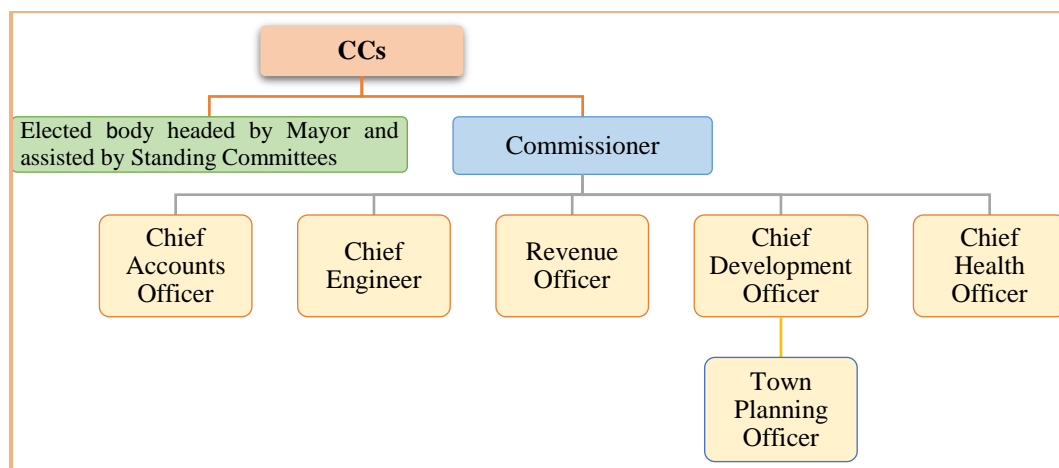
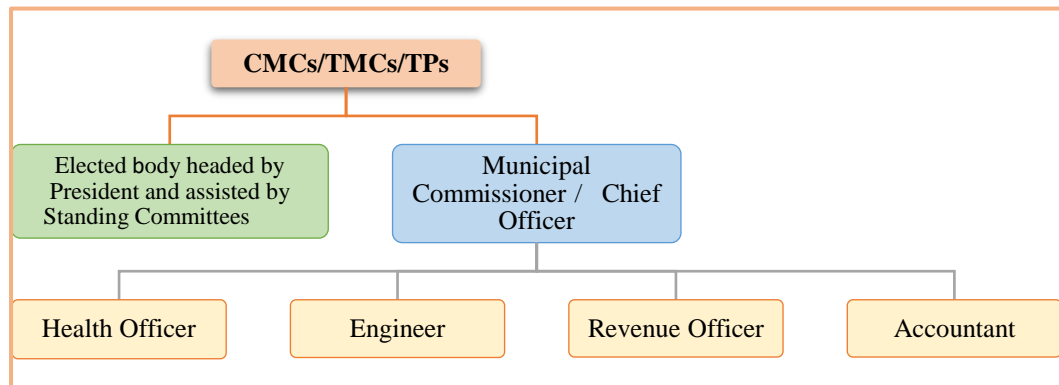
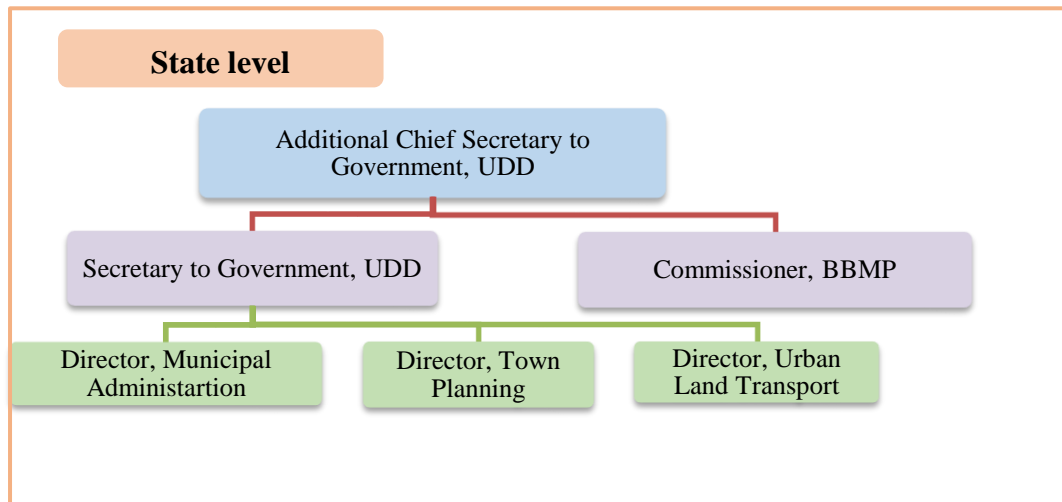


### Appendix 1.1

(Reference: Paragraph 1.4, Page 2)

#### Organisation structure with respect to functioning of ULBs in the State



**Appendix 1.2**  
(Reference: Paragraph 1.4, Page 2)  
**List of parastatals and their functions**

Sl. No.	Parastatal	Functions
1	Karnataka Urban Water Supply and Drainage Board	Formed in August 1974. Responsible for providing water supply and drainage facilities to all urban areas in the State other than Bengaluru city. It is also responsible for operation and maintenance, wherever entrusted with.
2	Karnataka Urban Infrastructure Development and Finance Corporation	Established in November 1993. Responsible to prepare, formulate and implement projects, schemes and programmes relating to infrastructure development in the urban areas of the state and provide technical and financial consultancy.
3	Karnataka Slum Development Board	Constituted in July 1975. Responsible for slum improvement / clearance / rehabilitation of slum dwellers in the State.
4	Bengaluru Water Supply and Sewerage Board	Formed in September 1964. Responsible for water supply and sewage disposal within the jurisdiction of Bruhat Bengaluru Mahanagara Palike area.
5	Bengaluru Development Authority	Set up in 1976. Responsible for preparation of Comprehensive Development Plan for Bengaluru metropolitan region and development of infrastructure, provision of development-related sites and services, the housing needs of underprivileged citizens in Bengaluru.
6	Bengaluru Metropolitan Region Development Authority	Formed in 2007. Responsible for planning, coordinating and supervising the proper and orderly development of the areas within the Bangalore Metropolitan Region (BMR) which comprises Bangalore Urban district, Bangalore Rural district and Ramanagara District.
7	State Road Transportation Corporations (KSRTC, NWKRTC, NEKRTC, BMTC)	State Road Corporation was established in August 1961 and was later split (February 1997) into four separate corporations. These corporations are responsible for providing quality transport service to the travelling public in the State and cities.
8	Urban Development Authorities	The Urban Development Authorities established in all district headquarters, under Karnataka Urban Development Authorities Act 1987, are responsible for planning and promoting and securing the development of the urban area and for these purposes the Authorities shall have the power to acquire, hold, manage and dispose of moveable and immovable property, whether within or outside the urban area under its jurisdiction, to carryout buildings, engineering and other operations and generally to do all things necessary or expedient for the purpose of such development and for purposes incidental thereto.
9	Town Planning Authorities	The Town Planning Authorities established under Karnataka Town and Country Planning Act, 1961 are responsible for preparation of master plans for urban and rural settlements, approval for formation of layouts, providing technical assistance to various government departments/local bodies for orderly development of cities and towns <i>etc.</i>
10	Karnataka Industrial Area Development Board	Established in the year 1966. Responsible for promoting rapid and orderly establishment and development of industries and for providing industrial infrastructural facilities and other amenities in Industrial areas in Karnataka.

Source: Information furnished by parastatals

**Appendix 2.1**  
(Reference: Paragraph 2.3, Page 4)

**List of selected ULBs**

Sl. No	Division	District	Units Selected for Sample			
			City Corporation	City Municipal Council	Town Municipal Council	Town Panchayat
1	Belagavi	Bagalkote		Bagalkote		
2		Belagavi		Nippani	Sankeshwara, Bailhongal	
3		Dharwad	Hubballi-Dharwad			
4		Gadag			Lakshmeshwar	
5		Haveri		Ranebennur	Hangal, Savanur	Hirekerur
6		Uttara Kannada		Karwar	Bhatkal	
7		Vijayapura			Basavana Bagevadi	
8	Bengaluru	Bengaluru Rural		Doddaballapura	Vijayapura	
9		Bengaluru Urban				
10		Chikkaballapura				
11		Chitradurga		Chitradurga		
12		Davanagere	Davanagere		Harappanahalli	
13		Kolar		Kolar	Srinivasapura	
14		Ramanagara		Channapatna		
15		Shivamogga		Bhadravathi		Thirthahalli
16		Tumakuru		Tiptur	Madhugiri	
17	Kalaburagi	Ballari			Kampli	Kamalapura
18		Bidar		Bidar		
19		Kalaburagi	Kalaburagi	Shahabad	Aland, Wadi	
20		Koppal		Gangavathi Koppal		
21		Raichur			Manvi	
22		Yadgir				
23	Mysuru	Chamarajanagara		Kollegal		
24		Chikkamagaluru				Mudigere
25		Dakshina Kannada	Mangalore			
26		Hassan		Arasikere	Sakleshpur	
27		Kodagu				
28		Mandya		Mandya	Maddur,	
29		Mysuru			Bannur	
30		Udupi			Kundapura	

**Appendix 4.1***(Reference: Paragraph 4.2.6.2, Page 20)***Non-implementation of SFC recommendations**

<b>Sl. No.</b>	<b>Recommendations</b>	<b>Action taken by Government</b>
<b>4<sup>th</sup> SFC</b>		
1	The Rules pertaining to the power of expenditure of the Municipalities need to be revised regularly to commensurate with their functional needs	Delegation of powers with respect to functions not revised
2	The Rules relating to penalties, fines and charges provided under the Municipal Acts for control of nuisances and for regulatory and enforcement functions are very minimal and not revised for decades.	Rules relating to Penalties, fines and charges not revised
3	An institute should be set up to train city managers/urban specialists in management of cities in cooperation with IIMB, IISC, IIT and other leading institutions with core competence.	Yet to be constituted
4	State Municipal Advisory Committee may be set up to advise the state/department concerned on critical issues of municipal affairs.	State Municipal Advisory Committee not constituted
5	It is recommended that the office of the DUDC should be encouraged to act as PPP advisory to the ULBs in the districts or in other words to start with bigger bodies	Government yet to issue orders in this regard
<b>3<sup>rd</sup> SFC</b>		
6	The system of Ombudsman may be introduced in Karnataka having jurisdiction over the Panchayat Raj Institutions and Urban Local Bodies.	Not appointed. Lokayukta acts as Ombudsman in Karnataka
7	Alternate sources of energy may be used for street lights (solar energy, wind power)	Action yet to be taken by ULBs
8	The Government should give additional grants to provide underground drainage to all the urban areas	Separate fund not given by UGD
<b>2<sup>nd</sup> SFC</b>		
9	The State Government should give the interest part of the loan instalments due as subsidy. This should be outside the devolution recommended for Urban Local Bodies. The subsidy towards interest should be given only to those Institutions who make regular repayment of loan instalments.	Loan repayment included as part of devolution.
10	The Government should evolve a human resources management policy and this should include regular recruitment and training of personnel. Unqualified and lower cadre officials are placed in charge of posts of Chief Officers of Town Municipal Councils and Town Panchayats. These persons should be replaced by qualified persons.	No HRM policy prescribed.

1 <sup>st</sup> SFC		
11	Creation of Common Valuation Authority for both urban and rural areas.	Not created
12	KUWS&DB should be entrusted with only construction and bulk supply of water, and Urban Local Bodies should be in charge of distribution and collection of water rates.	KUWSDB is in-charge of O&M in some ULBs
13	Drinking water supply for both rural and urban areas, other than for Bangalore, may be entrusted to one department at the state level. The KUWS&DB may be charged with the responsibility of execution of integrated water supply programme	There are two separate agencies for Urban and Rural areas. KRW&SA and KUWS&DB
14	Integration of urban civic service agencies with elected Urban Local Bodies	Not done
15	The Government may enact one common legislation relating to CCs, and Municipalities with the provisions of the 74th amendment of the constitution. This may be entitled "Karnataka Urban Local Bodies Act".	Common Act for CC and Municipalities not enacted.
16	Cesses like library cess, beggary cess, education cess, health cess and water cess etc., should be abolished.	Not abolished
17	Parking fees for motor vehicles should be charged in all commercial areas, as it is likely to bring in considerable revenue in big cities and towns. Further, Urban Local Bodies should provide 'towing services' for stranded vehicles, and charge for the same.	Action not taken either by Government or by ULBs impose such fees.
18	Full cost for water charges should be levied for the services rendered to the urban dwellers.	Full O&M cost not recovered
19	The existing urban development authorities including BDA should be brought under the respective elected municipal bodies. 'Slum improvement' should also be brought under these bodies	Government has not amended respective Acts to bring them under ULBs,
20	Town planning units, wherever existing, may be transferred to municipalities. Even functions like urban forestry should be entrusted to elected municipal bodies.	TPAs not transferred to ULBs
21	The Commission recommends abolition of the Directorate of Municipal Administration.	DMA not abolished
22	All decisions relating to service conditions of municipal employees may be taken by the respective Urban Local Bodies.	ULBs doesn't have any role relating to service matters of ULB staff.

Source: SFC reports and information furnished by UDD/DMA

## Appendix 5.1

(Reference: Paragraph 5.1.2.7, Page 38)

Statement showing the details of revenue sources identified by 4<sup>th</sup> SFC,  
status of levy and statutory provisions

Sl. No	Revenue sources	Status of levy	Provisions as per Act & Rules		
			KMC Act	KM Act	GoK Order & Circular Reference
<b>Tax Revenue</b>					
1	Property Tax	Levied	Sec-103 b (i)	Sec- 94-1 b (i)	
2	Advertisement Tax	Levied	Sec-103 b (vi)	Sec-94-1 b (xiii)	
3	Tax on non-motorised Vehicles	Levied (only by CCs)	Sec-103 b (v) Omitted during 2003		
4	Boats	Levied (applicable to only Coastal areas)	Schedule V		
5	Animals and Dogs	Not Levied	Schedule V		
<b>Non-Tax Revenue</b>					
1	Birth and Death Certificate Fees	Levied			Karnataka Registration of Birth & Death Rule 1999 (Ann-II) & DMA:MRC:CR-10:2007-08 Dt. 06.08.2010
2	Market Fees	Levied	Public Markets : Sec-369 Private Markets: Sec 372 (2)	Sec 244 (1)	
3	Shandi fees	Levied		Sec-137	
4	Bus Stand Fee	Levied	Sec-348	Sec-138	
5	Building Plan / License approval fee	Levied			Para No. 3.10.2 of Karnataka Municipalities Model Building By-laws-2017
6	Trade License.	Levied	Sec-2(19) & 369	Sec-256 (a) & Part I of Sch. XIII	
7	Khata / Mutation fee	Levied			As per circular dt. 30.01.2006 DMA:SAS/35/2005-06
8	Rental for Shops /Markets, Commercial complexes	Levied			GO no UDD 509 GEL 2104 dated 19.1.2015

9	Parking Fee.	Levied	Sec-348		
10	Road Cutting Fee.	Levied			As per council decision
11	Town Hall Fee	Levied wherever Town halls exists			As per council decision
12	Ground Rent	Levied			As per council decision
13	Greenery charges	Not Levied			As per council decision
14	Development charges	Levied by UDAs/TPAs			Rule 37 of the Karnataka Town Planning Authority Rule 1965
15	Water Charges.	Levied	Sec-144	Sec 139	GO UDD/07/UWS 2011 Dt. 20.07.2011
16	Betterment Fee	Levied		Sec 161	As per KTCP Act
17	Slum Dev. Fee	Levied			As per KTCP Act
18	SWM Cess.	Levied	Sec-103 B		
19	UGD Charges	Levied			GO UDD/07/UWS 2011 Dt. 20.07.2011
20	Auction Fee	Occasional			As per council decision & competitive bidding
21	UGD connection fee	Levied			
22	Water connection fee	Levied			GO UDD/07/UWS 2011 Dt. 20.07.2011
23	Mobile Tower	Levied			Gazette notification dated 24.2.2016
24	Cable Laying charges	Not Levied			As per council decision
25	Other Fees & Tolls (Boat Ferry, Slaughter House fee, Cow shed)	Not Levied	Section 364, 348	Section 324, 244, 71	
26	Crematoria & Burial	Levied	Sec- 393 (1)		
27	Copy Extract	Levied			As per council decision
28	Tender Forms	Levied			As per KTCP Act
29	Dog Fee	Not Levied			As per council decision
30	Penalties	Levied	Schedule-XI & XII	Sec-107, 187B, 275, 370	

Source: KM and KMC Acts; Government orders and information furnished by ULBs

## Appendix 6.1

(Reference: Paragraph 6.1.3, Page 48)

## Statement showing the number of employees per 1,000 population in test-checked ULBs

Sl No	Category	Name of the ULB	Population as per 2001 census	Population as per 2011 census	*Projected population for the year 2019	Sanctioned strength	Working staff (As per OVP)	Per 1,000 population 2001 census (sanctioned strength)	Per 1,000 population 2011 census (sanctioned strength)	Per 1,000 population 2019 projections (working strength)
1	CC	Davanagere	3,64,523	4,34,971	4,89,603	1,725	1,210	4.73	3.97	2.47
2	CC	HDMC	7,86,195	9,43,788	10,85,060	3,794	3,521	4.83	4.02	3.24
3	CC	Kalaburagi	4,30,265	5,43,147	6,29,850	1,725	1,544	4.01	3.18	2.45
4	CC	Mangaluru	4,11,327	4,99,487	6,19,941	1,725	1,208	4.19	3.45	1.95
5	CMC	Arasikere	45,166	53,216	63,075	237	198	5.25	4.45	3.14
6	CMC	Bagalkote	90,988	1,11,933	1,34,085	236	133	2.59	2.11	0.99
7	CMC	Bhadravathi	1,60,662	1,51,102	1,61,751	418	364	2.60	2.77	2.25
8	CMC	Bidar	1,74,257	2,16,020	2,51,684	418	353	2.40	1.94	1.40
9	CMC	Channapatna	63,577	71,942	85,613	236	158	3.71	3.28	1.85
10	CMC	Chitradurga	1,25,170	1,45,853	1,74,999	467	413	3.73	3.20	2.36
11	CMC	Doddaballapura	71,606	93,105	1,30,820	236	273	3.30	2.53	2.09
12	CMC	Gangavathi	1,01,392	1,14,642	1,30,522	300	282	2.96	2.62	2.16
13	CMC	Karwar	75,038	77,139	86,549	385	168	5.13	4.99	1.94
14	CMC	Kolar	1,13,907	1,38,462	1,59,749	418	273	3.67	3.02	1.71
15	CMC	Kollegal	52,607	57,149	78,277	236	162	4.49	4.13	2.07
16	CMC	Koppal	56,160	70,698	90,609	236	89	4.20	3.34	0.98
17	CMC	Mandya	1,31,179	1,37,358	1,47,867	418	271	3.19	3.04	1.83
18	CMC	Nipani	58,081	62,865	NA	236	176	4.06	3.75	
19	CMC	Ranebennur	89,618	1,06,406	1,37,646	296	249	3.30	2.78	1.81
20	CMC	Shahbad	50,562	47,582	NA	204	75	4.03	4.29	
21	CMC	Tiptur	53,104	59,543	68,326	236	192	4.44	3.96	2.81
22	TMC	Aland	35,245	42,371	49,261	130	85	3.69	3.07	1.73
23	TMC	Bailhongal	43,225	49,182	NA	97	57	2.24	1.97	
24	TMC	Bannur	23,239	21,896	NA	97	58	4.17	4.43	
25	TMC	Basavana Bagewadi	28,560	33,198	40,087	97	70	3.40	2.92	1.75
26	TMC	Bhatkal	31,774	32,000	52,856	97	92	3.05	3.03	1.74



27	TMC	Hanagal	25,009	28,159	33,126	103	82	4.12	3.66	2.48
28	TMC	Harappanahalli	41,907	47,039	52,810	138	127	3.29	2.93	2.40
29	TMC	Kampli	35,380	39,307	NA	125	92	3.53	3.18	
30	TMC	Kundapura	28,591	30,444	59,846	108	103	3.78	3.55	1.72
31	TMC	Laxmeshwar	33,417	36,754	NA	120	84	3.59	3.26	
32	TMC	Maddur	26,521	28,754	37,904	110	103	4.15	3.83	2.72
33	TMC	Madhugiri	26,304	29,159	33,486	122	112	4.64	4.18	3.34
34	TMC	Manvi	37,613	46,465	51,476	97	130	2.58	2.09	2.53
35	TMC	Sakleshpur	23,176	23,352	27,699	92	61	3.97	3.94	2.20
36	TMC	Sankeshwar	32,511	34,637	NA	97	88	2.98	2.80	
37	TMC	Savanur	35,563	40,567	46,024	129	76	3.63	3.18	1.65
38	TMC	Srinivasapura	22,959	26,793	31,003	97	71	4.22	3.62	2.29
39	TMC	Vijayapura	29,540	34,866	NA	118	88	3.99	3.38	
40	TMC	Wadi	30,012	37,988	NA	124	89	4.13	3.26	
41	TP	Hirekerur	15,872	19,191	22,064	62	83	3.91	3.23	3.76
42	TP	Kamalapura	21,830	25,552	NA	66	58	3.02	2.58	
43	TP	Mudigere	8,963	9,667	12,636	52	23	5.80	5.38	1.82
44	TP	Thirthahalli	14,808	14,528	15,598	55	60	3.71	3.79	3.85

\* As per Directorate of Economics and Statistics

Source: Information furnished by ULBs

## Appendix 6.2

(Reference: Paragraph 6.3, Page 52)

### Statement showing the details of training programmes conducted by SIUD, Mysuru

Year	Sl. no	Function Name	No. of Programmes conducted	Nominated	Attended	Percentage Attended
<b>2014-15</b>	1	Legal & Urban Governance	3	421	275	65
	2	Engineering	15	502	313	62
	3	SWM & Environment	32	1,616	1,324	82
	4	Water & waste water management/SLB	9	499	298	60
	5	Finance Accounts & Administration	7	195	147	75
	6	Welfare Programmes	6	315	233	74
	7	Department of Town and Country Planning (DTCP)	4	167	96	57
	8	Sponsored Programmes	3	80	75	94
	9	Training of Trainers	1	39	30	77
<b>2015-16</b>	10	Legal & Urban Governance	12	525	258	49
	11	Engineering	12	418	238	57
	12	SWM & Environment	18	1,420	842	59
	13	Water & waste water management	7	332	131	39
	14	Finance Accounts & Administration	1	50	30	60
	15	Welfare Programmes	12	733	569	78
	16	DTCP	5	174	89	51
	17	Sponsored Programmes	8	367	186	51
<b>2016-17</b>	18	Legal & Urban Governance	2	259	34	13
	19	Engineering	6	417	216	52
	20	SWM & Environment	2	182	85	47
	21	Foundation Course for FDA & Env. Engineer	4	179	170	95
	22	Finance Accounts & Administration	7	230	215	93
	23	Welfare Programmes	34	2,398	2,332	97
	24	Orientation Programme on AMRUT for all cadres	28	1,001	668	67
	25	Sponsored Programmes	8	510	289	57
	26	Training of Trainers	2	99	69	70
	27	Integrated Capacity Building Training for all Group-A,B,C cadres	26	924	624	68
<b>2017-18</b>	28	Legal & Urban Governance	10	417	297	71
	29	Engineering	1	40	35	88
	30	SWM & Environment	9	803	464	58
	31	Pradhan Mantri Awas Yojana	3	100	69	69
	32	Finance Accounts & Administration	9	306	245	80
	33	Welfare Programmes	17	2,975	2,876	97
	34	DTCP	4	159	144	91
	35	Orientation for all missions	1	40	14	35
	36	Training of Trainers	18	793	542	68
	<b>2018-19</b>	37	Legal & Urban Governance	9	490	254
38		Engineering	5	235	164	70
39		SWM & Environment	11	425	261	61
40		Water & waste water management	5	207	113	55
41		Finance Accounts & Administration	4	170	103	61
42		Welfare Programmes	24	636	547	86
43		DTCP	6	240	136	57
44		Integrated Capacity Building Training for all missions	37	1,148	875	76
45		Foundation Course for Junior Engineer, Jr Health Inspector, FDA, Bill collector	4	194	168	87
			<b>Grand Total</b>	<b>451</b>	<b>23,430</b>	<b>17,143</b>

Source: Information furnished by SIUD, Mysuru