17)

## Appendix 1.2

### Structure and form of Government Accounts and Layout of Finance Accounts

( Reference: Paragraph 1.1; Page )

Part A: Structure and form of Government Accounts	
<p><b>Structure of Government Accounts:</b> The accounts of the State Government are kept in three parts: (i) Consolidated Fund, (ii) Contingency Fund and (iii) Public Accounts.</p> <p><b>Part I: Consolidated Fund :</b> All revenues received by the State Government, all loans raised by issue of treasury bills, internal and external loans and all moneys received by the Government in repayment of loans form one consolidated fund titled 'The Consolidated Fund of State' established under Article 266 (1) of the Constitution of India.</p> <p><b>Part II: Contingency Fund:</b> Contingency Fund of the State, established under Article 267(2) of the Constitution, is in the nature of an imprest placed at the disposal of the Governor to enable him to make advances to meet urgent unforeseen expenditure, pending authorisation by the Legislature. Approval of the Legislature for such expenditure and for withdrawal of an equivalent amount from the Consolidated Fund is subsequently obtained, whereupon the advances from the Contingency Fund are recouped to the Fund.</p> <p><b>Part III: Public Accounts:</b> Receipts and disbursements in respect of certain transactions such as small savings, provident funds, reserve funds, deposits, suspense, remittances etc., which do not form part of the Consolidated Fund, are kept in the Public Accounts, set up under Article 266 (2) of the Constitution and are not subject to vote by the State legislature.</p>	
Part B: Layout of Finance Accounts	
Statement No.	Layout
<p>The Finance Accounts have been divided into two volumes. Volume I presents the Financial Statements of the Government in the form of commonly understood summarised form while the details are presented in Volume II. Volume I contains the certificates of the Comptroller and Auditor General of India, 13 summary statements as given below and notes to accounts including accounting policy.</p>	
Volume I	
1	Statement on Financial Position
2	Statement on Receipts and Disbursements with Annexure A of Cash Balances and Investment of Cash Balances
3	Statement on Receipts (Consolidated Fund)
4	Statement on Expenditure (Consolidated Fund)
5	Statement on Progressive Capital Expenditure
6	Statement on Borrowings and Other Liabilities
7	Statement on Loans and Advances given by the Government
8	Statement on Investments of the Government
9	Statement on Guarantees given by the Government
10	Statement on Grants-in-aid given by the Government
11	Statement on Voted and Charged Expenditure
12	Statement on Sources and Application of funds for expenditure other than revenue account
13	Summary of Balances under Consolidated Fund, Contingency Fund and Public Account

<b>Volume II</b>	
<b>Part I</b>	
14	Detailed Statement on Revenue and Capital Receipts by minor heads
15	Detailed Statement on Revenue Expenditure by minor heads
16	Detailed Statement on Capital Expenditure by minor heads and sub-heads
17	Detailed Statement on Borrowings and Other Liabilities
18	Detailed Statement on Loans and Advances given by the State Government
19	Detailed Statement on Investments of the Government
20	Detailed Statement on Guarantees given by the Government
21	Detailed Statement on Contingency Fund and Other Public Account transactions
22	Detailed Statement on Investments of Earmarked Balances
<b>Part II (Appendices)</b>	
Appendix I	Comparative Expenditure on Salary.
Appendix II	Comparative Expenditure on Subsidy.
Appendix III	Grants-in-aid/ Assistance given by the State Government (Institution-wise and Scheme-wise).
Appendix IV	Details of Externally Aided Projects.
Appendix V	Plan Scheme expenditure A. Central Schemes (Centrally Sponsored Schemes and Central Plan Schemes). B. State Plan Schemes.
Appendix VI	Direct Transfer of Central Scheme Funds to Implementing Agencies in the State (Funds routed outside State Budget) (Un-audited Figures).
Appendix VII	Acceptance and Reconciliation of balances (as depicted in Statements 18 and 21).
Appendix VIII	Financial Results of Irrigation Schemes.
Appendix IX	Commitments of the Government - List of Incomplete Capital Works.
Appendix X	Maintenance Expenditure with segregation of Salary and Non-Salary portion.
Appendix XI	Major policy decisions of the Government during the year or New Schemes proposed in the Budget.
Appendix XII	Committed Liabilities of the Government.
Appendix XIII	Re-organisation of the States- Items for which allocation of balances between/ among the States has not been finalised.

## Appendix 1.3

### Abstract of receipts and disbursements for the year 2016-17

( Reference: Paragraph 1.1.1; Page )

(₹ in crore)

		Receipts		Disbursements					
2015-16			2016-17	2015-16			Non-Plan	Plan	2016-17
<b>Part A</b>									
<b>2,27,075.94</b>	<b>I</b>	<b>Revenue Receipts</b>	<b>2,56,875.15</b>	<b>2,12,735.95</b>	<b>I</b>	<b>Revenue Expenditure</b>	<b>1,86,886.03</b>	<b>49,706.23</b>	<b>2,36,592.26</b>
81,106.26		Tax Revenue	85,965.92	<b>72,227.92</b>		<b>General Services</b>	<b>88,110.97</b>	<b>143.84</b>	<b>88,254.81</b>
				<b>82,486.46</b>		<b>Social Services</b>	<b>50,702.89</b>	<b>41,158.23</b>	<b>91,861.12</b>
23,134.65		-Non-tax Revenue	28,944.07	45,077.35		-Education, Sports, Art and Culture	34,201.21	18,018.70	52,219.91
				11,195.21		-Health and Family Welfare	6,929.68	5,931.85	12,861.53
90,973.69		-State's Share of Union Taxes	1,09,428.29	3,924.34		-Water Supply, Sanitation, Housing and Urban Development	2,322.82	2,212.94	4,535.76
				190.35		-Information and Broadcasting	611.87	1.06	612.93
8,273.90		-Non-Plan Grants	9,334.95	4,510.78		-Welfare of Scheduled Castes, Scheduled Tribes and Other Backward Classes	1,410.51	2,760.53	4,171.04
1,933.17		-Grants for State Plan Schemes	232.32	514.10		-Labour and Labour Welfare	426.89	209.02	635.91
				16,995.08		-Social Welfare and Nutrition	4,705.66	12,023.63	16,729.29
21,654.27		-Grants for Central and Centrally Sponsored Plan Schemes	22,969.60	79.25		-Others	94.25	0.50	94.75
0.00		External Grants Assistance	0.00	<b>47,881.29</b>		<b>Economic Services</b>	<b>37,430.01</b>	<b>8,404.16</b>	<b>45,834.17</b>
				5,097.83		-Agriculture and Allied Activities	3,278.74	2,320.54	5,599.28
				7,714.16		-Rural Development	9,004.22	4,844.03	13,848.25
				11.68		-Special Areas Programme	0.01	11.97	11.98
				5,221.93		-Irrigation and Flood control	4,838.60	627.52	5,466.12
				22,225.00		-Energy	14,398.12	141.62	14,539.74

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				3,082.18		-Industry and Minerals	283.73	388.78	672.51
				3,572.60		-Transport	4,825.02	0.90	4,825.92
				37.77		-Science, Technology and Environment	19.20	43.45	62.65
				918.14		-General Economic Services	782.35	25.35	807.70
				<b>10,140.28</b>		<b>Grants-in-aid &amp; Contributions</b>	<b>10,642.16</b>	-	<b>10,642.16</b>
<b>2,27,075.94</b>		<b>Total</b>	<b>2,56,875.15</b>	<b>2,12,735.95</b>		<b>Total</b>	<b>1,86,886.03</b>	<b>49,706.23</b>	<b>2,36,592.26</b>
Nil	II	Revenue deficit carried over to Part B	Nil	14,339.99	II	Revenue Surplus carried over to Part B	-	-	20,282.89
<b>2,27,075.94</b>		<b>Total</b>	<b>2,56,875.15</b>	<b>2,27,075.94</b>		<b>Total</b>			<b>2,56,875.15</b>
<b>Part B</b>									
(-)401.32	III	Opening Cash balance including Permanent Advances & Cash Balance Investment	(-)202.28	-	III	Opening Overdraft from Reserve Bank of India	-	-	-
-	IV	Miscellaneous Capital receipts	-	64,422.73	IV	Capital Outlay	9,215.70	60,573.42	69,789.12
				5,259.08		General Services	2,396.10	3,331.20	5,727.30
				11,706.76		Social Services	191.11	16,959.36	17,150.47
				1,130.44		-Education, Sports, Art and Culture	3.05	2,015.25	2,018.30
				2,256.01		-Health and Family Welfare	15.46	2,906.84	2,922.30
				7,286.48		-Water Supply, Sanitation, Housing and Urban Development	136.20	10,521.40	10,657.60
				117.31		-Welfare of Scheduled Castes, Scheduled Tribes and Other Backward Classes	-	85.31	85.31
				662.17		-Social Welfare and Nutrition	1.16	1,108.54	1,109.70
				254.35		-Others	35.24	322.01	357.25
				<b>47,456.89</b>		<b>Economic Services</b>	<b>6,628.49</b>	<b>40,282.86</b>	<b>46,911.35</b>
				2,271.78		-Agriculture and Allied Activities	2,892.46	1,028.67	3,921.13
				4,756.88		-Rural Development	-	2,249.22	2,249.22

				554.42		-Special Areas Programmes	-	698.02	698.02
				5,051.88		-Irrigation and Flood Control	-	5,200.76	5,200.76
				18,809.10		-Energy	3,729.65	8,005.43	11,735.08
				91.85		-Industry and Minerals	5.83	146.20	152.03
				15,715.45		-Transport	0.55	22,652.52	22,653.07
				203.53		-General Economic Services	-	297.60	297.60
				2.00		Science Technology and Environment	-	4.42	4.42
<b>725.63</b>	<b>V</b>	<b>Recoveries of Loans and Advances</b>	<b>258.79</b>	<b>9,117.91</b>	<b>V</b>	<b>Loans and Advances disbursed</b>	<b>6,741.09</b>	<b>-</b>	<b>6,741.09</b>
				6,083.12		-For Power Projects	3,700.32	-	3,700.32
				106.79		-To Government Servants	91.54	-	91.54
				2,928.00		-To Others	2,949.24	-	2,949.24
<b>14,339.99</b>	<b>VI</b>	<b>Revenue Surplus brought down</b>	<b>20,282.89</b>	<b>-</b>	<b>VI</b>	<b>Revenue Deficit brought down</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>74,513.58</b>	<b>VII</b>	<b>Public Debt Receipts-</b>	<b>67,685.07</b>	<b>17,672.76</b>	<b>VII</b>	<b>Repayment of Public Debt</b>	<b>20,302.67</b>	<b>-</b>	<b>20,302.67</b>
69,421.17		-Internal debt other than Ways and Means Advances and overdrafts	57,958.94	10,044.95		-Internal debt other than Ways and Means Advances and Overdrafts	10,167.95	-	10,167.95
4,498.55		- Net transactions under Ways and Means Advances	8,695.05	6,230.51		- Net transactions under Ways and Means Advances	8,695.05	-	8,695.05
00		- Net transactions under overdraft	-	0.00		- Net transactions under overdraft	-	-	-
593.86		-Loans and Advances from Central Government	1,031.08	1,397.30		-Repayment of Loans and Advances to Central Government	1,439.67	-	1,439.67
-	<b>VIII</b>	<b>Appropriation from Contingency Fund</b>	<b>-</b>	<b>-</b>	<b>VIII</b>	<b>Appropriation to Contingency Fund</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>201.28</b>	<b>IX</b>	<b>Amount transferred to Contingency Fund</b>	<b>173.12</b>	<b>44.07</b>	<b>IX</b>	<b>Expenditure from Contingency Fund</b>	<b>349.16</b>	<b>-</b>	<b>349.16</b>
<b>2,65,971.96</b>	<b>X</b>	<b>Public Account Receipts</b>	<b>3,06,406.38</b>	<b>2,64,293.87</b>	<b>X</b>	<b>Public Account Disbursements</b>	<b>2,96,523.22</b>	<b>-</b>	<b>2,96,523.22</b>

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10,302.77		-Small Savings & Provident Funds	10,171.49	8,768.41		-Small Savings & Provident Funds	8,552.40	-	8,552.40
15,598.88		-Reserve Funds	20,005.79	13,038.35		-Reserve Funds	12,780.77	-	12,780.77
1,98,734.45		-Suspense & Miscellaneous	15,762.62	1,99,411.79		-Suspense and Miscellaneous	16,063.24	-	16,063.24
27,976.77		-Remittances	2,27,377.96	28,173.38		-Remittances	2,26,786.07	-	2,26,786.07
13,359.09		-Deposits & Advances	33,088.52	14,901.94		-Deposits and Advances	32,340.74	-	32,340.74
-	<b>XI</b>	<b>Closing Overdraft from RBI</b>	-	<b>(-) 200.21</b>	<b>XI</b>	<b>Cash Balance at end</b>		-	<b>898.71</b>
				00		-Cash in Treasuries and Local Remittances	-	-	00
				(-) 1,409.33		-Deposits with Reserve Bank	-	-	(-)1,280.65
				12.68		-Departmental Cash Balance including permanent Advances	-	-	11.13
				1,196.44		-Cash Balance Investment Account	-	-	2,168.23
<b>5,68,087.07</b>		<b>Total</b>	<b>6,51,479.12</b>	<b>5,68,087.07</b>		<b>Total</b>			<b>6,51,479.12</b>

**Appendix 1.4**  
**Budget Estimates, Actual Receipts and Expenditure for 2016-17**  
*(Reference: Paragraph 1.1.3; Page )*

(₹ in crore)

Particulars	Budget Estimates	Actuals	Increase/Decrease (-)	Increase/Decrease(-) in per cent
1	2	3	4 (3-2)	5
<b>Revenue Receipts of which</b>	<b>2,81,555.44</b>	<b>2,56,875.15</b>	<b>-24,680.29</b>	<b>-8.77</b>
<b>Tax Revenue</b>	<b>1,01,256.50</b>	<b>85,965.92</b>	<b>-15,290.58</b>	<b>-15.10</b>
Taxes on Sales, Trade etc.	57,940.30	51,882.88	-6,057.42	-10.45
State Excise	19,250.00	14,273.49	-4,976.51	-25.85
Taxes on Vehicles	5,123.80	5,148.06	24.26	0.47
Stamps and Registration Fees	16,319.60	11,564.02	-4,755.58	-29.14
Taxes on Goods and Passenger	0.00	0.31	0.31	0.00
Land Revenue	660.00	760.05	100.05	15.16
Other Taxes	1,962.80	2,337.11	374.31	19.07
<b>Non Tax Revenue</b>	<b>24,240.85</b>	<b>28,944.07</b>	<b>4,703.22</b>	<b>19.40</b>
Interest Receipts	750.00	1,164.94	414.94	55.33
Miscellaneous General Services	4,220.61	4,460.40	239.79	5.68
Non-ferrous Mining and Metallurgical Industries	1,650.00	1,548.39	-101.61	-6.16
Other Non-Tax Revenue	17,620.24	21,770.34	4,150.10	23.55
<b>Share of Union Taxes and Duties</b>	<b>1,05,637.10</b>	<b>1,09,428.29</b>	<b>3,791.19</b>	<b>3.59</b>
<b>Grants-in-aid from GOI</b>	<b>50,420.99</b>	<b>32,536.87</b>	<b>-17,884.12</b>	<b>-35.47</b>
<b>Revenue Expenditure of which</b>	<b>2,53,354.54</b>	<b>2,36,592.26</b>	<b>-16,762.28</b>	<b>-6.62</b>
<b>General Services</b>	<b>92,856.00</b>	<b>88,254.81</b>	<b>-4,601.19</b>	<b>-4.96</b>
Administrative services	19,242.62	16,324.14	-2,918.48	-15.17
Pension and Miscellaneous General Services	28,539.82	28,270.19	-269.63	-0.94
Interest Payments & Servicing of Debt	38,106.31	37,708.02	-398.29	-1.05
Fiscal Services	4,073.20	3,470.89	-602.31	-14.79
Organs of State	2,894.04	2,481.57	-412.47	-14.25
<b>Social Services</b>	<b>1,01,789.83</b>	<b>91,861.12</b>	<b>-9,928.71</b>	<b>-9.75</b>
Education, Sports, Art and Culture	52,332.21	52,219.91	-112.30	-0.21
Social Welfare and Nutrition	20,637.01	16,729.29	-3,907.72	-18.94
Welfare of Scheduled Castes, Scheduled Tribes and Other Backward Classes	5,228.96	4,171.04	-1,057.92	-20.23
Health and Family Welfare	15,452.96	12,861.53	-2,591.43	-16.77
Water Supply, Sanitation, Housing and Urban Development	6,628.95	4,535.76	-2,093.19	-31.58
Information and Broadcasting	331.69	612.93	281.24	84.79
Labour and Labour Welfare	1,070.37	635.91	-434.46	-40.59
Others	107.68	94.75	-12.93	-12.01
<b>Economic Services</b>	<b>48,021.19</b>	<b>45,834.17</b>	<b>-2,187.02</b>	<b>-4.55</b>
Agriculture and Allied Services	6,850.18	5,599.30	-1,250.88	-18.26
Rural Development	12,417.99	13,848.25	1,430.26	11.52
Special Area Programme	58.51	11.98	-46.53	-79.52
Irrigation & Flood Control	6,920.05	5,466.12	-1,453.93	-21.01
Energy	13,942.57	14,539.74	597.17	4.28

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Industry & Minerals	3,105.72	672.52	-2,433.20	-78.35
Transport	3,545.32	4,825.92	1,280.60	36.12
Science, Technology and Environment	62.86	62.65	-0.21	-0.33
General Economic Services	1,117.98	807.69	-310.29	-27.75
<b>Grants-in-aid and Contributions</b>	<b>10,687.52</b>	<b>10,642.16</b>	<b>-45.36</b>	<b>-0.42</b>
<b>Capital expenditure of which</b>	<b>71,877.99</b>	<b>69,789.12</b>	<b>-2,088.87</b>	<b>-2.91</b>
<b>General Services</b>	<b>6,824.00</b>	<b>5,727.30</b>	<b>-1,096.70</b>	<b>-16.07</b>
<b>Social Services</b>	<b>19,009.47</b>	<b>17,150.47</b>	<b>-1,859.00</b>	<b>-9.78</b>
Education, Sports, Art and Culture	3,701.74	2,018.30	-1,683.44	-45.48
Health and Family Welfare	3,683.59	2,922.30	-761.29	-20.67
Water Supply, Sanitation, Housing and Urban Development	9,774.55	10,657.60	883.05	9.03
Welfare of Scheduled Caste, Scheduled Tribe and Other Backward Classes	180.04	85.31	-94.73	-52.62
Social Welfare & Nutrition	1,273.73	1,109.70	-164.03	-12.88
Other Social Services	395.82	357.26	-38.56	-9.74
<b>Economic Services</b>	<b>46,044.52</b>	<b>46,911.35</b>	<b>866.83</b>	<b>1.88</b>
Agriculture and Allied Services	1,286.15	3,921.13	2,634.98	204.87
Rural Development	6,135.00	2,249.22	-3,885.78	-63.34
Special Area Programme	834.24	698.02	-136.22	-16.33
Irrigation & Flood Control	6,904.95	5,200.76	-1,704.19	-24.68
Energy	11,577.59	11,735.09	157.50	1.36
Industries and Minerals	148.10	152.03	3.93	2.65
Transport	19,001.55	22,653.08	3,651.53	19.22
Science, Technology and Environment	4.50	4.42	-0.08	-1.78
General Economic Services	152.45	297.60	145.15	95.21
<b>Revenue Surplus (+)/Deficits (-)</b>	<b>28,200.90</b>	<b>20,282.89</b>	<b>-7,918.01</b>	<b>-28.08</b>
<b>Fiscal Deficits (-)</b>	<b>49,960.88</b>	<b>55,988.53</b>	<b>6,027.65</b>	<b>12.06</b>
<b>Primary Surplus (+)/Deficits (-)</b>	<b>22,626.92</b>	<b>29,052.86</b>	<b>6,425.94</b>	<b>28.40</b>

## Appendix 1.5

### Time series data on the State Government Finances

( Reference: Paragraph 1.2.2; Page )

(₹ in crore)

	2012-13	2013-14	2014-15	2015-16	2016-17
<b>PART A - RECEIPTS</b>					
<b>1. Revenue Receipts</b>	<b>1,45,904</b>	<b>1,68,214</b>	<b>1,93,422</b>	<b>2,27,076</b>	<b>2,56,875</b>
<b>(i) Tax Revenue</b>	58,098(40)	66,582(40)	74,172(38)	81,106(36)	85,966(33)
Taxes on Sales, Trade, etc.	34,870 (60)	39,645(60)	42,934(58)	47,692(59)	51,883(60)
State Excise	9,782 (17)	11,644(18)	13,483(18)	14,084(17)	14,274(17)
Taxes on Vehicles	2,993 (5)	3,441(5)	3,797(5)	4,410(5)	5,148(6)
Stamps and Registration fees	8,742 (15)	9,521(14)	11,803(16)	12,404(15)	11,564(13)
Land Revenue	805 (1)	772(1)	527(1)	505(1)	760(1)
Taxes on Goods and Passengers	1 (0)	1(0)	1(0)	1(0)	0
Other Taxes	905 (2)	1,558(2)	1,627(2)	2,010(3)	2,337(3)
<b>(ii) Non Tax Revenue</b>	12,970 (9)	16,450(10)	19,935(10)	23,135(10)	28,944(11)
<b>(iii) State's Share of Union Taxes and Duties</b>	57,498 (39)	62,777(37)	66,623(35)	90,974(40)	1,09,428(43)
<b>(iv) Grants-in-aid from GoI</b>	17,338 (12)	22,405(13)	32,692(17)	31,861(14)	32,537(13)
<b>2. Miscellaneous Capital Receipts</b>	-	-	-	-	-
<b>3. Recoveries of Loans and Advances</b>	<b>419</b>	<b>589</b>	<b>262</b>	<b>726</b>	<b>259</b>
<b>4. Total Revenue and Non debt Capital Receipts (1+2+3)</b>	<b>1,46,323</b>	<b>1,68,803</b>	<b>1,93,684</b>	<b>2,27,802</b>	<b>2,57,134</b>
<b>5. Public Debt Receipts</b>	<b>15,820</b>	<b>14,900</b>	<b>35,520</b>	<b>74,514</b>	<b>67,685</b>
Internal Debt (excluding Ways and Means Advances and Overdrafts)	15,493 (98)	14,502(97)	33,302(94)	69,421(93)	57,959(86)
Net transactions under Ways and Means Advances and Overdrafts	31 (0)	8(0)	1,732(5)	4,499(6)	8,695(13)
Loans and Advances from GoI	296 (2)	390(3)	486(1)	594(1)	1,031(1)
<b>6. Total Receipts in the Consolidated Fund(4+5)</b>	<b>1,62,143</b>	<b>1,83,703</b>	<b>2,29,204</b>	<b>3,02,316</b>	<b>3,24,819</b>
<b>7. Contingency Fund Receipts</b>	<b>310</b>	<b>262</b>	<b>1</b>	<b>201</b>	<b>173</b>
<b>8. Public Account Receipts</b>	<b>1,43,478</b>	<b>2,26,078</b>	<b>2,30,199</b>	<b>2,65,972</b>	<b>3,06,406</b>
<b>9. Total Receipts of the State (6+7+8)</b>	<b>3,05,931</b>	<b>4,10,043</b>	<b>4,59,404</b>	<b>5,68,489</b>	<b>6,31,398</b>
<b>PART B - EXPENDITURE/ DISBURSEMENT</b>					
<b>10. Revenue Expenditure</b>	<b>1,40,724 (85)</b>	<b>1,58,147(82)</b>	<b>1,71,027(76)</b>	<b>2,12,736(74)</b>	<b>2,36,592(76)</b>
Plan	25,878 (18)	31,657(20)	33,262(19)	43,251(20)	49,706(21)
Non Plan	1,14,846 (82)	1,26,490(80)	1,37,765(81)	1,69,485(80)	1,86,886(79)
General Services (including interest payments)	59,907 (43)	61,983(39)	64,305(38)	72,228(34)	88,255(37)
Social Services	53,300 (38)	60,756(39)	60,906(36)	82,487(39)	91,861(39)
Economic Services	21,338 (15)	25,711(16)	34,885(20)	47,881(22)	45,834(19)
Grants-in-aid and contributions	6,179 (4)	9,696(6)	10,931(6)	10,140(5)	10,642(5)

Report on State Finances for the year ended 31 March 2017

	2012-13	2013-14	2014-15	2015-16	2016-17
<b>11. Capital Expenditure</b>	<b>23,834 (14)</b>	<b>32,863(17)</b>	<b>53,297(23)</b>	<b>64,423(23)</b>	<b>69,789(22)</b>
Plan	22,608 (95)	30,608(93)	44,416(83)	49,045(76)	60,573(87)
Non Plan	1,226 (5)	2,255(7)	8,881(17)	15,378(24)	9,216(13)
General Services	1,405 (6)	3,463(10)	4,009(7)	5,259(8)	5,727(8)
Social Services	7,594 (32)	6,760(21)	12,755(24)	11,707(18)	17,151(25)
Economic Services	14,835 (62)	22,640(69)	36,534(69)	47,457(74)	46,911(67)
<b>12. Disbursement of Loans and Advances</b>	<b>1,003 (1)</b>	<b>1,473(1)</b>	<b>1,873(1)</b>	<b>9,118(3)</b>	<b>6,741(2)</b>
<b>13. Total Expenditure (10+11+12)</b>	<b>1,65,561</b>	<b>1,92,483</b>	<b>2,26,197</b>	<b>2,86,277</b>	<b>3,13,122</b>
<b>14. Repayments of Public Debt</b>	<b>8,909</b>	<b>8,167</b>	<b>9,411</b>	<b>17,673</b>	<b>20,303</b>
Internal Debt (excluding Ways and Means Advances and Overdrafts)	7,514 (84)	6,694(82)	8,051(86)	10,045(57)	10,168(50)
Net transactions under Ways and Means Advances and Overdraft	-	8(0)	-	6,231(35)	8,695(43)
Loans and Advances from Government of India	1,395 (16)	1,465(18)	1,360(14)	1,397(8)	1,440(7)
<b>15. Appropriation to Contingency Fund</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>16. Total Disbursement out of Consolidated Fund (Total Expenditure) (13+14+15)</b>	<b>1,74,470</b>	<b>2,00,650</b>	<b>2,35,608</b>	<b>3,03,950</b>	<b>3,33,425</b>
<b>17. Contingency Fund disbursements</b>	<b>262</b>	<b>87</b>	<b>203</b>	<b>44</b>	<b>349</b>
<b>18. Public Account disbursements</b>	<b>1,29,472</b>	<b>2,20,459</b>	<b>2,28,014</b>	<b>2,64,294</b>	<b>2,96,523</b>
<b>19. Total disbursement by the State (16+17+18)</b>	<b>3,04,204</b>	<b>4,21,196</b>	<b>4,63,825</b>	<b>5,68,288</b>	<b>6,30,297</b>
<b>PART C. DEFICITS</b>					
<b>20. Revenue Deficit(-)/Revenue Surplus(+) (1-10)</b>	<b>(+)5,180</b>	<b>(+)10,067</b>	<b>(+) 22,394</b>	<b>(+) 14,340</b>	<b>(+)20,283</b>
<b>21. Fiscal Deficit (-)/Fiscal Surplus (+)(4-13)</b>	<b>(-)19,238</b>	<b>(-)23,680</b>	<b>(-) 32,513</b>	<b>(-) 58,475</b>	<b>(-)55,988</b>
<b>22. Primary Deficit (21+23)</b>	<b>(-)2,317</b>	<b>(-)6,268</b>	<b>(-) 13,648</b>	<b>(-) 37,027</b>	<b>(-)29,052</b>
<b>PART D. OTHER DATA</b>					
<b>23. Interest Payments (included in revenue expenditure)</b>	<b>16,921</b>	<b>17,412</b>	<b>18,865</b>	<b>21,448</b>	<b>26,936</b>
<b>24. Financial Assistance to local bodies, etc.</b>	<b>43,212</b>	<b>45,576</b>	<b>52,241</b>	<b>77,069</b>	<b>-</b>
<b>25. Ways and Means Advances/ Overdraft availed (days)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>14</b>	<b>-</b>
Ways and Means Advances availed (days)	-	-	-	-	-
Overdraft availed (days)	-	-	-	-	-
<b>26. Interest on Ways and Means Advances/ Overdraft</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>27 Gross State Domestic Product (GSDP)<sup>9</sup> at current prices</b>	<b>8,22,393</b>	<b>9,40,356</b>	<b>10,11,790</b>	<b>11,20,836</b>	<b>12,75,141</b>
<b>28 Outstanding Fiscal liabilities (year end)</b>	<b>2,59,621</b>	<b>2,81,709</b>	<b>3,07,859</b>	<b>3,67,252</b>	<b>4,23,224</b>

<sup>9</sup> Figures for GSDP- New series has been changed from 2012-13.

	2012-13	2013-14	2014-15	2015-16	2016-17
<b>29. Outstanding guarantees (year end) (including interest)</b>	<b>43,337</b>	<b>62,822</b>	<b>70,740</b>	<b>57,618</b>	<b>55,825</b>
<b>30. Maximum amount guaranteed (year end)</b>	<b>50,459</b>	<b>69,752</b>	<b>78,023</b>	<b>78,826</b>	<b>66,702</b>
<b>31. Number of incomplete projects</b>	<b>383</b>	<b>412</b>	<b>545</b>	<b>924</b>	<b>611</b>
<b>32. Capital blocked in incomplete projects</b>	<b>3,393</b>	<b>3,032</b>	<b>7,714</b>	<b>14,407</b>	<b>12,987</b>
<b>PART E. FISCAL HEALTH INDICATORS</b>					
<b>I Resource Mobilisation</b>					
Tax Revenue/GSDP	7.06	7.08	7.33	7.24	6.74
Non-Tax Revenue/GSDP	1.58	1.75	1.97	2.06	2.26
Central Transfers/GSDP	6.99	6.68	6.58	8.12	8.58
<b>II Expenditure Management</b>					
Total Expenditure/GSDP	20.13	20.47	22.36	25.54	24.56
Total Expenditure/Revenue Receipts	113.47	114.43	116.94	126.07	121.90
Revenue Expenditure/Total Expenditure	85.00	82.16	75.61	74.31	75.56
Expenditure on Social Services/ Total Expenditure	37	35	33	33	35
Expenditure on Economic Services/ Total Expenditure	22	25	32	33	30
Capital Expenditure/Total Expenditure	14	17	24	23	22
Capital Expenditure on Social and Economic Services/Total Expenditure	14	15	22	21	20
<b>III Management of Fiscal Imbalances</b>					
Revenue Deficit (Surplus)/GSDP	(+)0.63	(+)1.07	(+)2.21	(+)1.28	(+)1.59
Fiscal Deficit/GSDP	(-)2.34	(-)2.52	(-)3.21	(-)5.22	(-)4.39
Primary Deficit (Surplus) /GSDP	(-)0.28	(-)0.67	(-)1.35	(-)3.30	(-)2.28
Revenue Deficit/Fiscal Deficit	-	-	-	-	-
Primary Revenue Balance/GSDP	(-)1.43	(-)0.78	(+)0.35	(-)0.63	(-)0.52
<b>IV Management of Fiscal Liabilities</b>					
Fiscal Liabilities/GSDP	32	30	30	33	33
Fiscal Liabilities/RR	178	167	159	162	165
<b>V Other Fiscal Health Indicators</b>					
Return on Investment	62.70	5.23	8.08	42.66	86.34
Balance from Current Revenue	26,323	35,617	32,275	27,037	36,015
Financial Assets/Liabilities	0.85	0.89	0.97	1.02	1.06

Figures in brackets represent percentages (rounded) to total of each sub-heading.

## Appendix 1.6

### (A) Tax Revenue during 2012-17

### (B) Non-Tax Revenue during 2012-17

( Reference: Paragraph 1.2.2.1; Page )

#### (A) Tax Revenue during 2012-17

(₹ in crore)

Heads	2012-13	2013-14	2014-15	2015-16	2016-17	
					Budget Estimate	Actuals
Taxes on Sales, Trade etc.	34,870	39,645	42,934	47,692	57,941	51,883
State Excise	9,782	11,644	13,483	14,084	19,250	14,274
Taxes on Vehicles	2,993	3,441	3,797	4,410	5,124	5,148
Stamp and Registration Fees	8,742	9,521	11,803	12,404	16,320	11,564
Land Revenue	805	772	527	505	660	760
Taxes on Goods and Passenger	1	1	1	1	0	0
Other taxes	905	1,558	1,627	2,010	1,962	2,337
<b>Total A</b>	<b>58,098</b>	<b>66,582</b>	<b>74,172</b>	<b>81,106</b>	<b>1,01,257</b>	<b>85,966</b>

#### (B) Non-Tax Revenue during 2012-17

(₹ in crore)

Heads	2012-13	2013-14	2014-15	2015-16	2016-17	
					Budget Estimate	Actuals
Interest Receipts, Dividend and Profits	1,249	1,624	2,310	676	758	1,251
General Services	5,069	3,907	7,122	6,114	5,158	5,994
Social Services	4,670	7,159	6,514	11,264	11,665	14,653
Economic Services	1,982	3,760	3,988	5,081	6,660	7,046
<b>Total B</b>	<b>12,970</b>	<b>16,450</b>	<b>19,935</b>	<b>23,135</b>	<b>24,241</b>	<b>28,944</b>
<b>Grand Total (A + B)</b>	<b>71,068</b>	<b>83,032</b>	<b>94,107</b>	<b>1,04,241</b>	<b>1,25,498</b>	<b>1,14,910</b>

## Appendix 1.7

### Summarised financial position of the Government as on 31 March 2017

( Reference: Paragraph 1.5.1; Page )

(₹ in crore)

As on 31.03.2016			As on 31.03.2017
<b>Liabilities</b>			
<b>2,40,835.79</b>	<b>Internal Debt</b>		<b>2,88,626.78</b>
1,27,968.32	Market Loans bearing interest	1,64,872.76	
2.65	Market Loans not bearing interest	2.63	
4.15	Loans from Life Insurance Corporation of India	2.30	
1,12,860.67	Loans from Other Institutions	1,23,749.09	
00	Ways and Means Advances	00	
00	Overdrafts from Reserve Bank of India	00	
<b>13,658.21</b>	<b>Loans and Advances from Central Government</b>		<b>13,249.62</b>
9.94	Pre 1984-85 Loans	9.94	
72.42	Non-Plan Loans	65.52	
13,564.23	Loans for State Plan Schemes	13,162.54	
00	Loans for Central Plan Schemes	00	
10.19	Loans for Centrally Sponsored Plan Schemes	10.19	
1.43	Ways and Means Advances	1.43	
<b>600.00</b>	<b>Contingency Fund (Corpus)</b>		<b>600.00</b>
<b>46,655.29</b>	<b>Small Savings, Provident Funds, etc.</b>		<b>48,237.64</b>
<b>22,312.18</b>	<b>Deposits</b>		<b>22,094.39</b>
<b>43,790.33</b>	<b>Reserve Funds</b>		<b>51,015.35</b>
<b>2,732.76</b>	<b>Remittance Balances</b>		<b>3,480.54</b>
<b>6,401.55</b>	<b>Surplus in Government Account</b>		<b>23,891.38</b>
7,938.45	(i) Accumulated deficit at the beginning of the year	3,608.49	
14,339.99	(ii) Add: Revenue Surplus of the current year	20,282.89	
<b>3,76,986.11</b>	<b>Total</b>		<b>4,51,195.70</b>
<b>Assets</b>			
<b>3,52,856.28</b>	<b>Gross Capital Outlay on Fixed Assets</b>		<b>4,20,315.71</b>
84,356.79	Investments in shares of Companies, Corporations, etc.	9,64,00.05	
2,68,499.49	Other Capital Outlay	3,23,915.66	
<b>132.08</b>	<b>Contingency Fund (unrecouped)</b>		<b>308.12</b>
<b>22,458.65</b>	<b>Loans and Advances</b>		<b>28,446.79</b>
8,013.55	Loans for Power Projects	11,713.87	
14,219.52	Other Development Loans	16,518.22	
225.57	Loans to Government servants and Miscellaneous loans	214.70	
<b>45.20</b>	<b>Reserve Fund Investments</b>		<b>45.20</b>
<b>8.75</b>	<b>Advances</b>		<b>91.58</b>
<b>1,685.36</b>	<b>Suspense and Miscellaneous Balances</b>		<b>1,089.59</b>

<b>(-) 200.21</b>	<b>Cash</b>		<b>898.71</b>
00	Cash in Treasuries and Local Remittances	00	
(-) 1,409.33	Deposits with Reserve Bank	(-)1,280.65	
12.21	Departmental Cash Balance	10.69	
0.47	Permanent Advances	0.44	
1,196.44	Cash Balance Investments	2,168.23	
<b>3,76,986.11</b>	<b>Total</b>		<b>4,51,195.70</b>

### Explanatory Notes for Appendices 1.3 and 1.7

The abridged accounts in the foregoing statements have to be read with comments and explanations in the Finance Accounts. Government accounts being mainly on cash basis, the deficit on Government account, as shown in **Appendix 1.7**, indicates the position on cash basis, as opposed to accrual basis in commercial accounting. Consequently, items payable or receivable or items like depreciation or variation in stock figures, etc., do not figure in the accounts. Suspense and Miscellaneous balances include cheques issued but not paid, payments made on behalf of the State and other pending settlements, etc. There was a difference of ₹ 127.29 crore (**Net debit**) between the figures reflected in the Accounts and that intimated by the Reserve Bank of India under “Deposits with Reserve Bank”.

**Appendix 1.8**  
**Details of Reserve Funds**  
( Reference: Paragraph 1.5.2; Page )

(₹ in lakh)

Particulars	Opening Balance	Receipt	Disbursement	Closing Balance
<b>2014-15</b>				
<b>Reserve Funds</b>				
<b>Reserve Fund Bearing Interest</b>				
<b>8115-Depreciation/Renewal Reserve Fund</b>	<b>1,848.54</b>	<b>00</b>	<b>6,290.11</b>	<b>-4,441.57</b>
103-Depreciation Reserve Fund-Government Commercial Departments and Undertakings	6,290.11	00	6,290.11	00
105-Depreciation Reserve Fund-Investment Account	-4,441.57	00	00	-4,441.57
<b>8121-General and other Reserve Fund</b>	<b>126.69</b>	<b>00</b>	<b>126.69</b>	<b>0.00</b>
102-Development Fund for Agricultural Purposes	120.50	00	126.69	-6.19
111-Contingency Reserve Fund-Electricity	6.19	00	00	6.19
<b>Total</b>	<b>1,975.23</b>	<b>00</b>	<b>6,416.80</b>	<b>-4,441.57</b>
<b>Reserve Fund not Bearing Interest</b>				
<b>8222-Sinking Fund</b>	<b>40,25,270.93</b>	<b>4,50,000.00</b>	<b>3,98,780.60</b>	<b>4,076,490.33</b>
01-Appropriation for Reduction or avoidance of debt	40,25,270.93	4,50,000.00	3,98,780.60	4,076,490.33
101-Sinking Funds				
<b>8223-Famine Relief Fund</b>	<b>853.50</b>	<b>00</b>	<b>931.51</b>	<b>-78.01</b>
101-Famine Relief Fund	931.51	00	931.51	0.00
102-Famine Relief Fund Investment Account	-78.01	00	00	-78.01
<b>8225-Roads and Bridges Fund</b>	<b>2,15,744.65</b>	<b>2,80,000.00</b>	<b>5,27,918.38</b>	<b>-32,173.73</b>
101-State Roads and Bridges Fund	2,15,744.65	2,80,000.00	5,27,918.38	-32,173.73
<b>8226-Depreciation/Renewal Reserve Funds</b>	<b>5,931.77</b>	<b>00</b>	<b>1,901.80</b>	<b>4,029.97</b>
102-Depreciation Reserve Fund of Government Non-commercial Departments	5,931.77	00	1,901.80	4,029.97
<b>8229-Development and Welfare Funds</b>	<b>1,09,361.95</b>	<b>2,00,565.15</b>	<b>2,65,934.33</b>	<b>43,992.77</b>
101-Development Funds for Educational Purposes	4,327.38	2,00,565.14	20,102.35	1,84,790.17
102-Development Funds for Medical and Public Health Purposes	1,088.84	00	00	1,088.84
105-Sugar Development Funds	1,000.00	00	00	1,000.00
106-Industrial Development Funds	3,022.38	00	00	3,022.38
109-Cooperative Development Funds	4.77	0.01	00	4.78
200-Other Development and Welfare Funds	99,918.58	00	2,45,831.98	-1,45,913.40
<b>8235-General and other Reserve Funds</b>	<b>28,745.91</b>	<b>68,603.79</b>	<b>66,709.73</b>	<b>30,639.97</b>
101-General Reserve Funds of Government Commercial Departments/Undertakings	498.54	586.52	735.56	349.50
102-Jamindari Abolition Funds	707.78	00	00	707.78
103-Religious and Charitable Endowment Fund	33.79	00	00	33.79
105-General Insurance Fund	27.78	00	00	27.78
111-State Disaster Response Fund	19,089.44	65,590.58	65,123.45	19,556.57
200-Other Funds	8,388.58	2,426.69	850.72	9,964.55
<b>Total</b>	<b>43,85,908.71</b>	<b>9,99,168.94</b>	<b>12,62,176.35</b>	<b>41,22,901.30</b>
<b>Grand Total</b>	<b>43,87,883.94</b>	<b>9,99,168.94</b>	<b>12,68,593.15</b>	<b>41,18,459.73</b>

Report on State Finances for the year ended 31 March 2017

2015-16				
Particulars	Opening Balance	Receipt	Disbursement	Closing Balance
<b>Reserve Funds</b>				
<b>Reserve Fund Bearing Interest</b>				
<b>8115-Depreciation/Renewal Reserve Fund</b>	(-) 4,441.57	00	00	(-) 4,441.57
103-Depreciation Reserve Fund-Government Commercial Departments and Undertakings	00	00	00	00
105-Depreciation Reserve Fund-Investment Account	(-) 4,441.57	00	00	(-) 4,441.57
<b>8121-General and other Reserve Fund</b>	00	00	00	00
102-Development Fund for Agricultural Purposes	(-) 6.19	00	00	(-) 6.19
111-Contingency Reserve Fund-Electricity	6.19	00	00	6.19
<b>Total</b>	<b>(-) 4,441.57</b>	<b>00</b>	<b>00</b>	<b>(-) 4,441.57</b>
<b>Reserve Fund not Bearing Interest</b>				
<b>8222-Sinking Fund</b>	<b>40,76,490.33</b>	<b>6,96,678.20</b>	<b>4,69,904.00</b>	<b>43,03,264.53</b>
01-Appropriation for Reduction or avoidance of debt	40,76,490.33	6,96,678.20	4,69,904.00	43,03,264.53
101-Sinking Funds				
<b>8223-Famine Relief Fund</b>	<b>(-) 78.01</b>	<b>00</b>	<b>00</b>	<b>(-) 78.01</b>
101-Famine Relief Fund	00	00	00	00
102-Famine Relief Fund Investment Account	(-) 78.01	00	00	(-) 78.01
<b>8225-Roads and Bridges Fund</b>	<b>(-) 32,173.73</b>	<b>2,50,000.00</b>	<b>2,49,972.76</b>	<b>(-) 32,146.49</b>
101-State Roads and Bridges Fund	(-) 32,173.73	2,50,000.00	2,49,972.76	(-) 32,146.49
<b>8226-Depreciation/Renewal Reserve Funds</b>	<b>4,029.97</b>	<b>2,000.00</b>	<b>9,829.00</b>	<b>(-) 799.03</b>
102-Depreciation Reserve fund of Government Non-commercial Departments	4,029.97	2,000.00	6,829.00	(-) 799.03
<b>8229-Development and Welfare Funds</b>	<b>43,992.77</b>	<b>2,00,003.47</b>	<b>1,76,389.06</b>	<b>67,607.18</b>
101-Development Funds For Educational Purposes	1,84,790.17	(-) 2,00,557.11	(-) 20,102.35	4,335.41
102-Development Funds for Medical and Public Health Purposes	1,088.84	00	1,088.84	00
105-Sugar Development Funds	1,000.00	560.58	00	1,560.58
106-Industrial Development Funds	3,022.38	00	1,800.00	1,222.38
109-Cooperative Development Funds	4.78	00	4.77	0.01
200-Other Development and Welfare Funds	(-) 1,45,913.40	4,00,000.00	1,93,597.80	60,488.80
<b>8235-General and other Reserve Funds</b>	<b>30,639.97</b>	<b>4,11,206.30</b>	<b>4,00,740.29</b>	<b>41,105.98</b>
101-General Reserve Funds of Government commercial Departments/Undertakings	349.50	292.41	(-)735.56	1,377.47
102-Jamindari Abolition Funds	707.78	0.00	707.78	0.00
103-Religious And Charitable Endowment Fund	33.79	-	-	33.79
105-General Insurance Fund	27.78	00	27.78	00
107-Ethyl Alcohol Storage Facilities Fund	00	0.52	00	0.52
111-State Disaster Response Fund	19,556.57	4,06,725.51	3,99,841.60	26,440.48
200-Other Funds	9,964.55	4,187.86	898.69	13,253.72
<b>Total</b>	<b>41,22,901.30</b>	<b>15,59,887.97</b>	<b>13,03,835.11</b>	<b>43,78,954.16</b>
<b>Grand Total</b>	<b>41,18,459.73</b>	<b>15,59,887.97</b>	<b>13,03,835.11</b>	<b>43,74,512.59</b>

2016-17				
Particulars	Opening Balance	Receipt	Disbursement	Closing Balance
<b>Reserve Funds</b>				
<b>Reserve Fund Bearing Interest</b>				
<b>8115-Depreciation/Renewal Reserve Fund</b>	(-) 4,441.57	00	00	(-) 4,441.57
105-Depreciation Reserve Fund-Investment Account	(-) 4,441.57	00	00	(-) 4,441.57
<b>8121-General and other Reserve Fund</b>	00	00	00	00
102-Development Fund for Agricultural Purposes	(-) 6.19	00	00	(-) 6.19
111-Contingency Reserve Fund-Electricity	6.19	00	00	6.19
<b>Total</b>	<b>(-) 4,441.57</b>	<b>00</b>	<b>00</b>	<b>(-) 4,441.57</b>
<b>Reserve Fund not Bearing Interest</b>				
<b>8222-Sinking Fund</b>	<b>43,03,264.53</b>	<b>10,77,235.00</b>	<b>4,14,560.80</b>	<b>49,65,938.73</b>
01-Appropriation for Reduction or avoidance of debt 101-Sinking Funds	43,03,264.53	10,77,235.00	4,14,560.80	49,65,938.73
<b>8223-Famine Relief Fund</b>	(-) 78.01	00	00	(-) 78.01
102-Famine Relief Fund Investment Account	(-) 78.01	00	00	(-) 78.01
<b>8225-Roads and Bridges Fund</b>	(-) 32,146.49	4,40,000.00	4,40,000.00	(-) 32,146.49
101-State Roads and Bridges Fund	(-) 32,146.49	4,40,000.00	4,40,000.00	(-) 32,146.49
<b>8226-Depreciation/Renewal Reserve Funds</b>	(-) 799.03	00	00	(-) 799.03
102-Depreciation Reserve fund of Government Non-commercial Departments	(-) 799.03	00	00	(-) 799.03
<b>8229-Development and Welfare Funds</b>	<b>67,607.18</b>	<b>2,50,000.00</b>	<b>2,28,775.63</b>	<b>88,831.55</b>
101-Development Funds For Educational Purposes	4,335.41	00	00	4,335.41
105-Sugar Development Funds	1,560.58	00	00	1,560.58
106-Industrial Development Funds	1,222.38	00	00	1,222.38
109-Cooperative Development Funds	0.01	00	00	0.01
200-Other Development and Welfare Funds	60,488.80	2,50,000.00	2,28,775.63	81,713.17
<b>8235-General and other Reserve Funds</b>	<b>41,105.98</b>	<b>2,33,344.64</b>	<b>1,94,740.64</b>	<b>79,709.98</b>
101-General Reserve Funds of Government commercial Departments/Undertakings	1,377.47	5,089.33	00	6,466.80
103-Religious And Charitable Endowment Fund	33.79	0.03	00	33.82
107-Ethyl Alcohol Storage Facilities Fund	0.52	(-)0.52	00	00
111-State Disaster Response Fund	26,440.48	2,28,255.80	1,93,483.67	61,212.61
200-Other Funds	13,253.72	00	1,256.97	11,996.75
<b>Total</b>	<b>43,78,954.16</b>	<b>20,00,579.64</b>	<b>12,78,077.07</b>	<b>51,01,456.73</b>
<b>Grand Total</b>	<b>43,74,512.59</b>	<b>20,00,579.64</b>	<b>12,78,077.07</b>	<b>50,97,015.16</b>

## Appendix 2.1

### Excess expenditure despite reduction in provision by re-appropriation

(Reference: Paragraph 2.2.1; Page )

(₹ in crore)

Sl. No.	Name of scheme	Provision	Reduction	Total Provision	Expenditure	Excess Expenditure
1.	Maintenance and repairs of District and other roads (3054-04-337-03)	O-1,703.00	7.32	1,695.68	1,859.41	163.73
2.	Maintenance of Districts and other roads from State Road Fund (3054-04-337-05)	O-1,500.00 S-1,000.00	17.60	2,482.40	2,709.19	226.79
3.	Incomplete works/ strengthening/ widening of roads in Bharat Nepal frontline districts approved by 13 <sup>th</sup> Finance Commission (5054-02-337-04)	O- 57.37	10.60	46.77	51.44	4.67
4.	Construction works of State Highways (5054-03-337-03)	O- 324.00 S- 229.00	41.34	511.66	859.61	347.95
5.	Lump-sum provision for construction works of State Highways (5054-03-337-13)	O- 75.00 S- 109.00	32.22	151.78	378.38	226.60
6.	Construction of by-pass in cities having population over one lakh (5054-03-337-84)	O- 10.00 S- 20.00	20.00	10.00	11.00	1.00
7.	Expenses from Uttar Pradesh Trade Development Fund (5054-04-337-05)	O- 625.00	112.49	512.51	559.30	46.79
8.	Lump-sum provision for District and other road construction work (5054-04-337-13)	O-2,479.00 S- 589.00	106.87	2,961.13	3,166.81	205.68
9.	Provision for current works of construction of roads and small bridges in naxal affected areas (5054-04-337-63)	O- 40.00	1.80	38.20	42.02	3.82
10.	Lump-sum provision for re-construction of damaged link roads of villages having population 1000 or more selected under Shri Ram Manohar Lohia Integrated Village Development Scheme (5054-04-337-90)	O- 50.00	1.22	48.78	55.14	6.36
11.	Lump-sum provision for new work of link roads/minor bridges in unconnected inhabitations for agriculture marketing facilities (5054-04-337-93)	O- 40.00	0.59	39.41	46.40	6.99
<b>Total</b>		<b>O-6,903.37 S-1,947.00</b>	<b>352.05</b>	<b>8,498.32</b>	<b>9,738.70</b>	<b>1,240.38</b>

## Appendix 2.2

**(A) Excess expenditure relating to previous years requiring regularisation**

**(B) Excess of expenditure requiring regularisation during 2016-17**

(Reference: Paragraph 2.2.2 ; Page )

**(A) Excess expenditure relating to previous years requiring regularisation**

(₹ in crore)

Sl. No.	Year	Number of grants/ appropriations	Details of grants/ appropriations	Amounts of excess
1.	2005-06	25- Grants 4- Appropriations	Revenue Voted- 8,12,19,53,55,57,58,72; Capital Voted-15,16,18,23, 24, 33, 34,37,38,40, 55,56, 57,58,73,75,96; Revenue Charged-1,52; Capital Charged-52,55;	1,026.78
2.	2006-07	18-Grants 6-Appropriations	Revenue Voted-9,13,55,58,61,62,73,91,95; Capital Voted-3,16,31, 37, 55,57,58,89,96; Revenue Charged-2,3,10,52,62,89;	2,484.47
3.	2007-08	12-Grants 2-Appropriations	Revenue Voted-51,55,57,58,62; Capital Voted-13,16,55,58,63,83,96; Revenue Charged-51,66	3,610.65
4.	2008-09	5-Grants 1-Appropriation	Revenue Voted-62,96; Capital Voted-55,58,96; Revenue Charged-52;	3,399.42
5.	2009-10	6-Grants 6-Appropriations	Revenue Voted-58; Capital Voted-1,16,55,58,59; Revenue Charged-3,10,16,48,52,66;	1,250.16
6.	2010-11	6-Grants 4-Appropriations	Revenue Voted-30,51,91; Capital Voted-10,55,58; Revenue Charged-10,23,61,82;	1,702.62
7.	2011-12	6-Grants 6-Appropriations	Revenue Voted-21,62,91; Capital Voted-1,55,58; Revenue Charged-13,18,23,61,62,82;	1,889.66
8.	2012-13	4-Grants 3-Appropriations	Revenue Voted-51,57; Capital Voted-55,58; Revenue Charged-55,62,89;	2,380.23
9.	2013-14	2- Grants 1- Appropriation	Capital Voted - 55, 58; Capital Charged - 52;	2,608.18
10.	2014-15	7- Grants 1- Appropriation	Revenue Voted - 57,91; Capital Voted -1,40,55,57,58; Revenue Charged -13;	2,225.32
11.	2015-16	4- Grants 4- Appropriations	Capital Voted - 55,57,58,87; Revenue Charged -2,23,52,62;	1,566.71
<b>Total</b>				<b>24,144.20</b>

(Source: Appropriation Accounts of the respective years)

**(B) Excess of expenditure requiring regularisation during 2016-17**

(₹ in crore)

Sl. No.	Number and name of grant/ appropriation	Total grant/ appropriation	Expenditure	Excess expenditure	Adjustment of amounts during the year	Excess expenditure requiring regularisation
1	2	3	4	5	6	7
<b>Capital - Voted</b>						
1.	55- Public Works Department (Buildings)	81.02	115.35	34.33	7.91	26.42
2.	58- Public Works Department (Communications - Roads)	11,515.48	13,217.15	1,701.67	860.75	840.92
3.	87-Soldiers' Welfare Department	2.14	2.15	0.01	0.00	0.01
<b>Total</b>		<b>11,598.64</b>	<b>13,334.65</b>	<b>1,736.01</b>	<b>868.66</b>	<b>867.35</b>
<b>Revenue - Charged</b>						
4.	89-Institutional Finance Department (Commercial Tax)	65.45	65.58	0.13	0.00	0.13
<b>Total</b>		<b>65.45</b>	<b>65.58</b>	<b>0.13</b>	<b>0.00</b>	<b>0.13</b>
<b>Capital - Charged</b>						
5.	61- Finance Department (Debt services and Other Expenditure)	15,435.44	20,230.22	4,794.78	0.09 <sup>1</sup>	4,794.69
<b>Total</b>		<b>15,435.44</b>	<b>20,230.22</b>	<b>4,794.78</b>	<b>0.09</b>	<b>4,794.69</b>
<b>Grand Total</b>		<b>27,099.53</b>	<b>33,630.45</b>	<b>6,530.92</b>	<b>868.75</b>	<b>5,662.17</b>

(Source: Appropriation Accounts 2016-17)

<sup>1</sup> The clearance of suspense for the year 2015-16 amounting to ₹ 9.14 lakh.

### Appendix 2.3

#### Savings more than ₹ 10 crore under each Grant/Appropriation and more than 20 per cent of total provision

(Reference: Paragraph 2.2.3; Page )

(₹ in crore)

Sl. No.	Grant No.	Name of the Grant/Appropriation	Total Provision	Expenditure	Savings	Percentage
<b>Revenue- Voted</b>						
1.	02	Housing Department	965.93	743.32	222.61	23
2.	06	Industries Department (Handloom Industry)	257.02	39.74	217.28	85
3.	07	Industries Department (Heavy and Medium Industries)	1,150.19	143.34	1,006.85	88
4.	10	Agriculture and other Allied Departments (Horticultural and Sericulture Development)	362.15	264.67	97.48	27
5.	11	Agriculture and other Allied Departments (Agriculture)	3,534.84	2,706.26	828.58	23
6.	12	Agriculture and other Allied Departments (Land Development and Water Resources)	306.52	202.85	103.67	34
7.	17	Agriculture and other Allied Departments (Fisheries)	120.95	62.20	58.75	49
8.	24	Cane Development Department (Sugar Industry)	1,249.26	65.25	1,184.01	95
9.	27	Home Department (Civil Defence)	21.85	11.16	10.69	49
10.	33	Medical Department (Ayurvedic and Unani)	850.03	609.18	240.85	28
11.	34	Medical Department (Homoeopathy)	373.64	284.37	89.27	24
12.	35	Medical Department (Family Welfare)	5,188.59	3,925.01	1,263.58	24
13.	36	Medical Department (Public Health)	695.02	413.71	281.31	40
14.	37	Urban Development Department	7,500.60	4,749.13	2,751.47	37
15.	40	Planning Department	287.74	186.58	101.16	35
16.	41	Election Department	476.79	367.67	109.12	23
17.	42	Judicial Department	1,775.51	1,343.25	432.26	24
18.	43	Transport Department	241.63	185.99	55.64	23
19.	44	Tourism Department	82.84	51.81	31.03	37
20.	48	Minorities Welfare Department	2,153.58	1,179.81	973.77	45
21.	51	Revenue Department (Relief on Account of Natural Calamities)	6,965.50	2,833.00	4,132.50	59
22.	54	Public Works Department (Establishment)	2,180.26	401.89	1,778.37	82
23.	60	Forest Department	672.88	534.66	138.22	21
24.	63	Finance Department (Treasury and Accounts Administration)	286.75	189.87	96.88	34
25.	69	Vocational Education Department	469.50	345.64	123.86	26
26.	73	Education Department (Higher Education)	2,280.36	1,820.07	460.29	20
27.	75	Education Department (State Council of Educational Research and Training)	154.18	115.21	38.97	25
28.	88	Institutional Finance Department (Directorate)	919.65	698.35	221.30	24
29.	92	Culture Department	80.33	58.81	21.52	27
30.	95	Irrigation Department (Establishment)	4,014.52	2,834.11	1,180.41	29
<b>Net Total</b>			<b>45,618.61</b>	<b>27,366.91</b>	<b>18,251.70</b>	<b>40</b>

**Report on State Finances for the year ended 31 March 2017**

<b>Revenue- Charged</b>						
31.	20	Personnel Department (Public Service Commission)	62.77	48.12	14.65	23
<b>Net Total</b>			<b>62.77</b>	<b>48.12</b>	<b>14.65</b>	<b>23</b>
<b>Capital-Voted</b>						
32.	11	Agriculture and other Allied Departments (Agriculture)	789.66	356.83	432.83	55
33.	13	Agriculture and other Allied Departments (Rural Development)	11,831.31	8,530.35	3,300.96	28
34.	15	Agriculture and other Allied Departments (Animal Husbandry)	263.19	158.27	104.92	40
35.	26	Home Department (Police)	1,741.50	1,289.45	452.05	26
36.	32	Medical Department (Allopathy)	862.20	581.03	281.17	33
37.	35	Medical Department (Family Welfare)	188.99	0.00	188.99	100
38.	38	Civil Aviation Department	302.00	196.59	105.41	35
39.	42	Judicial Department	1,179.51	598.09	581.42	49
40.	43	Transport Department	290.81	177.78	113.03	39
41.	44	Tourism Department	423.45	298.91	124.54	29
42.	47	Technical Education Department	258.26	175.71	82.55	32
43.	48	Minorities Welfare Department	1,057.51	712.51	345.00	33
44.	51	Revenue Department (Relief on Account of Natural Calamities)	22.50	6.65	15.85	70
45.	52	Revenue Department (Board of Revenue and other Expenditure)	73.87	11.83	62.04	84
46.	62	Finance Department (Superannuation Allowances and Pensions)	300.00	34.57	265.43	88
47.	71	Education Department (Primary Education)	1,331.45	55.00	1,276.45	96
48.	72	Education Department (Secondary Education)	603.51	367.44	236.07	39
49.	74	Home Department (Home Guards)	36.60	14.22	22.38	61
50.	75	Education Department (State Council of Educational Research and Training)	50.57	2.26	48.31	96
51.	76	Labour Department (Labour Welfare)	10.25	0.25	10.00	98
52.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	188.10	145.38	42.72	23
53.	81	Social Welfare Department (Tribal Welfare)	47.89	17.12	30.77	64
54.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	10,375.08	7,897.10	2,477.98	24
55.	84	General Administration Department	23.75	10.50	13.25	56
56.	86	Information Department	34.00	0.00	34.00	100
57.	88	Institutional Finance Department (Directorate)	38.00	19.00	19.00	50
58.	92	Culture Department	59.15	35.16	23.99	41
59.	94	Irrigation Department (Works)	7,312.97	5,678.05	1,634.92	22
<b>Net Total</b>			<b>39,696.08</b>	<b>27,370.05</b>	<b>12,326.03</b>	<b>31</b>
<b>Capital - Charged</b>						
60.	58	Public Works Department (Communications-Roads)	12.00	1.34	10.66	89
<b>Net Total</b>			<b>12.00</b>	<b>1.34</b>	<b>10.66</b>	<b>89</b>
<b>Grand Total</b>			<b>85,389.46</b>	<b>54,786.42</b>	<b>30,603.04</b>	<b>36</b>

## Appendix 2.4

### Savings of ₹ 100 crore and above under each Grant/Appropriation

(Reference: Paragraph 2.2.3; Page )

(₹ in crore)

Sl. No.	Grant No.	Name of the Grant/Appropriation	Provision			Actual Expenditure	Savings
			Original Grant	Supplementary	Total Grant		
<b>Revenue – Voted</b>							
1.	2	Housing Department	964.93	1.00	965.93	743.32	222.61
2.	6	Industries Department (Handloom Industry)	257.02	0.00	257.02	39.74	217.28
3.	7	Industries Department (Heavy and Medium Industries)	1,144.19	6.00	1,150.19	143.34	1,006.85
4.	9	Power Department	14,441.46	935.22	15,376.68	15,004.89	371.79
5.	11	Agriculture and other Allied Departments (Agriculture)	3,484.71	50.13	3,534.84	2,706.26	828.58
6.	12	Agriculture and other Allied Departments (Land Development and Water Resources)	306.52	0.00	306.52	202.85	103.67
7.	13	Agriculture and other Allied Departments (Rural Development)	1,999.44	209.11	2,208.55	1,905.69	302.86
8.	15	Agriculture and other Allied Departments (Animal Husbandry)	1,066.90	5.33	1,072.23	918.04	154.19
9.	24	Cane Development Department (Sugar Industry)	1,241.57	7.69	1,249.26	65.25	1,184.01
10.	26	Home Department (Police)	13,524.59	0.25	13,524.84	12,638.50	886.34
11.	31	Medical Department (Medical Education and Training)	1,900.34	179.00	2,079.34	1,927.26	152.08
12.	32	Medical Department (Allopathy)	5,417.21	64.65	5,481.86	4,393.44	1,088.42
13.	33	Medical Department (Ayurvedic and Unani)	850.03	0.00	850.03	609.18	240.85
14.	35	Medical Department (Family Welfare)	5,188.59	0.00	5,188.59	3,925.01	1,263.58
15.	36	Medical Department (Public Health)	695.02	0.00	695.02	413.71	281.31
16.	37	Urban Development Department	6,907.80	592.80	7,500.60	4,749.13	2,751.47
17.	40	Planning Department	286.74	1.00	287.74	186.58	101.16
18.	41	Election Department	366.79	110.00	476.79	367.67	109.12
19.	42	Judicial Department	1,718.57	56.94	1,775.51	1,343.25	432.26
20.	48	Minorities Welfare Department	2,153.57	0.01	2,153.58	1,179.81	973.77

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21.	49	Women and Child Welfare Department	5,752.54	260.44	6,012.98	4,906.25	1,106.73
22.	51	Revenue Department (Relief on Account of Natural Calamities)	4,965.50	2,000.00	6,965.50	2,833.00	4,132.50
23.	52	Revenue Department (Board of Revenue and other Expenditure)	3,185.91	98.86	3,284.77	2,685.35	599.42
24.	54	Public Works Department (Establishment)	2,180.26	0.00	2,180.26	401.89	1,778.37
25.	60	Forest Department	672.88	0.00	672.88	534.66	138.22
26.	69	Vocational Education Department	468.96	0.54	469.50	345.64	123.86
27.	71	Education Department (Primary Education)	36,794.61	3,614.06	40,408.67	37,994.05	2,414.62
28.	72	Education Department (Secondary Education)	8,430.12	1.03	8,431.15	8,037.09	394.06
29.	73	Education Department (Higher Education)	2,247.11	33.25	2,280.36	1,820.07	460.29
30.	78	Secretariat Administration Department	767.32	0.00	767.32	662.06	105.26
31.	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	5,869.29	1.00	5,870.29	5,483.71	386.58
32.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	12,523.18	50.36	12,573.54	10,869.33	1,704.21
33.	88	Institutional Finance Department (Directorate)	904.65	15.00	919.65	698.35	221.30
34.	94	Irrigation Department (Works)	2,208.78	64.00	2,272.78	2,170.24	102.54
35.	95	Irrigation Department (Establishment)	4,014.52	0.00	4,014.52	2,834.11	1,180.41
<b>Total</b>			<b>1,54,901.62</b>	<b>8,357.67</b>	<b>1,63,259.29</b>	<b>1,35,738.72</b>	<b>27,520.57</b>
<b>Revenue – Charged</b>							
36.	9	Power Department	4,008.18	49.01	4,057.19	3,691.77	365.42
<b>Total</b>			<b>4,008.18</b>	<b>49.01</b>	<b>4,057.19</b>	<b>3,691.77</b>	<b>365.42</b>
<b>Capital – Voted</b>							
37.	2	Housing Department	2,039.00	360.00	2,399.00	2,139.90	259.10
38.	7	Industries Department (Heavy and Medium Industries)	5,702.25	2,020.76	7,723.01	6,239.19	1,483.82
39.	9	Power Department	14,348.19	1,142.52	15,490.71	15,242.71	248.00
40.	11	Agriculture and other Allied Departments (Agriculture)	776.60	13.06	789.66	356.83	432.83

41.	13	Agriculture and other Allied Departments (Rural Development)	11,213.51	617.80	11,831.31	8,530.35	3,300.96
42.	15	Agriculture and other Allied Departments (Animal Husbandry)	175.37	87.82	263.19	158.27	104.92
43.	21	Food and Civil Supplies Department	7,655.87	1,506.97	9,162.84	8,876.13	286.71
44.	26	Home Department (Police)	1,501.50	240.00	1,741.50	1,289.45	452.05
45.	31	Medical Department (Medical Education and Training)	2,318.57	344.00	2,662.57	2,164.18	498.39
46.	32	Medical Department (Allopathy)	842.20	20.00	862.20	581.03	281.17
47.	35	Medical Department (Family Welfare)	188.99	0.00	188.99	0.00	188.99
48.	38	Civil Aviation Department	202.00	100.00	302.00	196.59	105.41
49.	40	Planning Department	1,234.24	656.25	1,890.49	1,554.28	336.21
50.	42	Judicial Department	1,090.51	89.00	1,179.51	598.09	581.42
51.	43	Transport Department	233.15	57.66	290.81	177.78	113.03
52.	44	Tourism Department	152.45	271.00	423.45	298.91	124.54
53.	48	Minorities Welfare Department	902.39	155.12	1,057.51	712.51	345.00
54.	61	Finance Department (Debt Services & other Expenditure)	2,726.40	0.00	2,726.40	2,608.90	117.50
55.	62	Finance Department (Superannuation Allowances and Pensions)	300.00	0.00	300.00	34.57	265.43
56.	71	Education Department (Primary Education)	1,271.45	60.00	1,331.45	55.00	1,276.45
57.	72	Education Department (Secondary Education)	526.71	76.80	603.51	367.44	236.07
58.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	9,048.64	1,326.44	10,375.08	7,897.10	2,477.98
59.	94	Irrigation Department (Works)	6,597.97	715.00	7,312.97	5,678.05	1,634.92
<b>Total</b>			<b>71,047.96</b>	<b>9,860.20</b>	<b>80,908.16</b>	<b>65,757.26</b>	<b>15,150.90</b>
<b>Grand Total</b>			<b>2,29,957.76</b>	<b>18,266.88</b>	<b>2,48,224.64</b>	<b>2,05,187.75</b>	<b>43,036.89</b>

## Appendix 2.5

### Grants with Persistent Savings

(Reference: Paragraph 2.2.4; Page )

(₹ in crore)

Sl. No.	Grant No.	Name of the Grant	Amount of Savings				
			2012-13	2013-14	2014-15	2015-16	2016-17
<b>Revenue – Voted</b>							
1.	11	Agriculture and other Allied Departments (Agriculture)	644.92	596.10	425.39	438.74	828.58
2.	13	Agriculture and other Allied Departments (Rural Development)	103.79	201.09	399.75	208.61	302.86
3.	26	Home Department (Police)	793.40	982.88	994.09	1,346.41	886.34
4.	32	Medical Department (Allopathy)	403.79	471.31	672.14	938.53	1,088.42
5.	35	Medical Department (Family Welfare)	221.35	169.95	210.71	1,404.12	1,263.58
6.	37	Urban Development Department	238.51	654.69	2,762.12	1,390.72	2,751.47
7.	42	Judicial Department	178.52	223.31	330.65	329.12	432.26
8.	48	Minorities Welfare Department	104.26	201.19	815.40	852.81	973.77
9.	49	Women and Child Welfare Department	372.97	271.58	370.04	1,058.88	1,106.73
10.	52	Revenue Department (Board of Revenue and other expenditure)	353.02	202.58	337.40	456.79	599.42
11.	54	Public Works Department (Establishment)	681.45	1,041.27	1,265.68	1,384.03	1,778.37
12.	71	Education Department (Primary Education)	1,865.81	2,567.23	4,390.54	3,229.85	2,414.62
13.	72	Education Department (Secondary Education)	1,276.77	874.11	787.75	918.15	394.06
14.	73	Education Department (Higher Education)	816.09	348.28	422.39	278.80	460.29
15.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	1,762.10	1,315.74	2,509.94	2,306.78	1,704.21
16.	94	Irrigation Department (Works)	198.79	738.76	745.95	766.33	102.54
17.	95	Irrigation Department (Establishment)	483.40	597.47	739.30	933.97	1,180.41
<b>Total</b>			<b>10,498.94</b>	<b>11,457.54</b>	<b>18,179.24</b>	<b>18,242.64</b>	<b>18,267.93</b>
<b>Capital – Voted</b>							
18.	11	Agriculture and other Allied Departments (Agriculture)	177.73	470.53	286.17	533.67	432.83
19.	13	Agriculture and other Allied Departments (Rural Development)	203.22	145.76	2,017.90	1,669.11	3,300.96
20.	26	Home Department (Police)	363.24	126.51	110.84	282.44	452.05
21.	48	Minorities Welfare Department	164.73	148.22	640.44	635.44	345.00
22.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	588.84	524.04	1,634.76	1,357.70	2,477.98
<b>Total</b>			<b>1,497.76</b>	<b>1,415.06</b>	<b>4,690.11</b>	<b>4,478.36</b>	<b>7,008.82</b>
<b>Grand Total</b>			<b>11,996.70</b>	<b>12,872.60</b>	<b>22,869.35</b>	<b>22,721.00</b>	<b>25,276.75</b>

## Appendix 2.6

### Cases where supplementary provision (₹ one crore or more in each case) proved unnecessary

(Reference: Paragraph 2.2.5; Page )

(₹ in crore)

Sl. No.	Grant No.	Name of the Grant/ Appropriation	Original Provision	Actual Expenditure	Supplementary Provision	Savings out of Original Provision
<b>Revenue – Voted</b>						
1.	02	Housing Department	964.93	743.32	1.00	221.61
2.	03	Industries Department (Small Industry and Export Promotion)	324.13	273.56	11.12	50.57
3.	07	Industries Department (Heavy and Medium Industries)	1,144.19	143.34	6.00	1,000.85
4.	10	Agriculture and other Allied Departments (Horticultural and Sericulture Development)	330.45	264.67	31.70	65.78
5.	11	Agriculture and other Allied Departments (Agriculture)	3,484.71	2,706.27	50.13	778.44
6.	13	Agriculture and other Allied Departments (Rural Development)	1,999.44	1,905.70	209.11	93.74
7.	15	Agriculture and other Allied Departments (Animal Husbandry)	1,066.89	918.04	5.33	148.85
8.	17	Agriculture and other Allied Departments (Fisheries)	90.95	62.20	30.00	28.75
9.	21	Food and Civil Supplies Department	329.04	310.39	56.05	18.65
10.	24	Cane Development Department (Sugar Industry)	1,241.57	65.25	7.69	1,176.32
11.	32	Medical Department (Allopathy)	5,417.21	4,393.44	64.65	1,023.77
12.	37	Urban Development Department	6,907.80	4,749.13	592.80	2,158.67
13.	39	Language Department	22.75	22.18	1.72	0.57
14.	40	Planning Department	286.74	186.58	1.00	100.16
15.	42	Judicial Department	1,718.57	1,343.25	56.94	375.32
16.	43	Transport Department	232.31	185.99	9.32	46.32
17.	46	Administrative Reforms Department	14.21	13.66	1.60	0.55
18.	49	Women and Child Welfare Department	5,752.54	4,906.25	260.44	846.29
19.	50	Revenue Department (District Administration)	797.51	711.87	1.49	85.64
20.	51	Revenue Department (Relief on account of Natural Calamities)	4,965.50	2,833.00	2,000.00	2,132.50
21.	52	Revenue Department (Board of Revenue and other Expenditure)	3,185.92	2,685.35	98.86	500.57
22.	65	Finance Department (Audit, Small Savings, etc.)	255.25	207.32	2.10	47.93
23.	72	Education Department (Secondary Education)	8,430.12	8,037.09	1.03	393.03

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24.	73	Education Department (Higher Education)	2,247.11	1,820.07	33.25	427.04
25.	75	Education Department (State Council of Educational Research and Training)	149.37	115.21	4.81	34.16
26.	76	Labour Department (Labour Welfare)	301.49	257.04	4.50	44.45
27.	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	5,869.29	5,483.71	1.00	385.58
28.	81	Social Welfare Department (Tribal Welfare)	239.49	223.66	23.87	15.83
29.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	12,523.18	10,869.33	50.36	1,653.85
30.	88	Institutional Finance Department (Directorate)	904.65	698.35	15.00	206.30
31.	92	Culture Department	69.03	58.81	11.30	10.22
32.	94	Irrigation Department (Works)	2,208.78	2,170.24	64.00	38.54
<b>Total</b>			<b>73,475.12</b>	<b>59,364.27</b>	<b>3,708.17</b>	<b>14,110.85</b>
<b>Revenue – Charged</b>						
33.	9	Power Department	4,008.18	3,691.77	49.01	316.41
34.	42	Judicial Department	311.78	291.83	20.85	19.95
<b>Total</b>			<b>4,319.96</b>	<b>3,983.60</b>	<b>69.86</b>	<b>336.36</b>
<b>Capital – Voted</b>						
35.	11	Agriculture and other Allied Departments (Agriculture)	776.60	356.83	13.06	419.77
36.	13	Agriculture and other Allied Departments (Rural Development)	11,213.51	8,530.35	617.80	2,683.16
37.	15	Agriculture and other Allied Departments (Animal Husbandry)	175.37	158.27	87.81	17.10
38.	26	Home Department (Police)	1,501.50	1,289.45	240.00	212.05
39.	31	Medical Department (Medical Education and Training)	2,318.57	2,164.18	344.00	154.39
40.	32	Medical Department (Allopathy)	842.20	581.03	20.00	261.17
41.	38	Civil Aviation Department	202.00	196.59	100.00	5.41
42.	42	Judicial Department	1,090.51	598.09	89.00	492.42
43.	43	Transport Department	233.15	177.78	57.66	55.37
44.	47	Technical Education Department	257.26	175.71	1.00	81.55
45.	48	Minorities Welfare Department	902.39	712.51	155.13	189.88
46.	59	Public Works Department (Estate Directorate)	411.92	405.01	2.00	6.91
47.	69	Vocational Education Department	243.65	242.94	1.83	0.71
48.	71	Education Department (Primary Education)	1,271.45	55.00	60.00	1,216.45
49.	72	Education Department (Secondary Education)	526.71	367.45	76.80	159.26
50.	74	Home Department (Home Guards)	31.60	14.22	5.00	17.38

51.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	185.46	145.38	2.64	40.08
52.	81	Social Welfare Department (Tribal Welfare)	45.22	17.13	2.67	28.09
53.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	9,048.64	7,897.10	1,326.44	1,151.54
54.	86	Information Department	24.00	0.00	10.00	24.00
55.	92	Culture Department	52.38	35.16	6.77	17.22
56.	94	Irrigation Department (Works)	6,597.97	5,678.05	715.00	919.92
<b>Total</b>			<b>37,952.06</b>	<b>29,798.23</b>	<b>3,934.61</b>	<b>8,153.83</b>
<b>Grand total</b>			<b>1,15,747.14</b>	<b>93,146.10</b>	<b>7,712.64</b>	<b>22,601.04</b>

**Appendix 2.7**  
**Excess/Unnecessary Re-appropriation of Funds**  
*(Reference: Paragraph 2.2.6; Page )*

(₹ in lakh)

Sl. No.	Grant No.	Name of the Grant	Head of Account	Re-appropriation	Excess	Savings (-)
1.	01	Excise Department	2039-001-03	407.03	0.00	22.19
2.	02	Housing Department	4217-60-800-05	1,114.50	4,796.23	0.00
3.	04	Industries Department (Mines and Minerals)	2853-02-001-03	192.87	0.00	29.27
4.	07	Industries Department (Heavy and Medium Industries)	4859-02-800-10	4,000.00	0.00	5,000.00
5.	08	Industries Department (Printing and Stationery)	2058-001-03	410.00	0.00	4.05
6.			2058-103-04	1.25	1.27	0.00
7.	09	Power Department	4801-06-190-06	83,792.00	0.00	14,210.18
8.	10	Agriculture and other Allied Departments (Horticultural and Sericulture Development)	2401-001-03	2.46	0.86	0.00
9.			2401-119-01	100.43	0.00	139.42
10.			2851-001-03	10.00	0.00	199.33
11.	11	Agriculture and other Allied Departments (Agriculture)	2415-80-120-09	238.29	0.00	385.34
12.			4415-80-277-27	570.14	0.00	355.64
13.	13	Agriculture and other Allied Departments (Rural Development)	2501-01-800-03	1,492.22	0.00	672.17
14.			2515-102-06	19.00	0.00	283.35
15.			2515-001-03	362.13	0.00	14.20
16.			2515-800-07	443.80	0.00	300.00
17.			2515-800-08	4,737.00	300.00	0.00
18.			4215-01-102-02	5,462.86	6,032.98	0.00
19.			4216-03-800-04	1,11,138.29	0.00	1,11,138.29
20.			5054-04-337-03	41,829.74	25,071.83	0.00
21.	15	Agriculture and other Allied Departments (Animal Husbandry)	2403-102-13	92.00	0.00	0.58
22.			2403-102-22	100.00	31.24	0.00
23.			2403-104-01	21.00	0.00	25.00
24.			2403-106-03	200.00	0.00	61.96
25.			2403-107-01	15.00	0.00	0.70
26.			2403-113-01	123.50	0.00	187.49
27.	17	Agriculture and other Allied Departments (Fisheries)	2405-101-02	1,631.70	0.00	1,631.70
28.	18	Agriculture and other Allied Departments (Co-operative)	2425-001-06	12.00	0.00	14.75
29.	21	Food and Civil Supplies Department	3475-106-04	1.00	0.00	17.76
30.	25	Home Department (Jails)	2056-001-03	68.35	6.30	0.00
31.			2056-102-03	150.00	2.17	0.00
32.	27	Home Department (Civil Defence)	2070-106-03	8.00	0.00	47.02
33.	28	Home Department (Political Pension and other expenditure)	2235-60-800-03	4,000.00	0.00	15.52
34.	32	Medical Department (Allopathy)	2210-01-110-04	1,206.79	4,279.14	0.00
35.			2210-01-110-97	5,582.89	0.00	12.38
36.	33	Medical Department (Ayurvedic and Unani)	2210-05-101-06	19.98	0.00	1,485.35

37.	37	Urban Development Department	2215-01-192-04	1,132.46	698.89	0.00
38.			2215-02-191-04	1,282.69	863.98	0.00
39.			2217-04-051-03	5,000.00	0.00	4,372.72
40.			2217-80-191-04	115.71	0.00	54.41
41.			2217-80-193-04	40.00	0.00	57.50
42.			4215-01-101-97	25,000.00	99.81	0.00
43.			6215-02-193-04	500.00	0.00	368.58
44.	39	Language Department	2202-05-102-05	1.50	0.00	8.50
45.	40	Planning Department	2575-02-800-04	5,000.00	81.86	0.00
46.			2575-06-105-05	30.00	0.00	30.00
47.			3451-092-05	5.80	0.00	6.14
48.			3454-02-001-03	3.52	0.32	0.00
49.			3454-02-001-04	5,689.19	0.00	236.11
50.			4515-800-05	1,500.00	4.67	0.00
51.			4575-02-800-04	11,189.00	1,892.03	0.00
52.			4575-06-102-03	61.87	123.57	0.00
53.			4575-06-800-15	158.63	0.00	158.63
54.	41	Election Department	2015-103-05	167.22	12.61	0.00
55.			2015-106-04	66.22	0.00	3.95
56.	42	Judicial Department	4216-01-700-10	2,163.60	5,291.66	0.00
57.	44	Tourism Department	3452-80-800-03	140.00	0.00	32.91
58.			5452-80-104-08	443.31	75.12	0.00
59.	47	Technical Education Department	2203-105-03	33.30	0.00	498.62
60.			2203-800-03	162.00	0.00	0.37
61.			4202-02-104-58	950.24	0.00	650.24
62.	48	Minorities Welfare Department	2202-01-800-03	30.00	71.87	0.00
63.			4202-01-800-01	2,072.83	0.00	2,072.83
64.	49	Women and Child Welfare Department	2235-02-190-06	40.27	0.00	40.27
65.	55	Public Work Department (Buildings)	4059-60-051-04	72.22	0.00	72.22
66.			4059-80-051-18	200.00	111.80	0.00
67.			4059-80-051-23	190.80	24.17	0.00
68.			4216-01-106-03	625.00	152.71	0.00
69.			4216-01-700-05	200.00	177.82	0.00
70.	58	Public Works Department (Communications- Roads)	5054-03-337-03	1,100.00	34,795.42	0.00
71.			5054-04-337-11	1,100.00	4,560.81	0.00
72.			5054-04-337-13	1,700.00	20,567.67	0.00
73.			5054-04-337-58	10,000.00	20,082.43	0.00
74.			5054-04-337-75	1,100.00	3,777.49	0.00
75.			5054-04-800-04	10,800.00	33,488.27	0.00
76.	59	Public Works Department (Estate Directorate)	2013-800-03	208.02	50.00	0.00
77.			2059-60-053-03	30.88	35.01	0.00
78.	60	Forest Department	2235-60-200-04	100.00	70.30	0.00
79.			4406-01-102-05	930.66	0.00	0.01
80.			4406-02-110-15	170.17	0.53	0.00
81.	61	Finance Department (Debt Services and other Expenditure)	2049-01-123-04	31,614.85	0.01	0.00
82.			2049-01-200-03	328.03	0.01	0.00
83.			2049-01-305-03	1,947.15	0.00	0.01
84.	65	Finance Department (Audit, Small saving, etc.)	2070-105-03	50.00	0.00	331.05

**Report on State Finances for the year ended 31 March 2017**

85.	68	Legislative Assembly Secretariat	2011-02-101-03	800.75	89.48	0.00
86.			2011-02-103-03	170.00	0.00	0.02
87.			4059-80-800-04	35.25	0.00	35.25
88.	69	Vocational Education Department	2230-03-003-01	3.18	6.00	0.00
89.	72	Education Department (Secondary Education)	2202-01-102-04	2,100.00	0.00	629.20
90.			2202-02-001-03	67.70	19.13	0.00
91.			2202-05-103-04	2,000.00	0.00	10.76
92.			2202-05-103-05	387.09	0.00	237.68
93.	73	Education Department (Higher Education)	2202-03-001-03	82.00	0.00	249.66
94.			2202-03-001-04	12.00	0.00	29.32
95.			2202-03-102-27	100.00	0.00	100.00
96.			2202-03-103-03	675.00	0.00	12,195.18
97.			4202-01-203-08	655.18	0.00	655.18
98.			4202-01-203-19	89.82	0.00	189.82
99.			4202-01-203-29	255.00	0.00	1,755.00
100.	74	Home Department (Home Guards)	2070-107-04	28.00	0.00	341.19
101.			2070-107-07	892.00	0.00	29.36
102.	75	Education Department (State Council of Education Research & Training)	2202-80-001-03	16.35	0.00	7.95
103.			2202-80-003-05	12.18	0.00	5.65
104.			2202-80-003-10	764.69	0.00	434.45
105.			2202-80-003-11	52.66	0.00	3.62
106.	76	Labour Department (Labour Welfare)	2210-01-102-03	9.09	3.57	0.00
107.			2210-01-102-04	0.17	0.00	0.70
108.			2210-01-102-05	2.40	0.00	10.01
109.			2210-01-102-06	2.50	0.00	21.70
110.			2230-01-001-03	20.10	0.00	88.26
111.			2230-01-101-03	4.61	0.99	0.00
112.			2230-01-102-03	0.66	0.00	0.02
113.			2230-01-103-04	11.13	0.00	5.39
114.	78	Secretariat Administration Department	2013-104-03	295.00	0.00	0.01
115.			2251-090-03	40.00	71.46	0.00
116.			2251-090-04	4.38	0.00	5.39
117.			3451-090-03	50.00	60.16	0.00
118.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	2225-03-277-03	2,599.88	8.50	0.00
119.			2235-02-101-03	227.42	0.00	159.62
120.			2235-02-101-10	1,767.00	0.00	0.23
121.			4235-02-101-11	212.13	400.00	0.00
122.			4235-02-101-28	400.00	0.00	0.01
123.			4235-02-101-29	622.52	0.00	0.01
124.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	2225-01-789-01	200.00	0.00	27,408.10
125.			2235-01-789-09	101.54	0.00	99.51
126.			2235-02-789-07	91.70	0.00	92.71
127.			4225-01-789-10	3,005.62	0.00	2,433.64
128.			4575-02-789-03	300.00	14.51	0.00
129.	86	Information Department	2220-60-001-03	519.58	0.01	0.00
130.			2220-60-111-03	4.70	0.00	0.01
131.	88	Institutional Finance Department (Directorate)	2052-091-03	2.00	0.00	21.39
132.			2052-091-06	12.00	0.00	103.81
133.	89	Institutional Finance	2040-800-03	10.26	296.99	0.00

134.		Department (Commercial Tax)	2040-800-05	18.25	10.42	0.00
135.	91	Institutional Finance Department (Stamps and Registration)	2030-03-001-03	100.00	0.00	387.53
136.	92	Culture Department	2205-001-03	10.00	0.00	338.92
137.			2205-103-01	11.90	0.00	24.31
138.	94	Irrigation Department (Works)	2700-08-101-03	83.45	0.00	0.41
139.			2700-09-101-03	26.22	42.45	0.00
140.			2701-36-101-03	5.00	0.00	0.44
141.			2701-64-101-03	80.41	0.00	0.01
142.			2701-68-101-03	10.25	0.00	0.01
143.			4700-04-051-10	1,309.74	0.00	1,599.12
144.			4700-05-051-10	2,646.72	0.00	2,842.84
145.			4700-09-051-15	33,151.58	0.00	2,367.69
146.			4700-19-051-10	1,000.00	0.00	358.71
147.			4700-20-051-10	5,000.00	0.00	95.88
148.			4700-23-051-10	1,500.00	0.00	52.94
149.			4700-97-051-10	200.00	0.00	3,322.02
150.			4701-34-051-10	8,049.21	0.00	7,821.03
151.			4701-78-051-10	1,000.00	0.00	0.01
152.			4702-101-03	150.00	0.00	618.27
153.			4702-101-04	250.00	0.00	264.73
154.			4702-102-03	343.00	0.00	5,790.19
155.			4711-01-103-01	718.76	0.00	9,302.35
156.			4711-01-103-03	303.61	0.00	59.95
157.			4711-01-103-06	950.23	0.00	250.48
158.	4711-01-103-08	300.00	0.00	679.95		
159.	4711-01-103-09	2,758.94	687.35	0.00		
160.	4711-03-103-03	1,903.05	0.00	471.25		
161.	95	Irrigation Department (Establishment)	2700-32-800-97	63.00	0.00	170.53
162.			2701-02-001-05	500.00	0.00	60.72
<b>Total</b>				<b>4,77,161.92</b>	<b>1,69,343.88</b>	<b>2,29,488.81</b>
				<b>or</b>	<b>or</b>	<b>or</b>
				<b>4,771.62 crore</b>	<b>1,693.44 crore</b>	<b>2,294.89 crore</b>

**Appendix 2.8**  
**Substantial surrenders made during 2016-17**  
(Reference: Paragraph 2.2.7; Page )

(₹ in lakh)

Sl. No.	Grant No.	Name of Grant	Name of Scheme (Head of Account)	Provision	Amount Surrendered	Surrender in per cent
1.	1	Excise Department	2039-001-04- District Executive Establishment	20.00	19.42	97
2.	2	Housing Department	4216-01-106-03- Construction of towers of Civil Services	4,500.00	2,500.00	56
3.			4217-60-190-03- Share Capital Investments in Kanpur Metro Rail Project	5,000.00	5,000.00	100
4.			4217-60-190-04- Share Capital Investments in Varanasi Metro Rail Project	5,000.00	5,000.00	100
5.			6217-03-800-03-Loans to Braj Niyojan and Viskas Board	1,000.00	1,000.00	100
6.			3	Industries Department (Small Industry and Export Promotion)	2851-102-26- Interest Gratuity under Micro, Small and Medium Entrepreneur Policy	260.00
7.	2851-800-08- Samajwadi Handicraft Pension Scheme	1,000.00			950.00	95
8.	5	Industries Department (Handloom and Village Industries)	2851-105-10- New Model Charkha distribution in naxal affected scheduled tribe population of Bundelkhand and other backward districts	1,500.00	1,500.00	100
9.	6	Industries Department (Handloom Industry)	2851-102-03- Implementation of Mega Project under Uttar Pradesh Textile Industries Policy 2014	1,000.00	1,000.00	100
10.			2851-102-04 Interest Gratuity Scheme under Uttar Pradesh Textile Industries Policy 2014	2,000.00	1,564.26	78
11.			2851-103-06-Samajwadi Handloom Weaver Pension Scheme	3,000.00	2,865.69	96
12.			2851-108-04 Re-imburement of rebate in electricity rate to powerloom weavers	15,000.00	15,000.00	100
13.			2851-108-07- Janeshwar Mishra Powerloom Industry Development Scheme	1,500.00	827.25	55
14.			7	Industries Department (Heavy and Medium Industries)	2852-07-202-04- Implementation of Uttar Pradesh Electronics Manufacturing Policy-2014	1,100.00
15.	2852-07-202-22-Directorate of Electronic Mission	113.10			93.10	82
16.	2852-80-800-08- Dis-investment and Privatisation of Public- Private Projects and Public Sector & co-operative units	405.00			268.39	66
17.	2852-80-800-10- Incentive to Industrial Units under Heavy Industry Investment Policy	41,500.00			41,500.00	100
18.	2852-80-800-12- Express Way Project from Lucknow to Ballia via Azamgarh	520.00			320.00	62
19.	4059-80-800-03- Perfume Park and Museum in the District Kannauj	10,000.00			10,000.00	100
20.	4859-02-800-13- Establishment of Command Centres	4,392.00			4,392.00	100
21.	6885-01-190-06- Industrial Investment Incentive Scheme	27,596.00			27,596.00	100

22.	10	Agriculture and other Allied Departments (Horticultural and Sericulture Development)	2401-108-07 Implementation of Uttar Pradesh Potato Development Policy 2014	34.00	25.28	74
23.			2401-119-04- Fruits	7,498.50	4,775.21	64
24.			4401-119-01- Central Sponsored Schemes	730.00	436.25	60
25.	12	Agriculture and other Allied Departments (Land Development and Water Resources)	2515-800-03 Payment of Salary etc. of Retrenched Employees of Divisional Development Corporations	52.98	49.36	93
26.	13	Agriculture and other Allied Departments (Rural Development)	4515-102-04- Construction of residential/non-residential buildings of Block Development Offices	2,500.00	2,500.00	100
27.			4515-103-03- I. Sparsh Scheme	30,000.00	24,710.23	82
28.			4702-102-13- Establishment of regional ground water hub	315.00	240.00	76
29.	19	Personnel Department (Training and other Expenditure)	2070-003-08- Training of Probationers Officers of Indian Administrative Services	195.87	123.94	63
30.	21	Food and Civil Supplies Department	4059-60-051-01- Central Sponsored Schemes	664.00	586.96	88
31.			4408-01-101-01- Central Sponsored Schemes	4,497.66	2,432.01	54
32.			4408-01-101-04- Double Fortified Salt	8,524.95	3,695.96	43
33.	22	Sports Department	4202-03-800-83 Construction of New Sports Stadium in the district Ghazipur	500.00	500.00	100
34.	23	Cane Development Department (Cane)	2401-111-03- Study of the action of Cane Production Programme and its import	44.80	23.71	53
35.	24	Cane Development Department (Sugar Industries)	2852-08-201-06 Aid to Cooperative Societies	22,350.00	22,350.00	100
36.			2852-08-201-07-Additional aid/recoupment of concession to sugar factories for payment of sugarcane price to farmers	93,600.00	93,600.00	100
37.			2852-08-201-08 Concession/Rebate under the Generation and Aaswan Promotion Policy to Sugarcane Industry	2,500.00	1,275.00	51
38.			2852-08-201-09- Payment of consideration/compensation of land of M/s Jaswant Sugar Mill Maliyana, Meerut	269.00	269.00	100
39.	25	Home Department (Jails)	2056-001-03- Main	10.00	10.00	100
40.	28	Home Department (Political Pension and other Expenditure)	2052-091-04- Incidental Expenditure for District Passport Cell	24.54	21.74	89
41.			2251-200-06- Monetary assistance to dependents of deceased Freedom Fighters for their Funeral Ceremony	10.00	5.61	56
42.			3055-190-04- Payment to U.P.S.R.T.C. for free journey facility by bus to political prisoners in emergency period of MISSA and D.R.I.	400.00	296.79	74
43.			4250-800-03- Construction of Memento/relics of martyrs of Freedom Fighters by Swatantrata Sangram Senani Sansthan and Birth Centenary	30.00	30.00	100
44.	31	Medical Department (Medical Education and Training)	4210-03-105-08- Establishment of Para-Medical Institute in Rural Institute of Medical Sciences and Research, Saifai, Etawa	3,594.00	2,787.94	78
45.			4210-03-105-10- Prime Minister Health Security Yojna (P.M.H.S.Y.)	2,000.00	2,000.00	100
46.			4210-03-105-39- Ganesh Shankar Vidyarthi Memorial Medical College, Kanpur	1,807.00	1,095.15	61
47.			4210-03-105-63- Para Medical College, Azamgarh	74.80	74.80	100

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48.			4210-03-105-64- Establishment of Intensive Care Unit (ICU) in Government Medical College	95.01	95.01	100
49.			6075-800-03- Revolving Fund for treatment of State Employees in S.G.P.G.I., Lucknow	100.00	100.00	100
50.	32	Medical Department (Allopathy)	2210-01-110-06- Establishment of Dialysis Unit at Divisional Headquarter	1,000.00	1,000.00	100
51.			2210-01-110-07- Kishori Health Suraksha Yojna	3,000.00	2,117.05	71
52.			2210-01-110-08- Cashless Medical facility of State Government Employees for treatment of incurable disease	25.00	25.00	100
53.			2210-03-110-04- Kishori Health Suraksha Yojna	3,000.00	2,047.96	68
54.			2210-03-110-05- Consolidation of Primary Health Services with the help of B.M.G.F.	2,000.00	2,000.00	100
55.			2210-80-800-06- Establishment of health fund	500.00	411.05	82
56.			2210-80-800-07- Assistance to families of deceased people and handicapped due to JE/AES	500.00	282.50	57
57.			2210-80-800-08- Clean Green Mission	10.00	10.00	100
58.			2210-80-800-09- Implementation of Departmental Website	500.00	500.00	100
59.			2210-80-800-10- Accidental Medical Care on Lucknow Agra Express way	440.40	440.40	100
60.			2210-01-001-03- Direction	20.00	14.30	72
61.			4210-01-110-10- Construction of Postmortem House	807.50	583.65	72
62.			4210-01-110-13-Construction of Patients Shelter in Male/Female in District Hospitals	95.00	59.22	62
63.			4210-01-110-16- Construction of 300 bedded hospital building in Aligarh	95.00	95.00	100
64.			4210-01-110-19- Building Construction of Plastic Surgery and Burn Unit (District Plan)	617.50	386.27	63
65.			4210-01-110-24 Establishment of Eye Hospital 50 bedded Dr. Ram Manohar Lohia at Vidhuna, Auraiya	636.16	318.08	50
66.			4210-01-110-72- Establishment of 100 bedded Hospitals	4,750.00	2,708.04	57
67.			4210-01-110-79- Extension of premises of Dr. Shyama Prasad Mukherjee Civil Hospital, Lucknow	1,900.00	1,900.00	100
68.			4210-02-104-10- Purchase of equipment for Community Health Centres	2,514.05	1,325.81	53
69.			4210-02-110-17- Construction of 100 bedded Hospital Building in village Aurangabad (Aheer), Sikandarabad in Bulandshahar District	2,000.00	2,000.00	100
70.	37	Urban Development Department	2070-800-05-Constitution of State level committee for operation of animal slaughter house	27.70	17.62	64
71.	38	Civil Aviation Department	5053-80-800-03- Purchase of Helicopter/Aeroplane	10,000.00	10,000.00	100
72.			5053-80-800-04 Special maintenance of Helicopter/ Aeroplane	200.00	157.23	79
73.	40	Planning Department	2575-06-105-04- Lump-sum provision for Skill Development Mission	300.00	300.00	100
74.			2575-06-800-04-Lump-sum provision for review, training and evaluation	50.00	50.00	100
75.			3425-60-004-03- Establishment of innovation Cell	30.00	30.00	100
76.			3425-60-004-04- State innovation Fund	900.00	900.00	100
77.			3425-60-004-05- Award for Innovation	70.00	70.00	100

78.			3451-092-07- Arrangement for use of services of experts in process of evaluation of different schemes/programme by State Planning Institute (Evaluation Section)	50.00	44.08	88
79.			3451-800-06- Grant for innovation Social Institute/Organisation to promote in the field of good governance	100.00	100.00	100
80.			4575-06-800-04- Lump - sum Provision for construction/extension of building of Homoeopathy Hospital	5.00	5.00	100
81.			4575-06-800-07- Construction of Community Buildings	50.00	38.48	77
82.			4575-06-800-08- Construction of Ayurvedic Dispensaries Buildings	5.00	5.00	100
83.			4575-06-800-11—Lump - sum Provision for construction of Mini Stadium	100.00	69.51	70
84.			4575-06-800-12—Lump - sum Provision for construction of boundary wall and road in premises of scheduled castes Ashram Type School	50.00	29.36	59
85.			4575-06-800-13- Lump-sum Provision for construction of Toilet	600.00	329.74	55
86.			4801-05-800-03- Accelerated Economic Development Scheme	12,300.01	7,300.01	59
87.			4801-80-800-03- Accelerated Economic Development Scheme	2,000.01	1,589.53	79
88.	41	Election Department	2015-105-03- General Election	1,271.31	702.49	55
89.			2015-105-04 Bye-Election	391.00	387.31	99
90.	43	Transport Department	3055-800-05 Expenditure from Uttar Pradesh Road Safety Fund	1,500.00	1,284.58	86
91.			3055-800-97- Externally Aided Schemes	1,000.00	1,000.00	100
92.			4047-800-01- Central Plan/Centrally Sponsored Schemes	500.00	500.00	100
93.			4059-01-051-15- Construction of building in Assistant Regional Transport Office, Azamgarh	1,700.00	1,700.00	100
94.			4059-01-051-16- Construction of building in Assistant Regional Transport Office, Gautam Buddh Nagar	1,500.00	1,493.02	100
95.			4059-80-800-01- Central Sponsored Schemes	497.76	497.76	100
96.			5055-800-05- Expenditure from Uttar Pradesh Road Safety Fund	3,500.00	2,420.32	69
97.	44	Tourism Department	3452-80-800-08 For seat under right relating in Air-Service facility in the State	1,500.00	1,210.00	81
98.			5452-80-104-01 Centrally Sponsored Schemes	8,500.00	6,663.46	78
99.			5452-80-104-03- Acquisition of land for Tourist	25.00	25.00	100
100.			5452-80-104-21-Schemes of Tourism Development in Ayodhya	100.00	100.00	100
101.			5452-80-104-28- Development of Infrastructure facilities in Pilgrim House constructed in Kushinagar	30.00	30.00	100
102.			5452-80-104-31- Operation of Cruise Boat in Gomti River	2,000.00	2,000.00	100
103.			5452-80-104-32- Tourism Development of Kakori	500.00	250.82	50
104.			5452-80-104-14- Development of Tourism Infrastructure facilities on Historical/Ethical places	1,000.00	985.29	99

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105.	47	Technical Education Department	2203-112-17- For fair and transparent entrance and fees fixation in Post Graduate, Graduate and Diploma Courses in Medical, Engineering Institutions of private sector operated in the state and in vocational course runned by Educational Department	45.32	26.28	58
106.			2203-112-21 Establishment of Engineering Colleges	1,600.00	1,582.04	99
107.			4202-02-105-01-Central Sponsored Schemes	6,453.00	5,933.00	92
108.			4202-02-105-06- Grant in aid to Kamla Nehru Institute of Science and Technology, Sultanpur, (District Plan)	260.00	130.00	50
109.			4202-02-105-15-Skill Development and Design Institute, Unnao Distirct	1,418.00	1,118.00	79
110.			4202-02-105-20- Establishment of engineering College in District Pratapgarh	100.00	100.00	100
111.	48	Minorities Welfare Department	2070-001-06 Registrar/ Inspector Arbi Farsi Madarsa, Uttar Pradesh, Allahabad	83.31	54.99	66
112.			2070-800-03 Uttar Pradesh Waqf Judicial Board	427.60	350.67	82
113.			2071-01-117-03- Contribution in tier I account for teachers and non-teaching staff of aided Farsi Madaras	1,000.00	1,000.00	100
114.			2202-01-800-01- Central Sponsored Schemes	33,636.90	17,779.18	53
115.			2202-01-800-06- Maintenance grant to Arbi Farsi madaras	10.00	5.85	59
116.			2202-02-800-12- State Teacher Award Scheme	7.25	6.55	90
117.			2225-80-800-01 Centrally Sponsored Schemes	64,436.56	64,291.67	100
118.			2235-02-800-01- Central Sponsored Schemes	5,750.00	4,303.28	75
119.			4202-01-800-04- Coaching Institute for Minority students in All India Administrative/Provincial Civil Services	395.54	395.54	100
120.			4202-01-800-05 Establishment of Multipurpose Educational Hub in Minority Populated Areas	8,500.00	4,464.17	53
121.			4250-800-03- Construction of Haz House, Lucknow and Ghaziabad	1,512.26	1,512.26	100
122.	50	Revenue Department (District Administration)	2053-093-03- Collectorate Establishment	15.00	15.00	100
123.	51	Revenue Department (Relief on account of Natural Calamities)	2235-02-200-03 Assistance to Other State Governments on account of Natural Calamities	10.00	5.00	50
124.			2245-05-800-04- Additional assistance from State Government to farmers affected due to Natural Calamities	2,25,000.00	1,69,193.43	75
125.			2245-80-800-06 Uttar Pradesh Calamities Management Authority	300.00	200.00	67
126.			2245-80-800-07 District Calamities Management Authority	300.00	245.54	82
127.			4070-800-01 Centrally Sponsored Schemes	250.00	250.00	100
128.			4250-101-05 Expenditure from State Disaster Mitigation Fund	250.00	250.00	100

129.			4250-101-06 Expenditure from District Disaster Mitigation Fund	250.00	250.00	100
130.			4250-101-07 Uttar Pradesh Calamity Management Authority	500.00	453.05	91
131.	52	Revenue Department (Board of Revenue and other Expenditure)	2029-800-05- Land acquisition, rehabilitation and rehabilitation authority	947.29	946.44	100
132.			2059-80-053-03- Maintenance of Non-residential buildings of Board of Revenue	15.00	15.00	100
133.			2075-800-06- Annuities payable to Waqfs, Trusts and Endowments	12.00	11.77	98
134.			2216-01-700-03- Maintenance of residential buildings of Board of Revenue	15.00	15.00	100
135.			2029-001-03- Land Acquisition-General Revenue Expenditure	5.00	5.00	100
136.			2029-101-03- Collection charges of Land Revenue (Mall Gujari) Taquavi canal and other miscellaneous Government dues	10.50	10.50	100
137.			4059-01-800-04-Different construction work in non-residential buildings of Board of Revenue, Lucknow	120.00	120.00	100
138.			4059-01-800-10- Minor construction work in non-residential buildings of Board of Revenue	10.00	10.00	100
139.			4216-01-700-03- Residential buildings of Board of Revenue	10.00	10.00	100
140.			4216-01-700-05- Different construction work in residential buildings of Board of Revenue	112.16	83.00	74
141.			6003-106-03- Interest bearing Bonds	5.00	5.00	100
142.	53		National Integration Department	2070-800-01 Central Plan/Centrally Sponsored Schemes	60.00	38.30
143.		2070-800-03 Grant to Maulana Azad Memorial Academy		15.00	7.50	50
144.		2070-800-08 Organisation of National Integration and Communal Harmony Programmes on the Birth Day of Great Persons		26.25	17.31	66
145.		2070-800-09 Expenditure on District Integration Committees		15.00	8.01	53
146.		2070-800-13 Incentive for Inter-religion marriage (Cash award) (State Share 100%)-		10.00	7.50	75
147.	57	Public Works Department (Communication - Bridges)		5054-04-101-36- Construction of new bridges on different categories of roads in the State (Financed by NABARD)	5,000.00	2,597.08
148.	58	Public Works Department (Communication - Roads)	5054-03-337-84- Construction of by-pass in cities having population over one lakh	3,000.00	2,000.00	67
149.			5054-04-337-06- Provision for acquisition of land for proposed roads on Indo-Nepal Border	22,000.00	15,000.00	68
150.			5054-05-337-97- Externally Aided Projects	58,500.00	38,288.22	65
151.			5054-80-800-04- Purchase of machinery and tools from Price Depreciation Reserve Fund	2,000.00	2,000.00	100
152.			5054-80-800-03- Other Expenditure	1,200.00	1,058.32	88
153.	60	Forest Department	2406-02-110-04- Sponsoring bird festival	100.00	50.00	50
154.			2406-02-110-05-Jungle Lounge and Resorts Society	100.00	85.00	85
155.			2406-01-001-04- Establishment	13.70	7.99	58

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156.			4406-01-800-17 Development of Van Vihar Park in Gaura Hardo, Azamgarh	500.00	264.93	53
157.			4406-02-110-18- Construction of forest Guest House at Sandy Bird Sanctuary, Hardoi	50.00	50.00	100
158.			4406-02-111-11 Butterfly Park in Kanpur Zoological Park, Kanpur	95.00	68.50	72
159.	61	Finance Department (Debt Services and other Expenditure)	2235-60-200-03 Assistance to dependents of Deceased Government Employees	100.00	65.55	66
160.			4070-800-03 Expenditure of D.P.R. of Projects	500.00	500.00	100
161.			6075-800-03 Loan Assistance for financial re-organisation of Public Sector Undertakings/Corporations/ Autonomous bodies	10,000.00	8,264.00	83
162.			7610-202-03 Advances for purchases of Motor Conveyances to State employees	1,000.00	655.37	66
163.			7610-204-03 Advances for purchases of personal Computer to State employees	80.00	74.30	93
164.			7610-201-03- House Building Advances to Officers of All India Services for Purchase/Construction/Repairs or Extension of Buildings	60.00	59.00	98
165.	63	Finance Department (Treasury and Accounts Administration)	2054-097-04- Computerisation of Treasury	100.00	92.43	92
166.			4059-01-051-03 Miscellaneous Construction/Renovation Works in Treasuries/Sub Treasuries	200.00	166.00	83
167.	67	Legislative Council Secretariat	2011-02-102-03- Legislative Council	72.92	25.76	35
168.	69	Vocational Education Department	2230-03-101-04 New Vocational Training in Government Industrial Training Institutes	122.59	100.86	82
169.			4250-203-01 Centrally Sponsored Schemes	380.06	228.36	60
170.	71	Education Department (Primary Education)	2202-01-053-04 Lump-Sum Provision for Maintenance of Buildings of Primary and Junior High Schools	500.00	500.00	100
171.			2202-01-102-32 Free of cost uniforms to students studying in Primary and Higher Primary Schools operated in the State	4,000.00	2,066.36	52
172.			2202-01-105-03- Establishment expenditure of Project Officer/Assistant Project Officer under Non-formal Education	1,816.00	1,816.00	100
173.			2202-01-105-11 India Literacy Mission-2012	273.94	155.81	57
174.			2202-01-800-04 Providing school bags to Girls and Boys students of Primary Schools	15,000.00	9,084.81	61
175.			2202-01-800-09- Construction of Hostel in compound of Sakchhrata Niketan, Lucknow	100.00	100.00	100
176.			2202-80-800-04- Establishment of Cell for monitoring of Budget works and other schemes at Secretariat level under "Education for All" Project of World Bank	10.32	8.89	86

177.			2202-80-800-05- Free journey facility to National/State Awarded Teachers in the buses of Road Transport Corporation	10.00	10.00	100
178.			4202-01-201-01 Centrally Sponsored Schemes	95,553.55	95,553.55	100
179.			4202-01-201-03 Construction of Office Buildings of BSA's in Districts (District Plan)-	312.36	168.45	54
180.			4202-01-201-05 Development of infrastructure facilities in Higher Primary Schools operated by Board of Basic Education	26,358.30	26,354.91	100
181.			4202-01-201-10- Model Primary Schools	1,500.00	1,500.00	100
182.	72	Education Department (Secondary Education)	2202-02-107-11 National Scholarships to talented Students of Rural Areas of Secondary level (Class 9-10)	8.00	6.24	78
183.			2202-02-107-13 Increase in the rate of Scholarships of High School and Intermediate	42.50	24.54	58
184.			2202-02-107-19- Scholarship to Students of Uttar Pradesh studying in National Indian Military College, Dehradun	10.80	7.68	71
185.			2202-02-109-06 Opening of new sections and inclusion of new subjects in Government Higher Secondary School (District Plan)	176.76	100.77	57
186.			2202-02-110-05 Educational Tour of Teachers in aided Higher secondary schools	5.00	5.00	100
187.			2202-02-110-08 Provision for honorarium to subject experts of Non-government Higher Secondary Schools	50.00	38.75	78
188.			2202-02-800-03 Contribution of State Government to Group Insurance Scheme in Non-Government Secondary Schools	23.76	23.76	100
189.			2202-02-800-07 Grading of Secondary Schools for improvement in the educational quality	50.00	37.79	76
190.			2202-02-800-15- State Open Schools Council	20.00	20.00	100
191.			2202-02-800-22- Ek Sur Ek Taal Programme	50.00	26.09	52
192.			2202-02-800- 27- Payment of honorarium to part time teachers of non-Government non-aided schools recognized from Board of Secondary Education	20,000.00	10,855.73	54
193.			4202-01-202-15 Central State Library	10.00	8.01	80
194.			4202-01-202-23 Uttar Pradesh Sainik Schools	10.00	10.00	100
195.	75	Education Department (State Council of Educational Research And Training)	2202-80-003-04- Council of Hindi Language Department of State Hindi Institute, Varanasi	106.18	53.74	51
196.	76	Labour Department (Labour Welfare)	2230-01-103-08- Abolition of Child Labour	28.92	15.75	54
197.			4250-201-03- Construction of boundrywall for security of Industrial Labour Colonies	1,000.00	1,000.00	100
198.	78	Secretariat Administration Department	2013-800-03- Miscellaneous expenditure of Ministers and Dy. Ministers	245.01	167.95	69
199.			2052-090-05- Parliamentary work department	11.70	9.63	82

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200.	81	Social Welfare Department (Tribal Welfare)	2217-05-796-01- Central Sponsored Schemes	50.00	38.80	78
201.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	2202-80-789-01 Central Sponsored Schemes	3,198.63	1,822.00	57
202.			2204-789-01 Central Sponsored Schemes	100.70	100.70	100
203.			2217-05-789-01- Central Sponsored Scheme	12,500.00	12,500.00	100
204.			2230-02-789-01 Central Sponsored Schemes	5,450.00	4,356.63	80
205.			2515-789-05- Ambedkar Rojgar Yojna	600.00	600.00	100
206.			2852-80-789-04 Express Way Projects with the help of private sector	10.00	10.00	100
207.			4202-02-789-01- Central Sponsored Schemes	497.00	367.00	74
208.			4202-02-789-17- Establishment of Skills Institute, Unnao	420.00	420.00	100
209.			4202-03-789-04- Construction of Special Stadium in Sonbhadra	36.71	36.71	100
210.			4210-02-789-09- Purchasing of equipments for Community Health Centres	668.29	587.06	88
211.			4210-03-789-08- Government Allopathic Medical College, Ambedkarnagar	302.97	302.97	100
212.			4210-03-789-09- Government Medical College, Agra	636.30	445.42	70
213.			4210-03-789-10- Government Medical College, Kanpur	21.21	21.21	100
214.			4210-03-789-11- Government Medical College, Allahabad	424.20	328.76	78
215.			4210-03-789-12- Government Medical College, Meerut	474.74	379.30	80
216.			4210-03-789-13- Government Medical College, Jhansi	466.62	371.18	80
217.			4210-03-789-14- Government Medical College, Gorakhpur	397.40	248.78	63
218.			4210-03-789-16- Government Medical College, Badaun	1,272.60	1,272.60	100
219.			4210-03-789-17- Government Medical College, Azamgarh	848.40	848.40	100
220.			4210-03-789-19- Para Medical College, Azamgarh	21.21	21.21	100
221.			4210-03-789-20- 500 bedded Child Care Medical Institute in Medical College, Gorakhpur	1,272.60	1,272.60	100
222.			4210-03-789-21- Cardiological Hospital in Medical College, Kannauj	318.15	318.15	100
223.			4210-03-789-22- Cancer Hospital in Medical College, Kannauj	318.15	318.15	100
224.	4210-03-789-25- Cardiological Institute establishment in Ganesh Shankar Vidyarthi Memorial Medical College, Kanpur	84.84	84.84	100		
225.	4210-03-789-32- Government Medical College, Chandauli	106.05	106.05	100		
226.	4216-02-789-01- Central Sponsored Schemes	3,000.00	2,484.03	83		
227.	4406-01-789-01- Central Sponsored Schemes	17.55	17.55	100		
228.	4406-01-789-04- National Forestry Programme (C.C.L. System)	316.05	34.94	11		
229.	4702-789-02- Prime Minister Agriculture Irrigation Scheme	1,677.00	974.92	58		
230.	4801-06-789-01- Central Sponsored Schemes	30,000.00	30,000.00	100		

231.			4801-06-789-07- Capital Share for electricity distribution works under Deen Dayal Upadhyay Gram Jyoti Yojna	7,300.00	7,300.00	100
232.			5054-04-789-21- Construction of new bridges under R.I.D.F. financed by NABARD	1,345.98	699.12	52
233.			4210-03-789-30- Paramedical Institute, Saifai, Etawah	100.00	99.00	99
234.	84	General Administration Department	2075-800-05- Maharani Ahilyabai Holkar Award Scheme	6.00	6.00	100
235.			4250-800-04- Development/ Construction of Bhajan Sandhya and Circuit in Chitrakoot	1,375.00	824.68	60
236.	86	Information Department	2220-01-003-02- Film Television and Liberal Arts Institute	500.00	250.00	50
237.			2220-01-105-06- Digital Broadcasting Scheme	40.45	35.85	89
238.			2220-60-103-04- Teleprinter Scheme	18.53	14.49	78
239.			2220-60-800-07- Establishment of Film Development Fund	1,500.00	1,500.00	100
240.			4059-01-051-03- Construction of Headquarters building of Information and Public Relation Department, Uttar Pradesh	2,400.00	2,400.00	100
241.			4059-80-800-03- Establishment of Film Television and Liberal Arts Institute	1,000.00	1,000.00	100
242.	88	Institutional Finance Department (Directorate)	2235-60-110-03-Implementation of Samajwadi Kisan and Sarvhit Insurance Scheme	2,060.00	1,035.87	50
243.			4059-01-051-03- Construction of office building of Institutional Finance Directorate in district Lucknow.	3,800.00	1,900.00	50
244.	89	Institutional Finance Department (Commercial Tax)	2040-800-07- Personal Accident Risk Scheme for Registered Traders of Uttar Pradesh	500.00	486.60	97
245.			2040-800-09- Expenses related to G.S.T.N.	6,273.00	3,975.22	63
246.			2040-800-10- Implementation of G.S.T.	3,000.00	1,867.68	62
247.			2040-800-03- Establishment of Commercial Tax Commissioner	10.00	6.29	63
<b>Total</b>				<b>11,08,684.25</b> Or <b>11,086.84</b> crore	<b>9,28,048.29</b> Or <b>9,280.48</b> crore	

**Appendix 2.9**  
**Surrenders in excess of actual savings (₹ 50 lakh or more)**  
*(Reference: Paragraph 2.2.8; Page )*

(₹ in crore)

Sl. No.	Grant No.	Name of Grant	Total Grant	Savings	Amount Surrendered	Surrender in Excess
<b>Revenue – Voted</b>						
1.	51	Revenue Department (Relief on account of Natural Calamities)	6,965.50	4,132.50	4,468.69	336.19
2.	68	Legislative Assembly Secretariat	134.44	0.05	0.94	0.89
3.	72	Education Department (Secondary Education)	8,431.15	394.07	614.86	220.79
4.	78	Secretariat Administration Department	767.32	105.26	107.30	2.04
<b>Net Total</b>			<b>16,298.41</b>	<b>4,631.88</b>	<b>5,191.79</b>	<b>559.91</b>
<b>Capital – Voted</b>						
5.	43	Transport Department	290.81	113.03	117.85	4.82
6.	44	Tourism Department	423.45	124.54	125.29	0.75
<b>Net Total</b>			<b>714.26</b>	<b>237.57</b>	<b>243.14</b>	<b>5.57</b>
<b>Grand Total</b>			<b>17,012.67</b>	<b>4,869.45</b>	<b>5,434.93</b>	<b>565.48</b>

## Appendix 2.10

### Grants /Appropriations where savings occurred but were not surrendered

(Reference: Paragraph 2.2.9; Page )

(₹ in crore)

Sl. No.	Grant No.	Name of Grant / Appropriation	Savings	
			Revenue	Capital
<b>I – Grants</b>				
1.	09	Power Department	371.79	248.01
2.	11	Agriculture and other Allied Departments (Agriculture)	-	432.83
3.	14	Agriculture and other Allied Departments (Panchayati Raj)	-	0.82
4.	15	Agriculture and other Allied Departments (Animal Husbandry)	154.19	104.92
5.	16	Agriculture and other Allied Departments (Dairy Development)	5.03	46.05
6.	17	Agriculture and other Allied Departments (Fisheries)	58.75	-
7.	18	Agriculture and other Allied Departments (Co-operative)	16.86	-
8.	26	Home Department (Police)	886.35	452.05
9.	27	Home Department (Civil Defence)	10.69	-
10.	30	Confidential Department (Revenue Special Intelligence Directorate and other Expenditure)	0.89	-
11.	33	Medical Department (Ayurvedic and Unani)	240.85	4.37
12.	34	Medical Department (Homoeopathy)	89.27	3.58
13.	35	Medical Department (Family Welfare)	1,263.58	188.99
14.	36	Medical Department (Public Health)	-	3.93
15.	42	Judicial Department	432.26	581.42
16.	49	Women and Child Welfare Department	1,106.73	35.60
17.	62	Finance Department (Superannuation Allowances and Pensions)	28.70	265.43
18.	65	Finance Department (Audit, Small Savings, etc.)	50.04	-
19.	73	Education Department (Higher Education)	460.29	57.84
20.	74	Home Department (Home Guards)	4.28	22.38
21.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	34.29	42.72
22.	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	386.58	-
23.	91	Institutional Finance Department (Stamps and Registration)	36.99	2.00
24.	92	Culture Department	21.52	23.98
25.	94	Irrigation Department (Works)	102.54	1,634.91
26.	95	Irrigation Department (Establishment)	1,180.41	-
<b>Total</b>			<b>6,942.88</b>	<b>4,151.83</b>
<b>II – Appropriations</b>				
27.	09	Power Department	365.42	-
28.	15	Agriculture and other Allied Departments (Animal Husbandry)	0.14	-
29.	17	Agriculture and other Allied Departments (Fisheries)	0.05	-
30.	18	Agriculture and other Allied Departments (Co-operative)	1.14	4.50
31.	20	Personnel Department (Public Service Commission)	-	0.10
32.	26	Home Department (Police)	0.27	-

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33.	35	Medical Department (Family Welfare)	0.02	-
34.	36	Medical Department (Public Health)	0.02	-
35.	42	Judicial Department	40.79	2.95
36.	49	Women and Child Welfare Department	0.08	-
37.	54	Public Works Department (Establishment)	0.04	-
38.	62	Finance Department (Superannuation Allowances and Pensions)	9.94	-
39.	72	Education Department (Secondary Education)	0.03	-
40.	94	Irrigation Department (Works)	-	9.13
41.	95	Irrigation Department (Establishment)	0.30	-
<b>Total</b>			<b>418.24</b>	<b>16.68</b>
<b>Grand Total</b>			<b>7,361.12</b>	<b>4,168.51</b>
<b>Total of Revenue and Capital</b>			<b>11,529.63</b>	

## Appendix 2.11

### Savings of ₹ one crore and above not surrendered

(Reference: Paragraph 2.2.9; Page )

(₹ in crore)

Sl. No.	Grant No.	Name of Grant/Appropriation	Savings	Surrender	Savings, not Surrendered
<b>Revenue – Voted</b>					
1.	02	Housing Department	222.61	220.61	2.00
2.	03	Industries Department (Small Industry and Export Promotion)	61.69	32.45	29.24
3.	07	Industries Department (Heavy and Medium Industries)	1,006.85	437.20	569.65
4.	09	Power Department	371.79	0.00	371.79
5.	10	Agriculture and other Allied Departments (Horticultural and Sericulture Development)	97.48	87.59	9.89
6.	11	Agriculture and other Allied Departments (Agriculture)	828.58	26.99	801.59
7.	12	Agriculture and other Allied Departments (Land Development and Water Resources)	103.67	19.67	84.00
8.	13	Agriculture and other Allied Departments (Rural Development)	302.86	77.10	225.76
9.	14	Agriculture and other Allied Departments (Panchayati Raj)	95.73	63.26	32.47
10.	15	Agriculture and other Allied Departments (Animal Husbandry)	154.19	0.00	154.19
11.	16	Agriculture and other Allied Departments (Dairy Development)	5.03	0.00	5.03
12.	17	Agriculture and other Allied Departments (Fisheries)	58.75	0.00	58.75
13.	18	Agriculture and other Allied Departments (Co-operative)	16.86	0.00	16.86
14.	21	Food and Civil Supplies Department	74.71	38.40	36.31
15.	26	Home Department (Police)	886.35	0.00	886.35
16.	27	Home Department (Civil Defence)	10.69	0.00	10.69
17.	28	Home Department (Political Pension and other Expenditure)	32.08	16.99	15.09
18.	31	Medical Department (Medical Education and Training)	152.08	147.95	4.13
19.	32	Medical Department (Allopathy)	1,088.42	730.30	358.12
20.	33	Medical Department (Ayurvedic and Unani)	240.85	0.00	240.85
21.	34	Medical Department (Homoeopathy)	89.27	0.00	89.27
22.	35	Medical Department (Family Welfare)	1,263.58	0.00	1,263.58
23.	36	Medical Department (Public Health)	281.30	266.59	14.71
24.	37	Urban Development Department	2,751.47	729.56	2,021.91
25.	40	Planning Department	101.16	99.84	1.32
26.	42	Judicial Department	432.26	0.00	432.26
27.	43	Transport Department	55.64	50.17	5.47
28.	47	Technical Education Department	64.72	54.83	9.89
29.	49	Women and Child Welfare Department	1,106.73	0.00	1,106.73
30.	52	Revenue Department (Board of Revenue and other Expenditure)	599.42	594.01	5.41

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31.	54	Public Works Department (Establishment)	1,778.37	310.19	1,468.18
32.	62	Finance Department (Superannuation Allowances and Pensions)	28.70	0.00	28.70
33.	65	Finance Department (Audit, Small Savings, etc.)	50.04	0.00	50.04
34.	70	Science and Technology Department	5.08	2.00	3.08
35.	71	Education Department (Primary Education)	2,414.62	1,935.32	479.30
36.	73	Education Department (Higher Education)	460.29	0.00	460.29
37.	74	Home Department (Home Guards)	4.28	0.00	4.28
38.	75	Education Department (State Council of Educational Research and Training)	38.97	36.52	2.45
39.	76	Labour Department (Labour Welfare)	48.95	47.35	1.60
40.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	34.29	0.00	34.29
41.	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	386.58	0.00	386.58
42.	81	Social Welfare Department (Tribal Welfare)	39.70	6.77	32.93
43.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	1,704.21	814.10	890.11
44.	88	Institutional Finance Department (Directorate)	221.30	210.79	10.51
45.	91	Institutional Finance Department (Stamps and Registration)	36.99	0.00	36.99
46.	92	Culture Department	21.52	0.00	21.52
47.	94	Irrigation Department (Works)	102.54	0.00	102.54
48.	95	Irrigation Department (Establishment)	1,180.41	0.00	1,180.41
<b>Total</b>			<b>21,113.66</b>	<b>7,056.55</b>	<b>14,057.11</b>
<b>Capital – Voted</b>					
49.	07	Industries Department (Heavy and Medium Industries)	1,483.82	1,233.82	250.00
50.	09	Power Department	248.01	0.00	248.01
51.	10	Agriculture and other Allied Departments (Horticultural and Sericulture Development)	6.49	4.66	1.83
52.	11	Agriculture and other Allied Departments (Agriculture)	432.83	0.00	432.83
53.	13	Agriculture and other Allied Departments (Rural Development)	3,300.96	280.04	3,020.92
54.	15	Agriculture and other Allied Departments (Animal Husbandry)	104.92	0.00	104.92
55.	16	Agriculture and other Allied Departments (Dairy Development)	46.05	0.00	46.05
56.	21	Food and Civil Supplies Department	286.71	201.31	85.40
57.	22	Sports Department	33.78	13.98	19.80
58.	26	Home Department (Police)	452.05	0.00	452.05
59.	32	Medical Department (Allopathy)	281.17	259.80	21.37
60.	33	Medical Department (Ayurvedic and Unani)	4.37	0.00	4.37
61.	34	Medical Department (Homoeopathy)	3.58	0.00	3.58
62.	35	Medical Department (Family Welfare)	188.99	0.00	188.99
63.	36	Medical Department (Public Health)	3.93	0.00	3.93
64.	37	Urban Development Department	39.58	30.25	9.33
65.	42	Judicial Department	581.42	0.00	581.42
66.	47	Technical Education Department	82.55	74.11	8.44

67.	49	Women and Child Welfare Department	35.60	0.00	35.60
68.	52	Revenue Department (Board of Revenue and other Expenditure)	62.05	4.44	57.61
69.	59	Public Works Department (Estate Directorate)	8.91	7.45	1.46
70.	61	Finance Department (Debt Services and other Expenditure)	117.50	115.97	1.53
71.	62	Finance Department (Superannuation Allowances and Pensions)	265.43	0.00	265.43
72.	71	Education Department (Primary Education)	1,276.45	1,275.34	1.11
73.	72	Education Department (Secondary Education)	236.07	0.23	235.84
74.	73	Education Department (Higher Education)	57.84	0.00	57.84
75.	74	Home Department (Home Guards)	22.38	0.00	22.38
76.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	42.72	0.00	42.72
77.	81	Social Welfare Department (Tribal Welfare)	30.77	0.47	30.30
78.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	2,477.98	791.13	1,686.85
79.	84	General Administration Department	13.25	8.25	5.00
80.	91	Institutional Finance Department (Stamps and Registration)	2.00	0.00	2.00
81.	92	Culture Department	23.98	0.00	23.98
82.	94	Irrigation Department (Works)	1,634.91	0.00	1,634.91
<b>Total</b>			<b>13,889.05</b>	<b>4,301.25</b>	<b>9,587.80</b>
<b>Revenue – Charged</b>					
83.	09	Power Department	365.42	0.00	365.42
84.	18	Agriculture and other Allied Departments (Co-operative)	1.14	0.00	1.14
85.	42	Judicial Department	40.79	0.00	40.79
86.	61	Finance Department (Debt Services and other Expenditure)	70.47	5.58	64.89
87.	62	Finance Department (Superannuation Allowances and Pensions)	9.94	0.00	9.94
<b>Total</b>			<b>487.76</b>	<b>5.58</b>	<b>482.18</b>
<b>Capital – Charged</b>					
88.	18	Agriculture and other Allied Departments (Co-operative)	4.50	0.00	4.50
89.	42	Judicial Department	2.95	0.00	2.95
90.	94	Irrigation Department (Works)	9.13	0.00	9.13
<b>Total</b>			<b>16.58</b>	<b>0.00</b>	<b>16.58</b>
<b>Grand total</b>			<b>35,507.05</b>	<b>11,363.38</b>	<b>24,143.67</b>

**Appendix 2.12**  
**Rush of Expenditure**  
(Reference: Paragraph 2.2.12; Page )

(₹ in crore)

Sl. No.	Major Head	Nature of Expenditure	Total Budget	Expenditure during March (Gross)	Percentage
<b>Revenue</b>					
1.	2202	General Education	55,376.66	11,841.28	21.38
2.	2049	Interest Payments	27,382.97	4,342.07	15.86
3.	2515	Other Rural Development Programmes	14,062.31	3,813.33	27.12
4.	2225	Welfare of Scheduled Castes, Scheduled Tribes and Other Backward Classes	5,230.95	3,280.61	62.72
5.	3054	Roads and Bridges	6,834.44	3,247.44	47.52
6.	2235	Social Security and Welfare	16,074.25	3,073.74	19.12
7.	3604	Compensation and Assignments to Local Bodies and Panchayati Raj Institutions	10,687.52	2,692.75	25.20
8.	2211	Family Welfare	6,227.65	2,399.70	38.53
9.	2055	Police	13,137.95	2,213.75	16.85
10.	2210	Medical and Public Health	9,468.96	1,736.05	18.33
11.	2217	Urban Development	6,291.22	1,194.06	18.98
12.	2401	Crop Husbandry	3,232.52	538.61	16.66
13.	2501	Special Programmes for Rural Development	545.78	233.84	42.85
14.	2015	Elections	516.74	207.51	40.16
15.	3475	Other General Economic Services	690.86	191.54	27.72
16.	2403	Animal Husbandry	1,126.15	184.72	16.40
17.	2402	Soil and Water Conservation	746.70	138.40	18.53
18.	2053	District Administration	822.36	135.12	16.43
19.	2030	Stamps and Registration	294.46	114.01	38.72
20.	2220	Information and Publicity	636.69	103.59	16.27
21.	2056	Jails	638.40	99.03	15.51
22.	3055	Road Transport	244.25	87.23	35.71
23.	2408	Food Storage and Warehousing	247.17	55.61	22.50
24.	2203	Technical Education	350.39	53.42	15.25
25.	3454	Census, Surveys and Statistics	159.29	46.26	29.04
26.	2051	Public Service Commission	156.01	44.71	28.66
27.	2404	Dairy Development	126.40	28.32	22.41
28.	2216	Housing	91.35	27.77	30.40
29.	2045	Other Taxes and Duties on Commodities and Services	84.72	18.55	21.90
30.	2075	Miscellaneous General Services	47.29	8.77	18.55
31.	3435	Ecology and Environment	10.75	6.09	56.65
32.	3053	Civil Aviation	2.96	0.65	21.96
<b>Total</b>			<b>1,81,546.12</b>	<b>42,158.53</b>	

Sl. No.	Major Head	Nature of Expenditure	Total Budget	Expenditure during March (Gross)	Percentage
<b>Capital</b>					
1.	5054	Capital Outlay on Roads and Bridges	26,210.55	5,937.98	22.65
2.	4216	Capital Outlay on Housing	6,782.22	2,804.29	41.35
3.	4210	Capital Outlay on Medical and Public Health	3,849.03	1,209.61	31.43
4.	4215	Capital Outlay on Water Supply and Sanitation	3,240.00	558.32	17.23
5.	4070	Capital Outlay on other Administrative Services	1,198.28	431.62	36.02
6.	4235	Capital Outlay on Social Security and Welfare	1,426.37	412.80	28.94
7.	4055	Capital Outlay on Police	1,697.50	318.64	18.77
8.	4406	Capital Outlay on Forestry and Wild Life	751.45	296.20	39.42
9.	4711	Capital Outlay on Flood Control Projects	661.31	205.12	31.02
10.	4851	Capital Outlay on Village and Small Industries	779.53	190.09	24.39
11.	4575	Capital Outlay on other Special Areas Programmes	884.24	174.17	19.70
12.	4702	Capital Outlay on Minor Irrigation	690.92	167.09	24.18
13.	4250	Capital Outlay on other Social Services	423.99	119.05	28.08
14.	5053	Capital Outlay on Civil Aviation	302.00	116.00	38.41
15.	4701	Capital Outlay on Medium Irrigation	724.00	113.12	15.62
16.	4403	Capital Outlay on Animal Husbandry	264.04	42.14	15.96
17.	4415	Capital Outlay on Agricultural Research and Education	90.97	33.79	37.14
18.	4402	Capital Outlay on Soil and Water Conservation	26.89	10.68	39.72
19.	4047	Capital Outlay on other Fiscal Services	5.00	4.98	99.60
20.	4058	Capital Outlay on Stationery and Printing	12.34	4.14	33.55
<b>Total</b>			<b>50,020.63</b>	<b>13,149.83</b>	

**Appendix 3.1**  
**Disbursement of two per cent additional Stamp Duty**  
*(Reference: Paragraph 3.3 ;Page )*

(₹ in crore)

Sl. No.	Name of Municipal Corporations/ Municipalities/ Parishad/ Development Authorities	Amount disbursed
1.	Agra Vikas Pradhikaran	20.15
2.	Bulandshahar Vikas Pradhikaran	11.46
3.	Firozabad Shikohabad Vikas Pradhikaran	4.00
4.	Kanpur Vikas Pradhikaran	18.87
5.	Khurja Vikas Pradhikaran	4.15
6.	Aligarh Vikas Pradhikaran	6.09
7.	Ghaziabad Development Authority	45.81
8.	Hapur Pilakhua Vikas Pradhikaran	6.66
9.	Lucknow Development Authority	43.88
10.	Mathura Vrindavan Vikas Pradhikaran	9.91
11.	Mujaffarnagar Vikas Pradhikaran	14.65
12.	Unnao Shuklaganj Vikas Pradhikaran	6.39
13.	Allahabad Vikas Pradhikaran	14.43
14.	Ayodhya Faizabad Vikas Pradhikaran	2.91
15.	Azamgarh Vikas Pradhikaran	1.08
16.	Banda Vikas Pradhikaran	1.13
17.	Bareilly Vikas Pradhikaran	7.47
18.	Chitrakoot Vikas Pradhikaran	1.46
19.	Gorakhpur Vikas Pradhikarn	9.73
20.	Jhansi Vikas Pradhikaran	4.59
21.	Kushinagar Visheshkshetra Vikas Pradhikaran	1.89
22.	Meerut Vikas Pradhikaran	13.27
23.	Mirzapur Vindhyachal Visheshkshetra Vikas Pradhikaran	0.67
24.	Moradabad Vikas Pradhikaran	9.31
25.	Orai Vikas Pradhikaran	1.70
26.	Raebareli Vikas Pradhikaran	1.24
27.	Rampur Vikas Pradhikaran	1.66
28.	Saharanpur Vikas Pradhikaran	4.93
29.	Shaktinagar Visheshkshetra Vikas Pradhikaran	0.75
30.	Varanasi Vikas Pradhikaran	20.36
31.	Uttar Pradesh Awas evam Vikas Parishad	127.75
<b>Total</b>		<b>418.35</b>

**Appendix 3.2**  
**Non- maintenance of Cash Books**  
*(Reference: Paragraph 3.5 ;Page )*

(₹ in crore)

Sl. No.	AIR No.	Name of Unit	Period of non-maintenance of cash book	Amount not entered in Cash book
1.	09/121/2017-18	CMO, Etawah	02/2017 to 03/2017	10.73
2.	29/2017-18	District Magistrate, Jalaun	04/2014 to 03/2017	85.08
3.	09/2017-18	District Horticulture Officer, Lalitpur	04/2016 to 03/2017	3.76
4.	118/17-18	DDO, Sant Kabir Nagar	04/2014 to 03/2017	210.27
5.	01/2017-18	F.A.O. (Secondary Education), Ambedkar Nagar	09/2012 to 03/2016	8.65
6.	09/2017-18	District Probation Officer, Bareilly	05/2015 to 11/2016	5.48
7.	13/2017-18	F.A.O. (Secondary Education), Barabanki	09/2012 to 03/2017	95.75
8.	27/2017-18	District Soldier Welfare and Rehabilitation Officer, Pratapgarh	11/2016 to 03/2017	0.48
9.	29/2017-18	Regional Higher Education Officer, Lucknow	02/2016 to 03/2017	299.95
10.	40/2017-18	F.A.O. (Secondary Education), Sant Kabir Nagar	04/2014 to 03/2017	7.74
11.	45/2017-18	D.I.O.S., Azamgarh	02/2016 to 03/2017	18.55
12.	48/2017-18	F.A.O. (Secondary Education), Baharaich	04/2013 to 03/2017	34.32
13.	55/2017-18	D.I.O.S., Faizabad	04/2014 to 03/2017	243.38
14.	62/2017-18	F.A.O. (Secondary Education), Kushinagar	04/2013 to 03/2017	9.14
15.	63/2017-18	D.I.O.S., Badaun	04/2014 to 03/2016	55.61
16.	66/2017-18	Regional Higher Education Officer, Varanasi	08/2016 to 03/2017	125.99
17.	68/2017-18	D.I.O.S., Gorakhpur	04/2015 to 03/2017	290.21
18.	75/2017-18	Regional Higher Education Officer, Agra	04/2015 to 03/2017	379.17
19.	22/2016-17	Directorate Basic Education, U.P., Allahabad	04/2016 to 03/2017	7.77
20.	96/2017-18	District Social Welfare Officer, Ambedkar Nagar	11/2016 to 03/2017	0.51
21.	11/2017-18	District Social Welfare Officer, Azamgarh	06/2016 to 03/2017	23.43
22.	83/2017-18	District Social Welfare Officer, Hardoi	04/2016 to 03/2017	20.64
<b>Grand Total</b>				<b>1,936.61</b>

**Appendix 3.3****Investments in 2016-17 by the State Government in PSUs  
whose accounts were in arrears as on 31 March 2017***(Reference: Paragraph 3.6 ;Page )***(₹ in crore)**

Sl. No.	Name of Public Sector Undertakings	Year up to which accounts finalised	Paid up capital	Period of accounts pending finalisation	Investment made by the State Government during the year for which accounts are in arrear			
					Equity	Loans	Grants	Subsidy
<b>A. Working Government Companies</b>								
1.	Uttar Pradesh Bhumi Sudhar Nigam	2014-15	1.50	2015-16 to 2016-17	00	00	130.00	00
2.	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	2013-14	230.42	2014-15 to 2016-17	00	00	00	42.44
3.	Uttar Pradesh State Spinning Company Limited	2015-16	93.24	2016-17	00	2.48	00	00
4.	Uttar Pradesh Electronics Corporation Limited	2015-16	91.54	2016-17	00	00	15.84	00
5.	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	2015-16	53.67	2016-17	00	3.01	00	00
6.	Pashchimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2014-15	5,941.25	2015-16 to 2016-17	00	00	00	1,228.91
7.	Jawahar Vidyut Utpadan Nigam Limited	2015-16	1.05	2016-17	125.00	00	00	00
8.	Uttar Pradesh Power Transmission Corporation Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2014-15	8,641.20	2015-16 to 2016-17	1,695.00	00	00	00
9.	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2014-15	7,558.07	2015-16 to 2016-17	2,073.26	00	00	2,076.22
10.	Uttar Pradesh Power Corporation Limited	2014-15	49,930.46	2015-16 to 2016-17	9,722.98	3,700.32	00	00
11.	U.P. Development Systems Corporation Limited	2014-15	1.00	2015-16 to 2016-17	00	20.00	2.00	00
12.	Uttar Pradesh Food & Essential Commodities Corporation Limited	2008-09	5.50	2009-10 to 2016-17	00	40.00	00	00
13.	Uttar Pradesh Waqf Vikas Nigam Limited	2003-04	5.25	2004-05 to 2016-17	1.50	00	00	00
14.	Allahabad City Transport Services	2013-14	4.91	2014-15 to 2016-17	00	00	5.49	00
15.	Varanasi City Transport Services Limited	-		2010-11 to 2016-17	00	00	2.54	00
<b>Total A (Working Government Companies)</b>					<b>13,617.74</b>	<b>3,765.81</b>	<b>155.87</b>	<b>3,347.57</b>

<b>B. Working Statutory Corporation</b>									
1	Uttar Pradesh State Road Transport Corporation	2014-15	568.08	2015-16 to 2016-17	100.00	50.00	00	00	
<b>Total (A+B)</b>					<b>13,717.74</b>	<b>3,815.81</b>	<b>155.87</b>	<b>3,347.57</b>	
<b>C. Non-working Companies</b>									
1	Chhata Sugar Company Limited (subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2014-15	81.38	2015-16 to 2016-17	00	0.68	00	00	
2	Uttar Pradesh State Textile Corporation Limited	2015-16	160.79	2016-17	00	0.85	00	00	
<b>Total C (Non-working Companies)</b>					<b>00</b>	<b>1.53</b>	<b>00</b>	<b>00</b>	
<b>Grand Total (A+B+C)</b>					<b>13,717.74</b>	<b>3,817.34</b>	<b>155.87</b>	<b>3,347.57</b>	

**Appendix 3.4****Investments in 2016-17 by the State Government in PSUs  
whose accounts were in arrears as on 31 March 2016***(Reference: Paragraph 3.6 ;Page )***(₹ in crore)**

Sl. No.	Name of Public Sector Undertakings	Year up to which accounts finalised	Paid up capital	Period of accounts pending finalisation	Investment made by the State Government during the year for which accounts are in arrears			
					Equity	Loans	Grants	Subsidy
<b>A. Working Government Companies</b>								
1.	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	2011-12	216.99	2012-13 to 2015-16	00	00	00	59.17
2.	Uttar Pradesh Electronics Corporation Limited	2014-15	91.54	2015-16	00	00	20.93	00
3.	Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	2014-15	53.67	2015-16	00	0.85	00	00
4.	Uttar Pradesh State Tourism Development Corporation Limited	2013-14	18.60	2014-15 to 2015-16	14.00	00	00	00
5.	Lucknow Metro Rail Corporation	2014-15	80.05	2015-16	450.00	150.00	300.00	00
6.	Uttar Pradesh Food & Essential Commodities Corporation Limited	2007-08	5.50	2008-09 to 2015-16	5.50	11.88	00	00
7.	U.P. Rajya Vidyut Utpadan Nigam Limited	2014-15	8,043.05	2015-16	832.91	00	00	00
8.	Uttar Pradesh Power Transmission Corporation Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2014-15	8,641.20	2015-16	1,450.00	00	00	00
9.	Uttar Pradesh Power Corporation Limited	2013-14	35,690.22	2014-15 to 2015-16	16,498.92	00	00	00
<b>Total A (Working Government Companies)</b>			<b>52,840.82</b>		<b>19,251.33</b>	<b>162.73</b>	<b>320.93</b>	<b>59.17</b>
<b>B. Working Statutory Corporation</b>								
NIL								
<b>C. Non-working Companies</b>								
NIL								
<b>Grand Total (A+B+C)</b>			<b>52,840.82</b>		<b>19,251.33</b>	<b>162.73</b>	<b>320.93</b>	<b>59.17</b>

**Appendix 3.5**  
**Profit earning PSUs**  
*(Reference: Paragraph 3.7 ;Page )*

(₹ in crore)

Sl. No.	Sector /Name of the Company	Period of accounts	Net Profit	Accumulated Profit/ loss	Paid-up capital (State Government)	Dividend to be declared	Dividend declared
1.	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	2016-17	871.58	920.97	9970.40	498.52	0.00
2.	Uttar Pradesh State Industrial Development Corporation Limited	2012-13	125.63	282.16	24.08	1.20	1.20
3.	Uttar Pradesh Rajkiya Nirman Nigam Limited	2012-13	115.29	800.72	1.00	0.05	0.20
4.	Uttar Pradesh State Warehousing Corporation	2014-15	87.07	426.00	7.79	0.39	0.00
5.	Uttar Pradesh Awas Evam Vikas Parishad	2015-16	50.68	4747.26	0.00	0.00	0.00
6.	Uttar Pradesh State Bridge Corporation Limited	2014-15	47.03	172.66	15.00	0.75	3.71
7.	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	2014-15	28.97	149.35	0.43	0.02	0.02
8.	Uttar Pradesh Jal Vidyut Nigam Limited	2014-15	27.88	-327.03	434.53	0.00	0.00
9.	Uttar Pradesh Financial Corporation	2012-13	17.38	-898.38	114.17	0.00	0.00
10.	Uttar Pradesh Jal Nigam	2011-12	16.82	-81.15	0.00	0.00	0.00
11.	Uttar Pradesh Police Awas Nigam Limited	2015-16	16.53	25.24	3.00	0.15	0.00
12.	Uttar Pradesh State Agro Industrial Corporation Limited	2010-11	16.12	-16.09	46.30	0.00	0.00
13.	Uttar Pradesh State Construction & Infrastructure Development Corporation Limited (formely known as Uttar Pradesh Samaj Kalyan Nirman Nigam Limited)	2015-16	15.82	71.56	0.15	0.01	0.02
14.	U.P. Projects Corporation Limited	2014-15	11.16	56.62	5.40	0.27	0.64
15.	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	2013-14	8.74	121.76	123.24	6.16	0.00
16.	Uttar Pradesh Food and Essential Commodities Corporation Limited	2008-09	7.39	30.79	5.50	0.28	0.65
17.	Uttar Pradesh Small Industries Corporation Limited	2007-08	4.39	-9.48	5.96	0.00	0.00
18.	Uttar Pradesh Forest Corporation	2015-16	4.26	1424.63	0.00	0.00	0.00

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19.	Uttar Pradesh State Road Transport Corporation	2014-15	3.96	-1313.10	508.07	0.00	0.00
20.	Uttar Pradesh Beej Vikas Nigam Limited	2012-13	3.51	113.37	6.92	0.35	0.00
21.	NOIDA Metro Rail Corporation Limited	2015-16	3.04	525.61	0.00	0.00	0.00
22.	Uttar Pradesh Development Systems Corporation Limited	2014-15	2.66	5.18	1.00	0.05	0.00
23.	Uttar Pradesh Electronics Corporation Limited.	2015-16	1.93	5.40	91.54	4.58	0.10
24.	Almora Magnesite Limited (139 (5) & (7) Company)	2015-16	1.90	2.54	0.00	0.00	0.00
25.	Uptron Powertronics Ltd. (subsidiary of Uttar Pradesh Electronics Corporation)	2015-16	1.87	-2.70	0.00	0.00	0.00
26.	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2015-16	1.40	2.84	0.00	0.00	0.00
27.	Uttar Pradesh Mahila Kalyan Nigam Limited	2013-14	0.36	2.07	4.71	0.24	0.00
28.	Uttar Pradesh State Leather Development and Marketing Corporation Limited	2000-01	0.26	-6.85	5.74	0.00	0.00
29.	Uttar Pradesh Alpsankhyak Vitta evam Vikas Nigam Limited	2002-03	0.22	2.68	30.00	1.50	0.00
30.	Uttar Pradesh Matsya Vikas Nigam Limited	2009-10	0.19	1.11	1.07	0.05	0.00
31.	Uttar Pradesh Bhumi Sudhar Nigam	2014-15	0.08	0.74	1.50	0.08	0.00
32.	Uttar Pradesh (Madhya) Ganna Beej evam Vikas Nigam Limited	2016-17	0.02	-0.80	0.15	0.00	0.00
33.	UCM Coal Company Limited	2015-16	0.01	-0.08	0.00	0.00	0.00
<b>Total</b>			<b>1494.15</b>		<b>11407.65</b>	<b>507.48</b>	<b>6.54</b>

10 PSUs did not declare dividend of ₹ 507.48 crore.

### Appendix 3.6

#### Department-wise/duration-wise break-up of the pending cases (where final action was pending at the end of March 2017)

(Reference: Paragraph 3.9; Page )

(Figures in bracket indicate ₹ in lakh)

Sl. No	Name of Department	Up to 5 years	5 to 10 years	10 to 15 years	15 to 20 years	20 to 25 years	Above 25 years	Total no. of cases
1.	Agriculture	-	-	2(7.44)	-	-	1(0.18)	3(7.62)
2.	Animal Husbandry	-	-	-	2(3.46)	6(1.18)	8(1.91)	16(6.55)
3.	Co-operative	-	-	-	1(1.28)	1(0.17)	-	2(1.45)
4.	Education	-	5(112.94)	-	1(5.00)	-	-	6(117.94)
5.	Fisheries	-	1(1.01)	-	-	-	2(1.60)	3(2.61)
6.	Food and Civil Supplies	-	-	1(3.06)	-	-	8(25.72)	9(28.78)
7.	Irrigation	3(53.12)	4(57.89)	10(0.52)	7(3.67)	17(5.83)	-	41(121.03)
8.	Judiciary	-	-	1(4.44)	-	-	-	1(4.44)
9.	Land Acquisition	-	-	-	-	-	3(331.78)	3(331.78)
10.	Medical, Health and Family Welfare	-	-	-	-	2(3.95)	9(11.94)	11(15.89)
11.	Police	1(0.00)	1(4.00)	-	-	1(1.21)	3(2.89)	6(8.10)
12.	PAC	-	-	-	1(47.48)	-	1(0.51)	2(47.99)
13.	Public Works	-	4(111.96)	6(36.41)	-	-	-	10(148.37)
14.	Revenue	1(6.68)	-	-	1(1.72)	-	3(6.09)	5(14.49)
15.	Rural Development	-	-	-	-	2(1.21)	7(2.07)	9(3.28)
16.	Social Welfare	1(4.44)	-	-	1(0.25)	-	2(0.70)	4(5.39)
17.	Technical Education	-	-	1(11.59)	-	-	-	1(11.59)
18.	Weight and Measurement	-	-	-	-	-	1(1.01)	1(1.01)
19.	Horticulture	-	-	1(3.59)	-	-	-	1(3.59)
20.	Finance	-	-	-	-	-	1(0.67)	1(0.67)
<b>Total</b>		<b>6(64.24)</b>	<b>15(287.80)</b>	<b>22(67.05)</b>	<b>14(62.86)</b>	<b>29(13.55)</b>	<b>49(387.07)</b>	<b>135(882.57)</b>

### Appendix 3.7

#### Department-wise/category-wise details of loss to Government due to theft, misappropriation, loss and defalcation

(Reference: Paragraph 3.9; Page )

(₹ in lakh)

Sl. No.	Name of Department	Theft cases		Misappropriation cases		Cases of Loss of the Government material		Defalcation cases		Total	
		Number of Cases	Amount	Number of Cases	Amount	Number of Cases	Amount	Number of Cases	Amount	Number of Cases	Amount
1.	Agriculture	-	-	1	5.45	2	2.17	-	-	3	7.62
2.	Animal Husbandry	11	1.78	-	-	3	1.55	2	3.22	16	6.55
3.	Co-operative	1	1.28	-	-	-	-	1	0.17	2	1.45
4.	Education	2	6.60	1	6.19	-	-	3	105.15	6	117.94
5.	Fisheries	-	-	-	-	1	1.23	2	1.38	3	2.61
6.	Food and Civil Supplies	-	-	-	-	4	10.15	5	18.63	9	28.78
7.	Irrigation	33	15.84	3	29.72	3	5.28	2	70.19	41	121.03
8.	Judiciary	-	-	-	-	-	-	1	4.44	1	4.44
9.	Land Acquisition	-	-	2	5.78	-	-	1	326.00	3	331.78
10.	Medical, Health and Family Welfare	6	4.13	-	-	1	1.09	4	10.67	11	15.89
11.	Police	-	-	-	-	2	4.00	4	4.10	6	8.10
12.	PAC	-	-	-	-	-	-	2	47.99	2	47.99
13.	PWD	3	1.63	-	-	7	146.74	-	-	10	148.37
14.	Revenue	-	-	-	-	-	-	5	14.49	5	14.49
15.	Rural Development	4	0.94	-	-	1	0.14	4	2.20	9	3.28
16.	Social Welfare	-	-	-	-	-	-	4	5.39	4	5.39
17.	Technical Education	-	-	1	11.59	-	-	-	-	1	11.59
18.	Weight and Measurement	1	1.01	-	-	-	-	-	-	1	1.01
19.	Horticulture	-	-	-	-	-	-	1	3.59	1	3.59
20.	Finance	-	-	-	-	-	-	1	0.67	1	0.67
<b>Total</b>		<b>61</b>	<b>33.21</b>	<b>8</b>	<b>58.73</b>	<b>24</b>	<b>172.35</b>	<b>42</b>	<b>618.28</b>	<b>135</b>	<b>882.57</b>

**Appendix 3.8**  
**Statement of finalisation of accounts and investments**  
**in Departmentally managed Commercial Undertakings**  
*(Reference: Paragraph 3.10; Page )*

Sl. No.	Name of the Undertaking	Accounts finalised up to	Investment as per the last account finalised (₹ in crore)
<b>Irrigation Department</b>			
1.	Irrigation Workshop Division, Kanpur	2016-17	1.69
2.	Irrigation Workshop Division, Jhansi	2016-17	12.78
3.	Irrigation Workshop Division, Bareilly	2016-17	11.72
4.	Irrigation Workshop Division, Meerut	2016-17	0.51
5.	Irrigation Workshop Division, Gorakhpur	2016-17	(-)0.14
6.	Irrigation Workshop Division, Allahabad	2016-17	3.71
<b>Food and Civil Supplies Department</b>			
7.	Scheme for Public Distribution System of Food grain	2012-13	3,659.94
<b>Animal Husbandry Department</b>			
8.	State Live Stock cum Agriculture Farm	2014-15	27.97
<b>Health Department</b>			
9.	State Pharmacy of Ayurvedic & Unani Medicines	1987-88	Not available
<b>Total</b>			<b>3,718.18</b>

**Appendix 4**  
**GLOSSARY (ADDITIONAL DATA)**

**Basis of Calculation**

<b>Terms</b>	<b>Basis of Calculation</b>
Rate of Growth (ROG)	$[(\text{Current year Amount} / \text{Previous year Amount}) - 1] * 100$
Development Expenditure	Social Services + Economic Services
Average interest paid by the State	$\text{Interest payment} / [(\text{Amount of previous year's Fiscal Liabilities} + \text{Current year's Fiscal Liabilities}) / 2] * 100$
Interest received as <i>per cent</i> to Loans Outstanding	$\text{Interest Received} [(\text{Opening balance} + \text{Closing balance of Loans and Advances}) / 2] * 100$
Revenue Deficit	Revenue Receipt – Revenue Expenditure
Fiscal Deficit	Revenue Expenditure + Capital Expenditure + Net Loans and Advances – Revenue Receipts – Miscellaneous Capital Receipts
Primary Deficit	Fiscal Deficit – Interest Payments
Balance from Current Revenue (BCR)	Revenue Receipts <i>minus</i> all Plan Grants and Non-plan Revenue Expenditure excluding expenditure recorded under the Major Head 2048 – Appropriation for reduction or Avoidance of debt.

## Explanation of Terms

Terms	Explanation
Development expenditure	The analysis of expenditure data is disaggregated into development and non-development expenditure. All expenditure relating to Revenue Account, Capital Outlay and Loans and Advances is categorised into social services, economic services and general services. Broadly, the social and economic services constitute development expenditure, while expenditure on general services is treated as non-development expenditure.
Debt sustainability	The Debt sustainability is defined as the ability of the State to maintain a constant debt-GSDP ratio over a period of time and also embodies the concern about the ability to service its debt. Sustainability of debt therefore also refers to sufficiency of liquid assets to meet current or committed obligations and the capacity to keep balance between costs of additional borrowings with returns from such borrowings. It means that rise in fiscal deficit should match with the increase in capacity to service the debt.
Debt stabilisation	A necessary condition for stability states that if the rate of growth of economy exceeds the interest rate or cost of public borrowings, the debt-GSDP ratio is likely to be stable provided primary balances are either zero or positive or are moderately negative. Given the rate spread (GSDP growth rate – interest rate) and quantum spread (Debt*rate spread), debt sustainability condition states that if quantum spread together with primary deficit is zero, debt-GSDP ratio would be constant or debt would stabilise eventually. On the other hand, if primary deficit together with quantum spread turns out to be negative, debt-GSDP ratio would be rising and in case it is positive, debt-GSDP ratio would eventually be falling.
Sufficiency of non-debt receipts	Adequacy of incremental non-debt receipts of the State to cover the incremental interest liabilities and incremental primary expenditure. Debt sustainability could be significantly facilitated if the incremental non-debt receipts could meet the incremental interest burden and the incremental primary expenditure.
Net availability of borrowed funds	Defined as the ratio of the debt redemption (Principal + Interest Payments) to total debt receipts and indicates the extent to which the debt receipts are used in debt redemption indicating the net availability of borrowed funds.
Appropriation Accounts	Appropriation Accounts present the total amount of funds (Original and Supplementary) authorised by the Legislative Assembly in the budget grants under each voted grants and charged appropriation vis-à-vis the actual expenditure incurred against each and the unspent provisions or excess under each grant or appropriation. Any expenditure in excess of the grants requires regularisation by the Legislature.
Autonomous Bodies	Autonomous Bodies (usually registered Societies or Statutory Corporations) are set up whenever it is felt that certain functions need to be discharged outside the governmental set up with some amount of independence and flexibility without day-to-day interference of the governmental machinery.
Committed expenditure	The committed expenditure of the State Government on revenue account mainly consists of interest payments, expenditure on salaries and wages, pensions and subsidies on which the present executive has limited control.
State Implementing Agency	State Implementing Agency includes any Organisation/Institution including Non-Governmental Organisation which is authorised by the State Government to receive the funds from the Government of India for implementing specific programmes in the State, e.g. State Health Mission for National Rural Health Mission and U.P. Rural Roads Development Agency for Pradhanmantri Gram Sadak Yojna, etc.
Contingent liability	Contingent liabilities may or may not be incurred by an entity depending on the outcome of a future event such as a court case.

Sinking Fund	A Fund into which the government sets aside money over time, in order to retire its debt.
Guarantee Redemption Fund	Guarantees are liabilities contingent on the Consolidated Fund of the State in case of default by the borrower for whom the guarantee has been extended. As per the terms of the Guarantee Redemption Fund, the State Government was required to contribute an amount equal to atleast 1/5 <sup>th</sup> of the outstanding invoked guarantees plus an amount likely to be invoked as a result of the incremental guarantees during the year.
Internal debt	Internal debt comprises regular loans from the public in India, also termed 'Debt raised in India'. It is confined to loans credited to the Consolidated Fund.
Primary revenue expenditure	Primary revenue expenditure means revenue expenditure excluding interest payments.
Re-appropriation	Means the transfer of funds from one Primary unit of appropriation to another such unit.
Public Accounts Committee	A Committee constituted by the Legislative Assembly for the examination of the reports of the Comptroller and Auditor General of India relating to the appropriation accounts of the State, the annual financial accounts of the State or such other accounts or financial matters as are laid before it or which the Committee deems necessary to scrutinise.

## Acronyms

Acronyms	Full form
AC Bill	Contingent Bill
AE	Aggregate Expenditure
BE	Budget Estimates
CAG	Comptroller and Auditor General of India
CE	Capital Expenditure
DCC Bill	Detailed Countersigned Contingent Bill
DCRF	Debt Consolidation and Relief Facility
DE	Development Expenditure
FCP	Fiscal Consolidation Path
GOI	Government of India
GSDP	Gross State Domestic Product
FRBM Act	Fiscal Responsibility and Budget Management Act
IP	Interest Payment
MTFRPS	Medium Term Fiscal Restructuring Policy Statement
NPRE	Non Plan Revenue Expenditure
O&M	Operation and Maintenance
PAC	Public Accounts Committee
RE	Revenue Expenditure
RR	Revenue Receipts
S&W	Salaries and Wages
SAR	Separate Audit Report
SSE	Social Sector Expenditure
TE	Total Expenditure
TFC	Thirteenth Finance Commission
UC	Utilisation Certificate